Deformation of the Lamina Cribrosa and Optic Nerve Due to Changes in Cerebrospinal Fluid Pressure

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Citation: Feola AJ, Coudrillier B, Mulvihill J, et al. Deformation of the lamina cribrosa and optic nerve due to changes in cerebrospinal fluid pressure. Invest Ophthalmol Vis Sci 2017;58:2070–2078. DOI:10.1167/iovs.16-21393

PURPOSE. Cerebrospinal fluid pressure (CSFp) changes are involved or implicated in various ocular conditions including glaucoma, idiopathic intracranial hypertension, and visual impairment and intracranial pressure syndrome. However, little is known about the effects of CSFp on lamina cribrosa and retrolaminar neural tissue (RLNT) biomechanics, potentially important in these conditions. Our goal was to use an experimental approach to visualize and quantify the deformation of these tissues as CSFp increased.

METHODS. The posterior eye and RLNT of porcine eyes (n = 3) were imaged using synchrotron radiation phase-contrast micro-computed tomography (PC µCT) at an intraocular pressure of 15 mm Hg and CSFps of 4, 10, 20, and 30 mm Hg. Scans of each tissue region were acquired at each CSFp step and analyzed using digital volume correlation to determine 3-dimensional tissue deformations.

RESULTS. Elevating CSFp increased the strain in the lamina cribrosa and RLNT of all three specimens, with the largest strains occurring in the RLNT. Relative to the baseline CSFp of 4 mm Hg, at 30 mm Hg, the lamina cribrosa experienced a mean first and third principal strain of 4.4% and −3.5%, respectively. The corresponding values for the RLNT were 9.5% and −9.1%.

CONCLUSIONS. CSFp has a significant impact on the strain distributions within the lamina cribrosa and, more prominently, within the RLNT. Elevations in CSFp were positively correlated with increasing deformations in each region and may play a role in ocular pathologies linked to changes in CSFp.

Keywords: cerebrospinal fluid pressure, digital volume correlation, intracranial pressure, ocular biomechanics, phase-contrast micro-computed tomography

The optic nerve head (ONH) experiences a complex and dynamic biomechanical environment, with ONH tissues being exposed to several levels of pressure, in addition to blood pressure: anteriorly, they experience intraocular pressure (IOP), while posteriorly they experience retrolaminar tissue pressure and cerebrospinal fluid pressure (CSFp). Intraocular pressure retro-displaces ONH tissues and also generates stress on the entire corneoscleral shell, increasing the in-wall circumferential stress (“hoop stress”) within this shell. This stress results in sceral canal expansion, which contributes to lamina cribrosa deformation.1,4 The biomechanical forces created by IOP are partially opposed by the retrolaminar tissue pressure, which is modulated by CSFp when CSFp is greater than 0 mm Hg.5

It is thought that biomechanics play a role in glaucomatous optic neuropathy.2,6 Thus, we and others have investigated the effects of IOP on ONH biomechanics by using both experimental and computational approaches.7–11 The effects of CSFp on ONH biomechanics have more recently begun to receive significant attention for several reasons. First, although elevated IOP is a major risk factor for developing glaucoma, reduced CSFp has also been implicated in the disease.12–15 In addition, elevated CSFp is associated with other ocular pathologies: hypothetically it plays a role in visual impairment and intracranial pressure (VIIP) syndrome and is, by definition, involved in the ocular changes associated with idiopathic intracranial hypertension (IIH).16,17 Both patients with IIH and astronauts with VIIP syndrome share certain pathologic characteristics, including disc edema, optic nerve sheath kinking, and choroidal folds.16–19

Previous experimental work by Morgan et al.20 examined the movement of the optic disc surface as a function of IOP or CSFp. Using a canine model, that study controlled IOP and CSFp by cannulating the anterior chamber and lateral ventricles, respec-
tively. Relative changes in the optic disc location were measured using confocal scanning laser tomography. The authors reported that changes in CSFp caused large displacements of the optic disc surface with only a 2-mm Hg increase of CSFp, causing a 128-μm movement of the optic disc surface. That work highlighted how CSFp could directly impact the displacement of ONH tissues. However, due to light penetration limitations, the authors were unable to directly image motion of the lamina cribrosa or retrolaminar neural tissue (RLNT). In addition, although they measured relative displacement of the optic disc surface, that approach did not have the resolution to determine tissue strain; this is an important point because it is believed that cells are mechanically sensitive to strain, yet strain and displacement are not the same. Specifically, “strain” refers to stretching, compression, and/or shear deformations, types of movement that are fundamentally different from, for example, rigid body displacement, where an object moves without deformation. Complex tissue motions, such as anterior-posterior optic disc movement, will include both rigid body displacement and strain, and it is not a trivial matter to separate these contributions.

Recent computational modeling studies have investigated how elevations in CSFp affect the biomechanics of the ONH, including the lamina cribrosa and RLNT. These studies found that elevating CSFp increased biomechanical strains in the RLNT while decreasing strains in the lamina cribrosa. Although these results make intuitive sense, these complex computational models must make many assumptions about tissue behavior and ONH geometries. Thus, it is important to develop experimental protocols to help verify findings in these computational studies and better characterize how CSFp affects deformations at the ONH. In the present study we describe high-resolution imaging experiments to investigate how CSFp deforms the lamina cribrosa and RLNT. We hypothesized that elevating CSFp would increase the strains experienced by the RLNT while decreasing the strains in the lamina cribrosa.

**Methods**

**Overview**

We used phase-contrast micro-computed tomography (PC μCT) to measure CSFp-induced deformations of the lamina cribrosa and RLNT. Imaging was carried out at the Diamond Light Source synchrotron (Didcot, UK), a facility with phase-contrast imaging capability, which provides label-free images of ocular connective tissues at high spatial resolution. Postmortem porcine eyes were prepared to allow independent control over IOP and CSFp. Then, while holding IOP constant (15 mm Hg), we elevated CSFp stepwise and recorded images of the lamina cribrosa and RLNT. From these images, we determined tissue strains in a post processing step.

This methodology allowed us to investigate the influence of CSFp on the lamina cribrosa and RLNT without limitations of light attenuation (e.g., such as occur in confocal scanning laser tomography and optical coherence tomography) and without tissue preparation that might have influenced ONH biomechanics (e.g., conventional μCT).

**Experimental Protocol**

Due to the complex nature of the measurements and limited time available for experiments at the Diamond Light Source synchrotron, specimen preparation was necessarily carried out in advance of measurements. Eyes from female American Yorkshire pigs (weight: 400–600 lbs) were obtained from a local abattoir in Atlanta, Georgia, USA, and were received without orientation information (i.e., OS, OD, or nasal/temporal). Eyes were required to have an intact optic nerve and optic nerve sheath longer than 2 cm. Specimens were prepared using a previously established protocol. Briefly, eyes were cleaned of extraorbital fat and muscles, and the cornea and globe contents (iris, lens, vitreous, retina, and choroid) were removed, leaving an isolated scleral shell. The isolated posterior scleral shell was then sealed onto a resin holder at the corneal-scleral limbus (Fig. 1) by using cyanoacrylate glue. Samples were wrapped in saline-soaked gauze and frozen at −20°C. Previous research showed that this approach had minor effects on scleral mechanics undergoing inflation testing. After preparation, frozen samples were shipped overnight to the United Kingdom for imaging at the Diamond Light Source synchrotron in dry ice and immediately placed in a freezer upon arrival. Prior to imaging, all samples were first refrigerated at 4°C and then thawed at 21°C. The previously prepared posterior scleral shells mounted on resin holders were secured onto a pressure chamber filled with isotonic phosphate-buffered saline (PBS). This pressure chamber was attached to a PBS reservoir which allowed us to control IOP by adjusting reservoir height.

To mimic the effects of CSFp, we inserted the tip of a 23-G blunt cannula into the subarachnoid space. Briefly, 0.5 cm of the optic nerve sheath was peeled away from the optic nerve, and the cannula tip was inserted into the subarachnoid space. To secure the cannula, a silk suture was tightened around the outside of the optic nerve sheath, so the tissue was compressed against the cannula. This ensured that fluid could flow into the subarachnoid space while preventing leakage out of the incised end of the optic nerve. This cannula was connected to a Luer fitting (Cole-Parmer, Vernon Hills, IL, USA) and to a second PBS reservoir to hydrostatically control CSFp. The cannula was supported in the anterior-posterior direction to ensure the weight of the cannula itself did not impact RLNT deformation. Otherwise, the transected end of the optic nerve was free to move and deform throughout the experiment. Specimens were then immersed in a PBS bath to maintain hydration and environmental temperature during testing (a thermocouple placed in the PBS bath showed no change of temperature during testing). Eyes were inflated to an IOP of 15 mm Hg, to represent a physiological relevant value of IOP. A CSFp of 4 mm Hg was applied for 20 minutes to ensure the absence of leakage and to precondition the sample before starting measurements. Based on earlier work, no further preconditioning tests were performed. Several eyes failed for various technical issues (time constraints or leakage leading to an inability to maintain IOP or CSFp), so that this work reports data from n = 3 eyes.

The effects of CSFp on the 3-dimensional deformations of the lamina cribrosa and RLNT were measured using a PC μCT beamline I12 unit (Diamond Light Source). The experimental protocol for PC μCT was described previously. The eye specimen was mounted on a turntable. The X-ray beam was directed toward the ONH region and centered on the lamina cribrosa. The phase shift caused by the interaction of the X-rays with the tissues of the ONH was transformed into intensity variations and recorded using a charge-coupled device detector with a resolution of 3.2 μm per pixel. Projections, 3600, with an exposure time of 5 ms each were acquired in the ONH region. The total scan time at each CSFp was roughly 90 s, and the field of view was approximately 7 × 7 × 7 mm3. After images centered at the lamina cribrosa were recorded, a second scan was acquired at the same CSFp with the image sequence centered on the RLNT. 4.5 mm posterior to the ONH.

The PC μCT scan pairs, one centered on the lamina cribrosa and one centered on the RLNT, were recorded at 4 sequential...
steps of elevating CSFp: 4, 10, 20, and 30 mm Hg. The reference CSFp lays within the physiological range measured in pigs and human patients. Specifically, the mean \( \pm SD \) CSFp measured in vivo in the pig is 7 \( \pm 4 \) mm Hg, and between 4 and 10 mm Hg for humans in the upright and supine ranges, respectively. Furthermore, 4 mm Hg was the minimum CSFp that ensured that fluid surrounded the optic nerve, which in turn, allowed us to reliably distinguish pia mater from dura mater, required for tracking deformations within the optic nerve as CSFp changed. Our second pressure step was still within the normal physiologic range, whereas 20 and 30 mm Hg represented elevated CSFp values typical for astronauts after returning to earth and for IIH patients (CSFp \( \geq 15 \) mm Hg), while remaining below the upper limits of CSFp reported in IIH patients (CSFp \( > 40 \) mm Hg). At each pressure step, the specimen was allowed to equilibrate for 15 minutes prior to imaging to ensure the tissue had reached a steady state and to minimize motion due to creep. Intraocular pressure was held constant at 15 mm Hg throughout all scans. Tomographic images were reconstructed by in-house codes including phase retrieval, ring artifact removal, filtered-back projection, and background correction. Afterwards, reconstructed images were downsampled to an effective isotropic voxel size of 6.4 \( \mu m \) to reduce file sizes for subsequent analysis.

**Digital Volume Correlation**

The CSFp-induced deformation of the lamina cribrosa and RLNT were computed using digital volume correlation (DVC), which operates on full 3D image volumes. We recently characterized the use of this method to study lamina cribrosa deformation in the porcine eye due to changes in IOP. Briefly, image volumes for each of the lamina cribrosa and RLNT acquired at CSFp values of 10, 20, and 30 mm Hg were correlated with the corresponding image volumes acquired at 4 mm Hg, using commercially available software (DaVis version 8.1.3: LaVision, GmbG, Goettingen, Germany). We then performed DVC on subvolumes \( (20 \times 20 \times 20 \) voxels), with a 75% overlap, settings that were previously estimated to result in a strain accuracy of 20 microstrain in the porcine lamina cribrosa. These displacements were smoothed using cubic splines and then used to determine the 6 independent components of the spatially varying Green-Lagrange strain tensor at each elevated CSFp level. The Green-Lagrange strain is a 3D measurement of tissue deformation. In addition, the software computed a correlation value for each subvolume image, which is a measurement of the confidence of the strain values. For our analysis, we removed regions where the correlation value was \( < 0.7 \) to ensure strains included in our analysis were not due to image noise or distortion; this threshold was determined to be suitable in our early experiments. This typically resulted in 10% to 20% of the tissue having strain values that were excluded from analysis.

The Green-Lagrange strain tensor can be decomposed into 3 principal strains representing tissue deformation in three mutually perpendicular directions. Here, we focused on the first principal strain (representing tissue extension) and third principal strain (representing compression). We focused on these two principal strain components because they represent the largest magnitude of strains experienced by cells in those regions. We examined the change in principal strain distributions of the lamina cribrosa and RLNT as a function of CSFp. Strain distributions between each subsequent CSFp step were compared by using a paired Wilcoxon signed rank test (\( P < 0.05 \)).

**RESULTS**

We were able to clearly identify the lamina cribrosa in the ONH, as distinct from the surrounding neural and connective...
The lamina cribrosa of the porcine eye is less circular in cross-section than the posterior neural tissue and contains a thick, dense collagen matrix (Fig. 2). The RLNT, imaged in our second scan, was more circular in cross-section than the lamina cribrosa, with thinner collagen fibers and dense pia mater surrounding the neural tissue (Fig. 2).

Our results showed that increasing CSFp caused optic nerve sheath distention (Fig. 3) and changes in the distributions of the first, second, and third principal strains in both the lamina cribrosa and the RLNT ($P < 0.001$ for all pressure steps in each tissue) (Fig. 4). The strains in both the lamina cribrosa and the RLNT were spatially nonuniform.

![Figure 2](image1.png) **FIGURE 2.** Representative cross-sectional images of the lamina cribrosa (left) and RLNT (right), captured from a 3D PC μCT image set of a porcine eye. We can clearly observe the relatively dense collagen beams in the lamina cribrosa. The lamina cribrosa of the porcine eye also contains a ventral groove (arrowhead) where the retinal blood vessels enter the optic nerve. The RLNT contains thinner collagenous structures throughout its cross-section. The RLNT is also encapsulated by the pia mater (arrow), which appears as a thin, bright white band around the entire circumference of the optic nerve. The dura mater (arrowhead) is also visible. A small imaging artifact is visible near the center of the right image. Scale bar: 1 mm.

![Figure 3](image2.png) **FIGURE 3.** Cross-sectional images of a single RLNT and optic nerve sheath at increasing levels of CSFp. It is evident that the subarachnoid space (arrowhead) expands as CSFp increases from 4 to 30 mm Hg. Interestingly, this expansion was not spatially uniform. Scale bar: 1 mm.
Inter-eye variations in average strain magnitude as CSFp increased were small. However, the spatial distribution of these strains within the lamina cribrosa differed between eyes. One eye had higher strain near the ventral groove (inferior region) while the other eyes experienced higher strains superiorly. When we examined the probability density functions of the principal strains, we found that elevating CSFp increased the first principal strain distributions (i.e., a shift to the right) and third principal strain distributions (i.e., a shift to the left [Supplementary Fig. S1]), implying that extreme values of the principal strains increased as CSFp was elevated. Importantly, strain changes were most pronounced in the RLNT.

To better characterize how these strain distributions changed as a function of CSFp, we also calculated the mean and peak values of the first and third principal strains at each CSFp step. The mean strain was simply the mean value over the entire tissue region of interest, whereas the peak strain was defined as the 95th percentile (5th percentile) value from the histogram of the first (third) principal strain, respectively. This approach has been described elsewhere. These peak strains are of interest because they presumably induce the maximum mechanobiological effects in tissues. Within the lamina cribrosa, the mean first principal strain increased from 2.51% to 4.43%, and the mean third principal strain changed from 1.79% to 3.53% as CSFp increased from 10 to 30 mm Hg. Note that because the third principal strain is negative, this decrease in the third principal strain implies a 65% increase in the magnitude of this strain. The corresponding values within the RLNT were an increase from 4.69% to 9.51% in the mean first principal strain and a change from −3.10% to −9.06% in the mean third principal strain (Fig. 5). Relative to the lamina cribrosa, the magnitudes of the mean principal strains in the RLNT were roughly 2- to 2.5-fold higher. The same trend was observed in the peak strains within the lamina cribrosa and RLNT (Fig. 5).

**DISCUSSION**

Here, we report that elevating CSFp increased the principal strains (deformations) within both the lamina cribrosa and the...
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RLNT, with the effects being more pronounced in the RLNT. Increased strain on the RGCs and cells within the lamina cribrosa are potential contributors to axonal transport blockage and eventually the loss of retinal ganglion cells (RGCs). Currently, most work examining ONH mechanobiology has focused on how elevations in IOP influence strains within the lamina cribrosa. A previous study, also using PC µCT scanning of porcine eyes, investigated the effect of IOP on lamina cribrosa deformation. That study found that elevating IOP from 6 to 30 mm Hg increased mean first principal strain in the lamina cribrosa by 5.5%. In the present study, we found that elevating CSFp from 4 to 30 mm Hg increased the mean first principal strain in the lamina by 4.43%, similar to the effects due to elevating IOP from 6 to 30 mm Hg. However, considering the mean third principal strain, elevating IOP resulted in mean strains in the lamina cribrosa of –15% whereas elevating CSFp produced mean third principal strains of only –3.5%. In other words, elevating IOP may have a proportionately larger impact on the compression experienced in the lamina cribrosa than does elevating CSFp. This may be related to several key differences between the effects of IOP and CSFp: notably, CSF is contained within the optic nerve sheath within the subarachnoid space, so that CSFp and retro-laminar tissue pressure act only on the posterior globe at the optic nerve head and not on the entire corneoscleral shell. Nonetheless, it is important to understand that CSFp influences lamina cribrosa biomechanics.

However, the situation was different when we considered the RLNT, where we found a dramatic increase in the first and third principal strains due to an elevation of CSFp. Elevated strains in the RLNT likely have a biomechanical impact, for example, increased tension and compression can cause mechanical insult directly to the RGC axons, which may increase the risk of RGC loss. Additional research of the mechanobiology of the RLNT is needed to better understand the impact of these observations.

These results have several potential clinical implications. First, the finding that increased CSFp caused increases in both the first and third principal strains due to an elevation of CSFp. Elevated strains in the RLNT likely have a biomechanical impact, for example, increased tension and compression can cause mechanical insult directly to the RGC axons, which may increase the risk of RGC loss. Additional research of the mechanobiology of the RLNT is needed to better understand the impact of these observations.

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behavior and simplification of geometries. This is particularly critical for the pia mater, which directly influences the transmission of CSFp to the optic nerve and influences strains in the lamina cribrosa.\textsuperscript{23} If our material models overestimate the stiffness of this tissue, there would be a significant impact on the predicted strains from our computational models, including an estimation of the CSFp level at which the lamina cribrosa would begin to experience an increase in strain due to elevated CSFp. For example, the porcine eye has different biomechanical properties compared to the human eye that would influence the level or strain predicted.\textsuperscript{51} This outcome points to the importance of species-specific measurement of the material properties of ONH tissues, which is a major experimental challenge. We expect that the experimental data presented in this work will be valuable in verifying the results of more sophisticated computational models.

**Study Limitations**

This study had several limitations. Most notably, we had a limited sample size: PC \( \mu \)CT imaging relies on access to a synchrotron light source. The high facility cost and demand for time on the machine limits the number of experiments that can be performed. Nonetheless, the intersample variability in strain magnitudes was relatively low. Specifically, across all CSFp levels, we calculated a coefficient of variation for the mean first and third principal strain of 28\% and 48\%, respectively, within the lamina cribrosa and of 32\% and 43\% within the RLNT, respectively. Given the natural variability among eyes, we believe these data are robust. Thus, even with our limited sample size, it appears that elevating CSFp has profound effects on the strains in the RLNT and in the lamina cribrosa, both of which may be important for the health of the RGC axons.

Another limitation is that we did not simultaneously alter IOP and CSFp. Previous work found that there is a strong interplay between these pressures and that using our approach would have been beneficial to investigating this interaction (Sigal IA, et al. *IOVS* 2016;57:ARVO E-Abstract 1794).\textsuperscript{20} However, due to our limited scan time, we could focus only on the influence of CSFp in this study. In addition, our experimental approach only examined acute elevations of CSFp, while IHI patients or astronauts exposed to microgravity may have chronic elevations in CSFp which may induce remodeling of connective tissues in the ONH and optic nerve sheath. Developing animal or computational models to investigate how chronic exposures to CSFp can alter tissue properties and induce remodeling would be relevant.\textsuperscript{52}

We also only examined the impact of CSFp in an ex vivo model on postmortem porcine eyes that were frozen prior to testing. Although it has been shown that freezing has minimal impact on scleral connective tissue biomechanical properties,\textsuperscript{25} we were not able to directly assess the impact of freezing on the biomechanical properties of the RLNT. However, it has been shown that postmortem swelling of axons does occur,\textsuperscript{53} which indicates our ex vivo condition may not have fully represented the in vivo anatomy. Although an in vivo approach would have been preferred, our imaging approach (PC \( \mu \)CT) made this option impossible. Based on earlier work, we assumed that axon swelling occurred uniformly and induced connective tissue strains that were small compared to the strains we observed due to elevations in CSFp.\textsuperscript{25} Another limitation was the use of porcine eyes. Although we would have preferred to perform this experiment using human eyes, we were unable to consistently obtain human eyes with optic nerves that were long enough to cannulate for control of CSFp. Therefore, we chose to use porcine eyes because their ONH geometry is broadly similar to that of humans and because eyes were available with sufficiently long optic nerves. However, as quadrupeds, porcine CSFp likely does not fluctuate to the same degree as in humans, who alternate between a supine and upright position.\textsuperscript{30} Thus, using this animal model has limitations; however, previous work in canine and porcine models still provides insight into how IOP and CSFp influence the biomechanical environment at the ONH.\textsuperscript{5,20,24}

Last, digital correlation approaches are subject to limitations in resolving small deformation.\textsuperscript{24,54} Fortunately, the deformations in the lamina cribrosa and RLNT in this study varied between 4\% and 20\%, which is orders of magnitude larger than the strains that DVC can resolve.\textsuperscript{25} Therefore, we are confident that our strain measurements were not limited by the resolution of DVC.

In conclusion, we were able to use PC \( \mu \)CT imaging and DVC to experimentally visualize and quantify the deformations of the lamina cribrosa and RLNT as CSFp increased. We found that strain (i.e., the tension and compression) experienced in the lamina cribrosa and RLNT increase as CSFp is elevated and that CSFp increased strains to the RLNT than in the lamina cribrosa. These elevated strains may contribute to the loss of RGC axons under pathologic conditions.

**Acknowledgments**

The authors thank Robert Atwood, Michael Drakopoulos, Kaz Waniek, and Christina Reinhard (Diamond Light Source, Didcot, UK) for advice on conducting the PC \( \mu \)CT experiments. Supported by Science and Technology Facilities Council Grants ES8491, EE9825, and EE11407; the Georgia Research Alliance; and National Aeronautics and Space Administration Grant NNX13AP91G.

Disclosure: A.J. Feola, None; B. Coulullier, None; J. Mulvihil, None; D.M. Geraldes, None; N.T. Vo, None; J. Albon, None; R.L. Abel, None; B.C. Samuels, None; C.R. Ethier, None

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