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Environmental boundaries play a crucial role in spatial navigation and memory across a wide range of distantly related species. In rodents, boundary representations have been identified at the single-cell level in the subiculum and entorhinal cortex of the hippocampal formation. Although studies of hippocampal function and spatial behavior suggest that similar representations might exist in humans, boundary-related neural activity has not been identified electrophysiologically in humans until now. To address this gap in the literature, we analyzed intracranial recordings from the hippocampal formation of surgical epilepsy patients (of both sexes) while they performed a virtual spatial navigation task and compared the power in three frequency bands (1–4, 4–10, and 30–90 Hz) for target locations near and far from the environmental boundaries. Our results suggest that encoding locations near boundaries elicited stronger theta oscillations than for target locations near the center of the environment and that this difference cannot be explained by variables such as trial length, speed, movement, or performance. These findings provide direct evidence of boundary-dependent neural activity localized in humans to the subiculum, the homolog of the hippocampal subregion in which most boundary cells are found in rodents, and indicate that this system can represent attended locations that rather than the position of one’s own body.

Key words: boundary; cognitive map; human subiculum; intracranial EEG; navigation; theta oscillations

Significance Statement

Spatial computations using environmental boundaries are an integral part of the brain’s spatial mapping system. In rodents, border/boundary cells in the subiculum and entorhinal cortex reveal boundary coding at the single-neuron level. Although there is good reason to believe that such representations also exist in humans, the evidence has thus far been limited to functional neuroimaging studies that broadly implicate the hippocampus in boundary-based navigation. By combining intracranial recordings with high-resolution imaging of hippocampal subregions, we identified a neural marker of boundary representation in the human subiculum.

Introduction

Research across a wide range of disciplines has converged on the notion that environmental boundaries strongly influence spatial memory and cognition (Lee, 2017). When animals lose track of where they are, they rely heavily on boundary structures to find...
their way back to the goal (for review, see Cheng and Newcombe, 2005; Lee and Spelke, 2010; Tommasi et al., 2012). Nonboundary features such as objects and surface properties also influence navigation but are used primarily as beacons (Lee et al., 2006), contextual cues (Julian et al., 2015), and error-correcting landmarks in path integration (Etienne et al., 1996). To explain the effect of boundaries in behavior, theorists have proposed that the 3D structure of the environment provides a reliable basis for metric distance computations in spatial mapping (Cheng, 1986; Gallistel, 1990).

Electrophysiological recordings in the rodent hippocampal formation have shown that the spatial coding by place cells and grid cells is highly influenced by environmental boundaries (O’Keefe and Burgess, 1996; Lever et al., 2002; Hardcastle et al., 2015; Krupic et al., 2015; Stensola et al., 2015). Boundary-based models of place mapping (Hartley et al., 2000; Barry et al., 2006) explain the firing fields of place cells as a sum of distance inputs from nearby boundaries and the existence of boundary cells in the rodent subiculum (Lever et al., 2009) and border cells in the entorhinal cortex (EC) (Solstad et al., 2008) provide evidence of boundary representations at the single-neuron level. Although a small percentage of boundary cells encode boundaries from distances up to ~20–30 cm, they are most often characterized by their increased firing in response to nearby boundary structures, such as walls, drop-offs, and traversable gaps on the floor (Lever et al., 2009; Stewart et al., 2014). Like other spatial cells, they are theta modulated and they develop in rat pups at the same time as place cells and earlier than grid cells (Bjerkenes et al., 2014; Muesig et al., 2015).

Despite the fact that boundary cells have yet to be found in the human brain, behavioral experiments suggest that we share similar boundary-based navigational mechanisms with other animals. For an extended period in human development, boundaries exert a dominant influence on spatial mapping (Hermer-Vazquez et al., 2001). Such boundaries are not limited to large walls, but also include subtle 3D structures such as traversable ridges and curbs (Lee and Spelke, 2008, 2011), similar to the characteristics of boundary cells in rodents discussed above. The use of environmental boundaries can also be seen in adults (Hermer-Vazquez et al., 1999; Hartley et al., 2004) and functional neuroimaging studies have established that boundary-based navigation or imagery engages the hippocampus (Doeller et al., 2008; Bird et al., 2010). Other studies have identified boundary representation of visual scenes and its role in navigation upstream from the hippocampus (Park et al., 2011; Ferrara and Park, 2016; Julian et al., 2016).

Challenges to single-cell recording in humans can be partially bypassed by looking for signatures of neural activity that would be visible at the population level. An example of this is the hexagonally symmetrical fMRI response in the entorhinal cortex that might be attributed to populations of grid cells (Doeller et al., 2010). Similarly, neural signals of boundary representations could also be visible at the population level because of their clustered activity when an animal is near a boundary (Solstad et al., 2008; Lever et al., 2009). Despite the availability of direct intracranial EEG recordings from the human medial temporal lobe during computer-based navigation tasks (Ekstrom et al., 2005; Watrous et al., 2011; Miller et al., 2013; Vass et al., 2016), no studies thus far have shown direct neural signatures of boundary representation in humans. In the present study, we recorded the local field potential (LFP) from surgical epilepsy patients engaged in a computer-based navigation task, combined with a high-resolution electrode localization method, to investigate boundary-related signals in the human brain in specific subregions of the hippocampal formation (i.e., CA1, dentate gyrus, subiculum, EC, and perirhinal cortex). We capitalized on the fact that boundary cells, like other spatial cells, are theta modulated (Lever et al., 2009) and that most boundary cells respond to locations near boundaries. Because hippocampal neurons represent intended target locations (Hok et al., 2007; Howard et al., 2014; Chadwick et al., 2015), the strength of theta oscillations while subjects encode a single target location over an extended period (over the course of an entire trial) could indicate the neural representation of its spatial location (McFarland et al., 1975; Naughton et al., 1983; Rivas et al., 1996; Czurkó et al., 1999; Terrazas et al., 2005). Therefore, in the present study, we compared oscillatory power in three frequency ranges that have been previously implicated in spatial navigation and memory in humans (Nyhus and Curran, 2010; Watrous et al., 2013; Jacobs, 2014), 1–4 Hz (“low-theta” or “delta”), 4–10 Hz (“theta”), and 30–90 Hz (“gamma”), as subjects attended to target locations near or far from the boundaries of the virtual environment over a 5-s-long encoding period.

Materials and Methods

Participants. The subjects in our study were 58 epilepsy patients (27 males, 31 females) between the ages 18 and 65 who had electrodes surgically implanted to localize seizure foci and to guide potential surgical treatment. Subjects performed a virtual navigation task on a laptop computer as their neural activity was recorded at a sampling rate of 500 Hz or above (Jacobs and Kahana, 2010). Electrodes were implanted in various brain regions as dictated by clinical needs; for analysis of neural measurements, we selectively analyzed 39 of the patients who had electrodes in one of five regions of interest: CA1, dentate gyrus, subiculum, EC, and perirhinal cortex. These regions were chosen because they were the top five hippocampal subregions with the most number of electrodes implanted; 39 subjects had electrodes in those regions. The same methods were applied at seven testing sites: Thomas Jefferson University Hospital (Philadelphia, PA), Mayo Clinic (Rochester, MN), University of Texas Southwestern (Dallas, TX), Dartmouth-Hitchcock Medical Center (Lebanon, NH), University of Pennsylvania Medical Center (Philadelphia, PA), Emory University Hospital (Atlanta, GA), and Columbia University Medical Center (New York, NY). Each subject provided informed consent before participation. Our multisite study was approved by local institutional review boards, as well as the institutional review board of the University of Pennsylvania (data coordination site) and the Human Research Protection Office at the Space and Naval Warfare Systems Command Systems Center Pacific. Data from five subjects who responded randomly in the task (see below for details) were excluded from our analysis.

Spatial navigation task. Subjects performed a computer-based spatial memory task (Jacobs et al., 2016) in a virtual rectangular arena (approximately equivalent to 19 m × 10.5 m) with 4 distal visual cues for orienting. Each 5 s encoding trial (96 trials per session, 1–3 sessions per subject) was preceded by a 2 s period during which subjects were presented with a still scene of the environment. At the start of the trial, a target object appeared on screen and subjects were automatically rotated (1 s duration) and driven toward it (3 s duration, constant speed) until they were stopped at the target location (1 s duration). This 5-s-long encoding period took place twice and from two different viewpoints in the environment (chosen randomly from a range of locations that would fit the 3 s of driving and 1 s of rotating). The two encoding periods were separated by a 5 s black screen. After another 5 s delay, subjects were transported to a different randomly chosen location from which they had to drive themselves back using a joystick to the now hidden target and press a response button. Subjects received feedback on their responses by means of a simple rectangular depiction of the environment with the target and response locations marked as circular points (see Fig. 1A). The automated design of the encoding phase ensured that all aspects of a subject’s movement (time, speed, distance, visual flow, and movement) were identical across trials (and across target locations) while maximizing the number of trials.
Electrode localization. Before surgical electrode implantation, we acquired high-resolution structural magnetic resonance imaging (MRI) scans of the hippocampus and medial temporal lobe from each subject (0.5 mm by 0.5 mm by 2 mm). The hippocampal subregions and extra-hippocampal cortical regions were automatically defined and labeled on these scanned images using a multi-atlas-based segmentation technique (Wang et al., 2013; Yushkevich et al., 2015). After the electrode implantation, a neuroradiologist identified each electrode contact using a post-implant CT scan. The MRI and CT scans were coregistered using Advanced Normalization Tools (Avants et al., 2008) and the neuroradiologist visually confirmed and provided additional detail on the localization and anatomical label for each contact (Duvernay, 2005).

Statistical analysis of behavior. We measured patients’ memory performance in a way that accounted for unequal distribution of possible distance errors across the environment. An example of this issue is that objects at the far ends of the environment have a larger maximum possible error distance compared with objects in the center. In our approach, we measured performance for each response by computing a memory score (MS), which normalizes for overall difficulty across target locations. This means that an MS of 1 corresponds to a perfect response (0 error), an MS of 0 corresponds to the worst possible response, and an MS of 0.5 was chance. We then divided the environment into two equal areas, the boundary and the inner areas (Fig. 1C,D). To select only those subjects who understood and were able to perform the task, we discarded data from five subjects who performed overall at chance level (t tests against chance MS of 0.5, n.s.).

Statistical analysis of neural signals. To examine neural activity for successfully encoding of both boundary and inner locations selectively, we discarded all trials in which subjects scored below the mean MS across all subjects (MS < 0.73) to reduce noise from trials in which the subject was inattentive or disoriented. As mentioned in the previous section, we discarded subjects who performed overall at chance; additionally, we only included subjects who had at least five trials in each category (boundary/inner) to ensure sufficient sampling. This left us with 37 subjects, all with at least 20 trials in each category (average of 64.5 boundary trials and 65.2 inner trials).

First, the raw data were notch filtered using a Butterworth filter at 60 Hz and the oscillatory power at each electrode in three frequency bands, low-theta (1–4 Hz), theta (4–10 Hz), and gamma (30–90 Hz), was extracted through a Hilbert transform (Freeman, 2007). We then computed the time-averaged power in each band across the 5 s encoding period. The power values were then z-scored according to the mean power in that electrode over all encoding periods in the session.

We averaged the power over all electrodes from the same hemisphere of a single patient, such that we took one measurement from each hemisphere and used these as the input to our ANOVA. This was done to prevent double-sampling from shared signal sources within different contacts on a subject’s medial temporal lobe within one hemisphere. Using this method, we had 18 subiculum hemispheric samples (12 in left hemisphere, LH) from 15 patients (i.e., 3 patients with bilateral subiculum electrodes), 18 entorhinal samples (13 in LH) from 14 patients, 43 CA1 samples (25 in LH) from 37 patients, 23 dentate gyrus samples (15 in LH) from 21 patients, and 29 perirhinal samples (20 in LH) from 22 subjects.

Results

Behavior

If boundaries are crucial to the neural representation of spatial location, then subjects should generally be more accurate in their performance for target locations near boundaries than for locations far from boundaries (Hartley et al., 2004). To test the degree to which subjects rely on the environmental boundaries to perform our task, we divided the environment into two regions with equal areas, the boundary and the inner areas (Fig. 1C) and compared subjects’ performance as quantified by their MS (see Materials and Methods for details). A repeated-measures ANOVA with target location (boundary vs inner) as the within-subjects variable and sex as the between-subjects variable found significantly better performance for boundary than inner trials ($F_{1,36} = 9.94$, $p = 0.004$).
SUB \(\text{EC}\) Hz revealed two peaks in the frequencies in which boundary trials significantly varied according to whether the patient encoded a target location's proximity to the environmental walls elicited stronger signals in those frequencies (Fig. 3C). This indicates that the boundary effect found above is not an artificial consequence our particular designation of boundary and inner regions (Fig. 3D). In some cases, the boundary effect was significant even at the single-electrode level (Fig. 4).

There were no sex differences in the boundary theta effect in the subiculum \((F < 1, n.s.)\). Because only six of the 18 subicular samples were from the right hemisphere, we did not have sufficient power to test for hemispheric differences.

As a control to ensure that the difference between boundary and inner trials is truly driven by the target, we analyzed the LFP signals during the first 2 s of each trial, when the subject was standing within the virtual environment with no target object (-2 to 0 s before the start of the encoding period; Fig. 1A). As expected, before the target location appeared on screen, there were no differences between the boundary and the inner region \((t < 1, n.s.)\). In addition, to determine whether neural activity was modulated with respect to the subject's own location during that 2 s period, we compared LFP as a function of the subject's position (rather than the target position) in the virtual space. We did not find any significant effects at the population level.

### Neural results

A repeated-measures ANOVA was conducted to determine at the population level whether neural signals at three frequency ranges \((1–4, 4–10, \text{ and } 30–90 \text{ Hz})\) varied according to the presence of a target location near or far from a boundary, at particular frequency ranges and localized to a particular region \((17)\). Upon closer inspection of each region, there was a significant negative correlation between LFP power on each trial and distance from the target location to the closest boundary for both low-theta \((1–4 \text{ Hz})\), mean \(\beta\) weight \(-0.016, t_{(17)} = 2.54, p = 0.042\), Bonferroni-corrected and theta \((4–10 \text{ Hz})\), mean \(\beta\) weight \(-0.019, t_{(17)} = 2.54, p = 0.041\), Bonferroni-corrected, showing that the target location's proximity to the environmental walls elicited stronger signals in those frequencies (Fig. 3C). This indicates that the boundary effect found above is not an artificial consequence our particular designation of boundary and inner regions (Fig. 3D). In some cases, the boundary effect was significant even at the single-electrode level (Fig. 4).

We next examined this boundary-related signal at the level of individual hemispheric measurements and subjects. Fifteen of 18 hemispheric subiculum measurements showed greater theta power for navigating to boundary locations than inner locations \((t = 0.008)\) in 12 of 15 subjects \((t = 0.035)\). Across all subjects, there was a significant negative correlation between LFP power on each trial and distance from the target location to the closest boundary for both low-theta \((1–4 \text{ Hz})\), mean \(\beta\) weight \(-0.016, t_{(17)} = 2.54, p = 0.042\), Bonferroni-corrected and theta \((4–10 \text{ Hz})\), mean \(\beta\) weight \(-0.019, t_{(17)} = 2.54, p = 0.041\), Bonferroni-corrected, showing that the target location's proximity to the environmental walls elicited stronger signals in those frequencies (Fig. 3C). This indicates that the boundary effect found above is not an artificial consequence our particular designation of boundary and inner regions (Fig. 3D).

### Focusing on the subiculum

Focusing on the subiculum, an average power spectrum difference plot of boundary–inner trials across all subjects (Fig. 3A) revealed two peaks in the frequencies in which boundary trials elicited higher power than the inner trials. Tests for our three frequency bands revealed that encoding target locations near boundaries elicited greater theta power than encoding inner locations \((4–10 \text{ Hz})\): \(t_{(17)} = 3.22, p = 0.015\), Bonferroni-corrected (Fig. 3B), but that this effect was only marginally significant in the \(1–4 \text{ Hz}\) low-theta band \((t_{(17)} = 2.58, p = 0.057\), Bonferroni-corrected) and not significant in the \(30–90 \text{ Hz}\) gamma band \((t_{(17)} < 1, n.s.)\).
found no effects of boundary proximity in any frequency band (all $t < 1.5$, n.s.).

We also considered the possibility that our effects may be related to movement. Figure 3E displays the boundary–inner theta power difference for the target location over the course of the 5 s encoding period. Although the boundary effect for target location seems higher during the auto drive phase (2–4 s; Fig. 1A) than the turn and stop phases (1–2 s, 4–5 s), an analysis of boundary–inner differences separating out these two modes of movement (driving vs nondriving) shows that, whereas there was a significant effect of boundary ($F_{(1,17)} = 8.78, p = 0.009$), there was no significant effect of movement ($F_{(1,17)} < 1$, n.s.) or movement by boundary interaction ($F_{(1,17)} = 1.35, p = 0.26$).

In contrast to the target location, we saw no theta power modulation as a function of the subject’s own location (Fig. 3F). There were no theta power differences for boundary and inner subject locations across each second of the encoding period (all $t < 1.8$, n.s.); an ANOVA comparing the boundary–inner difference in theta power for the target location (Fig. 3E) and the subject location (Fig. 3F) confirmed that the boundary effect was significantly higher for the target location (boundary effect × location: $F_{(1,17)} = 4.69, p = 0.04$).

Although we excluded the trials with memory scores below mean performance (MS < 0.73) in the above analyses, it is still possible that the difference in theta power between the boundary categories simply reflected performance differences between boundary and inner locations (as opposed to boundary proximity per se). If this were true, then we should also see theta effects related to performance within the same boundary category (i.e., even for just inner locations, good trials should exhibit higher theta power than bad trials). To test this, we compared LFP power between the “good” trials (MS > 0.73) and (the previously excluded) “bad” trials (MS < 0.73) separately for each boundary category. We found no performance effects for either boundary or inner trials (all $t \leq 1.2$, n.s.), suggesting that subicular theta was not linked directly to performance. In fact, even the bad boundary trials elicited significantly higher theta power than the good inner trials ($t_{(17)} = 2.57, p = 0.02; t < 1.1$ for low-theta and gamma).

Finally, although we had selectively chosen to analyze the good trials to examine only successfully encoded cases with MS > 0.73, we confirmed that the boundary-related theta effect was present even when all trials were included (MS > 0; $t_{(17)} = 2.91$, $p = 0.03$, corrected). Nevertheless, unlike the good trials, the boundary effect was not significant ($t < 1$, n.s.) for bad trials with MS < 0.73, suggesting that the boundary effect was disrupted when memory encoding was poor, perhaps due to reasons such as fatigue, disorientation, or distraction.

**Discussion**

Our analysis of the LTP at various hippocampal subregions reveals for the first time in humans that the subiculum may play a key role in boundary-based spatial mapping. This finding extends previous neuroimaging studies implicating the human hippocampus in boundary-based navigation (Doeller et al., 2008; Bird et al., 2010) and is convergent with single-unit recording of boundary cells in the rodent subiculum (Lever et al., 2009). Interestingly, although rodents have boundary-related cells in both subiculum (Lever et al., 2009) and the EC (Solstad et al., 2008), we found boundary-related effects only in the former. One potential explanation for this difference is that the subiculum is more strongly involved than the EC in boundary-based spatial mapping. This account is consistent with rodent studies that reported a much higher percentage of boundary cells in the subiculum: (20–25%; Lever et al., 2009; Olson et al., 2017) than in the EC (6–11%;
Solstad et al., 2008; Boccara et al., 2010; Bjerknes et al., 2014; Tang et al., 2014).

Could the increase in theta power for boundary encoding be the result of increased activity from populations of human subicular boundary cells? Unlike other spatial cell types, which activate fairly evenly across an environment, the entire boundary-cell network is more active overall when representing particular areas of an environment (i.e., near boundaries). This coarse-grained spatial specificity in its firing properties is essential for our identification of boundary-related LFP activity. The theta effect that we observed might be broadly interpreted as a manifestation of boundary-based spatial encoding or navigation strategies. At the same time, however, it could signify the existence and dynamic activation of boundary-coding cells in the human subiculum. However, this type of boundary-related LFP signal change has not yet been analyzed in rodents, perhaps due to logistical constraints of, not only oneself, but also other individuals (Danjo et al., 2018; Omer et al., 2018). Under different circumstances, however, it may be possible to detect boundary encoding with respect to self-location rather than the target location. Our task required subjects to maximally attend to the location of the target for the brief 5 s that it was on screen; moreover, the automated movement during the encoding period made it unnecessary for subjects to attend to their own navigation through space. A different task design requiring subjects to track and control their own position adds to the body of evidence suggesting a role for the hippocampal formation in goal representation (Hok et al., 2007; Howard et al., 2014; Chadwick et al., 2015) and attended, viewed, imagined, and planned spatial mapping (Rolls, 1999; Killian et al., 2012; Pfeiffer and Foster, 2013; Bellmund et al., 2016; Horner et al., 2016) of, not only oneself, but also other individuals (Danjo et al., 2018; Omer et al., 2018).

The fact that our findings are specific to the target location rather than the subject location adds to the body of evidence suggesting a role for the hippocampal formation in goal representation (Hok et al., 2007; Howard et al., 2014; Chadwick et al., 2015) and attended, viewed, imagined, and planned spatial mapping (Rolls, 1999; Killian et al., 2012; Pfeiffer and Foster, 2013; Bellmund et al., 2016; Horner et al., 2016) of, not only oneself, but also other individuals (Danjo et al., 2018; Omer et al., 2018). Under different circumstances, however, it may be possible to detect boundary encoding with respect to self-location rather than the target location. Our task required subjects to maximally attend to the location of the target for the brief 5 s that it was on screen; moreover, the automated movement during the encoding period made it unnecessary for subjects to attend to their own navigation through space. A different task design requiring subjects to track and control their own position may detect boundary representations with respect to self-location (Ekstrom et al., 2003; Jacobs et al., 2013).

Past studies using human intracranial recordings have demonstrated the involvement of both low-theta (1–4 Hz) and theta (4–10 Hz) during both real and virtual navigation (M Aghajan et al., 2017; Bohbot et al., 2017) and low-frequency oscillations seem to be functionally involved in human memory and navigation (Watrous et al., 2013; Jacobs, 2014; Bush et al., 2017). Our boundary-related effects were mainly seen in the conventional 4–10 Hz range, where theta oscillations are commonly found in rodents. In contrast, we observed mixed results in the low-theta band, with a significant negative correlation between LFP power

![Figure 4](image-url)
and distance to the nearest boundary. It is possible that both low-theta and theta bands might be implicated in spatial processing in humans and the use of visual tasks in virtual environments might play a role in some cases (Bobbot et al., 2017). The present results may provide further insight that guides future work on identifying potential functional differences between these two frequency ranges.

Another open question for further study involves the dissociation of theta power increases in the medial temporal lobe related to memory performance with those related to spatial representation (as we have found in this study). It is difficult to completely disentangle spatial encoding of boundaries to spatial memory, given that successful performance should be a functional consequence of successful spatial encoding after all. Nevertheless, our control analyses show that the theta increases that we have observed in this task are not solely attributable to memory performance. Moreover, the detailed localization of these effects to the subiculum makes it unlikely that these theta effects are actually related to memory (which is a hippocampus-wide phenomenon) rather than to spatial boundaries.

Spatial mapping is one of the most essential survival skills for any self-locomoting animal and accurate metric representation of distance is essential to accurate place mapping; environmental boundaries, even in naturalistic terrains, provide a stable, invariant cue by which distance representations can be anchored and corrected (Gallistel, 1990). Researchers have suspected for nearly 70 years that even distantly related species such as rats and humans share cognitive and neural mechanisms that support such abilities (Tolman, 1948; O’Keefe and Nadel, 1978) and our results fill an important gap in the literature by identifying for the first time a highly localized neural representation of environmental boundaries in the human subiculum, just as in rats. Not only do these findings inform theories of common spatial coding in the vertebrate brain, they also give us another neural signature that we can use to investigate the flexible application of basic hippocampal representations in supporting abstract human conceptual knowledge (Spelke et al., 2010; Constantinescu et al., 2016; Jacobs and Lee, 2016; Garvert et al., 2017) and the cognitive impairments that result from their dysfunction (Bird et al., 2010; Lakusta et al., 2010).

References


Howard LR, Javadi AH, Yu Y, Mill RD, Morrison LC, Knight R, Loftus MM, Jacobs and Lee, 2016; Bartlett et al., 2008). This is critical for accurate spatial representation in virtual environments, and suggests that successful performance may be related to memory (which is a hippocampus-wide phenomenon) rather than to spatial boundaries.


