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Journal Title: Journal of the American Heart Association
Volume: Volume 7, Number 8
Publisher: Wiley Open Access: Creative Commons Attribution
Non-Commercial | 2018-04-17, Pages e007762-e007762
Type of Work: Article | Final Publisher PDF
Publisher DOI: 10.1161/JAHA.117.007762
Permanent URL: https://pid.emory.edu/ark:/25593/t0t3q

Final published version: http://dx.doi.org/10.1161/JAHA.117.007762

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Accessed October 4, 2019 7:30 PM EDT
Errors in Electronic Health Record–Based Data Query of Statin Prescriptions in Patients With Coronary Artery Disease in a Large, Academic, Multispecialty Clinic Practice

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Background—With the recent implementation of the Medicare Quality Payment Program, providers face increasing accountability for delivering high-quality care. Such pay-for-performance programs aim to leverage systematic data captured by electronic health record (EHR) systems to measure performance; however, the fidelity of EHR query for assessing performance has not been validated compared with manual chart review. We sought to determine whether our institution’s methodology of EHR query could accurately identify cases where providers failed to prescribe statins for eligible patients with coronary artery disease.

Methods and Results—A total of 9459 patients with coronary artery disease were seen at least twice at the Emory Clinic between July 2014 and June 2015, of whom 1338 (14.1%, 95% confidence interval 13.5–14.9%) had no statin prescription or exemption per EHR query. A total of 120 patient cases were randomly selected and reviewed by 2 physicians for further adjudication. Of the 120 cases initially classified as statin prescription failures, only 21 (17.5%; 95% confidence interval, 11.7–25.3%) represented true failure following physician review.

Conclusions—Sole reliance on EHR data query to measure quality metrics may lead to significant errors in assessing provider performance. Institutions should be cognizant of these potential sources of error, provide support to medical providers, and form collaborative data management teams to promote and improve meaningful use of EHRs. We propose actionable steps to improve the accuracy of EHR data query that require hypothesis testing and prospective validation in future studies. (J Am Heart Assoc. 2018;7:e007762. DOI: 10.1161/JAHA.117.007762.)

Key Words: coronary artery disease • electronic health records • guideline • performance measure • statin

Healthcare providers have become increasingly reliant on electronic health record (EHR) systems. At the same time, programs such as Meaningful Use propose to leverage EHR systems to document care processes, evaluate individual

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Received February 5, 2018; accepted March 19, 2018.

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DOI: 10.1161/JAHA.117.007762
Clinical Perspective

What Is New?

- Programs such as the Medicare Quality Payment Program aim to leverage electronic health records (EHRs) in holding healthcare providers accountable for delivering high-quality care; however, the fidelity of querying EHR data to accurately measure provider performance is not well established.
- Query of our EHR system identified 1338 of 9459 (14.1%) outpatients with coronary artery disease in a 1-year period who had no detectable statin prescription or exemption (ie, were deemed a metric “failure”), yet physician adjudication of 120 randomly sampled performance “failure” cases revealed that 82.5% of patient cases were misclassified as a metric failure.

What Are the Clinical Implications?

- Automated query of our EHR system was prone to error when attempting to identify eligible patients with coronary artery disease for whom appropriate statin therapy was not prescribed.
- Review of patient cases that were erroneously classified as performance failures demonstrated multifactorial root causes for misclassification, each requiring different strategies to improve the fidelity of automated quality performance measurements.
- This initiative highlights the possible risks of sole reliance on automated EHR data query in measuring performance without appropriate safeguards.

Methods

The scope of this initiative was reviewed with Emory’s institutional review board before publication. Our institutional review board determined that this initiative did not require the board’s oversight because the study did not constitute human subjects research but instead qualified as a quality improvement initiative. The data, analytic methods, and study materials will not be made available to other researchers for the purposes of reproducing the results or replicating the procedure because it would require access to our institution’s EHRs.

Study Population

This initiative was conducted at the Emory Clinic, an academic clinical practice that is the largest multispecialty practice in the state of Georgia. The Emory Clinic employs >2000 physician and nonphysician providers (including nurse practitioners and physician assistants) and accommodates between 2500 000 and 3000 000 patient encounters annually at >30 clinical locations throughout Georgia. This initiative was undertaken as a quality improvement project aimed at improving the rate of provider prescriptions of statins for eligible patients with CAD at our institution. A waiver from our institutional review board was obtained.

This initiative included patients aged ≥18 years with ≥2 outpatient encounters between July 1, 2014 to June 30, 2015 at primary and subspecialty clinics, with a diagnosis of CAD as identified by International Classification of Diseases, Ninth Revision (ICD-9), Current Procedural Terminology (CPT), and Systematized Nomenclature of Medicine (SNOMED) codes (Figure S1). Outpatients could be seen by either a physician or a nonphysician provider (nurse practitioner or physician assistant) from one of the following clinic sections: general internal medicine, gerontology, endocrinology, nephrology, obstetrics/gynecology, pulmonology, gastroenterology, rheumatology, infectious disease, family medicine, cardiology, and cardiac outreach. We counted patient encounters from these clinical sections because Medicare’s PQRS program includes these same sections for its CAD metrics for large clinic practices (as part of the Group Practice Reporting Option [GPRO]).

Study Procedures

We queried the Emory Clinic’s clinical data warehouse (CDW), which is a repository of data from the Emory Clinic’s EHR system (Cerner). The CDW extracts information nightly from Cerner Millennium. This information includes demographics, claims with diagnosis codes, orders, nursing documentation, clinical notes, procedures, imaging results, laboratory values, medication lists, allergies, visit summaries, and ancillary results, among other clinical subject areas. The data are integrated with billing and administrative data from a variety of other sources. Administrative data were used to derive a diagnosis of CAD from ICD-9, CPT, and SNOMED billing codes as well as baseline characteristics of the patient population. Clinical data derived from the CDW were used to identify
patients who were prescribed a statin or who had a documented statin allergy, intolerance, or refusal. Minimal data cleansing is performed, beyond integration with the administrative data. The expectation is that the CDW exactly reflects what can be viewed directly in Cerner with the exception that any data documented only within the free-text portion of the provider notes were not readily extracted. The CDW resides on a standard Oracle database in a custom-designed dimensional model. Routine access is through our business intelligence platform MicroStrategy, which was used to pull the information for this initiative.

Query of our EHR data identified 9459 unique patients representing a total of 29,713 outpatient encounters seen for a diagnosis of CAD and no statin exemption during the selected period. Consistent with Medicare’s GPRO guidelines, patients had to be seen at least twice during a 12-month period to be included in the performance analysis. Diagnosis codes (ICD-9) and procedure codes (CPT) were used to identify baseline characteristics of the population (Figure S2). Statin prescription was measured by querying the EHR active medication list, which identifies any active orders for medications, along with any documented home or self-reported medications. Emory mandates that all providers use its electronic prescribing system. Providers are encouraged to perform medicine reconciliation at each visit so that any medications prescribed outside of the Emory Clinic can be documented for the purposes of data capture. Query of EHR data was further used to identify patients with a statin exemption if they had a statin allergy, intolerance, or medication refusal listed in the allergy section or problem list.

EHR data query identified 1338 (14.1%) patients with CAD and no documentation of statin therapy or exemption. These query parameters were established in accordance with Medicare’s 2015 GPRO guidelines, which calculates performance using the following equation (equation 1):29

\[
\text{Performance} = \frac{\text{No. of patients on statin}}{\text{No. of patients } \geq 18\text{years old with CAD} - \text{No. of patients with statin exemption}}
\]

Each of the 120 patient charts was reviewed independently by 2 physicians. The reviewers assessed each patient’s problem list, medications, and allergies. Reviewers also assessed provider notes, procedural documentation, diagnostic results, and outpatient visit summaries for the 3 most recent visits during the reporting period. The primary objective of chart review was to determine whether providers had failed to prescribe statin therapy in eligible patients with CAD, in accordance with the 2013 American College of Cardiology/American Heart Association (ACC/AHA) Task Force Guidelines for the Treatment of Blood Cholesterol to Reduce Atherosclerotic Cardiovascular Risk in Adults.20 Specifically, we utilized the 2013 ACC/AHA cholesterol guideline defining of coronary atherosclerotic cardiovascular disease (ASCVD), which includes history of acute myocardial infarction, stable or unstable angina, or coronary revascularization presumed to be of atherosclerotic origin to determine whether each patient met criteria for a class I recommendation of statin use.20 During chart review, each of the following questions was asked sequentially to determine whether the provider had failed to prescribe statin therapy for eligible patients with CAD, according to the 2013 ACC/AHA cholesterol guidelines (Figure)20: (1) Did the patient meet criteria for “clinical” CAD and, therefore, have a class I indication for statin prescription? (2) Was the patient prescribed a statin according to the EHR medication list? (3) Was an exemption from statin therapy documented in the EHR allergies section or problem list (which represent discrete data elements in our CDW)? (4) Was an exemption from statin therapy documented in the provider's free-text note during clinical encounters and thus not be captured during EHR data query? (5) Did the patient have a comorbidity such as end-stage renal disease on dialysis or heart failure with New York Heart Association (NYHA) class II–IV symptoms for which the 2013 ACC/AHA cholesterol guidelines declined recommendations for or against statin use in patients with coronary ASCVD?20 If these criteria were not satisfied (Figure), reviewers adjudicated the case as a true failure of providers to prescribe statin therapy (ie, a true gap in clinical care). If the 2 initial reviewers disagreed, they discussed the cases to achieve consensus, and if the disagreement persisted, a third physician reviewer provided a tie-break.

We also performed a sensitivity analysis to determine whether inclusion of older adults affected the rate of EHR-based classification of provider performance. We excluded patients aged >75 years, for whom the recommendation for
high-intensity statins for coronary ASCVD is reduced from a class I to a class IIa strength of recommendation, depending on provider concerns about adverse effects, patient preference, polypharmacy, and drug–drug interaction.20,31,32

Statistical Analysis

Confidence intervals (CIs) at 95% were determined as a proportion without correction for continuity. An unweighted Cohen’s $\kappa$ coefficient was determined by classifying each of the 2 reviewers’ adjudications of the selected cases into a true versus erroneous designation of quality performance failure and categorizing the disagreement in a $2 \times 2$ matrix.33

Results

Patient Characteristics

Query of our EHR data identified a total of 9459 unique patients seen across 29 713 outpatient encounters for CAD without documented statin exemption between July 1, 2014, and July 30, 2015. Baseline characteristics of this patient population are summarized (Table 1, Figure S2).

Performance According to EHR Data Query

Between July 1, 2014, and June 30, 2015, EHR data query identified that 8121 of 9459 patients (85.9%; 95% CI, 85.1–86.5%) with CAD had a documented statin prescription or exemption. The statin prescription rates among the 3 specific clinical sections with the highest numbers of outpatient encounters for CAD (and for which manual chart review was performed) were as follows: cardiac outreach, 2621 of 3051 (85.9%; 95% CI, 84.6–87.1%); general cardiology, 3999 of 4562 (87.7%; 95% CI, 86.7–88.6%); and general internal medicine, 1090 of 1325 (82.3%; 95% CI, 80.1–84.2%). Baseline characteristics of the patient population from these 3 sections are shown in Table 1.

Performance According to Manual Chart Review by Physicians

EHR data query classified 1338 of 9459 patients (14.1%; 95% CI, 13.5–14.9%) with CAD as statin failures (ie, no statin prescribed and no documented statin exemption). From these 1338 “failure” cases, 120 unique patients (including 40 from each of the 3 sections of cardiac outreach, general cardiology, and general internal medicine) were adjudicated by 2 reviewers’ discussions and classification into true versus erroneous statin prescription failure, and categorization of the disagreement in a $2 \times 2$ matrix.
physicians (baseline characteristics shown in Table 1). Of these 120 patients, only 21 (17.5%; 95% CI, 11.7–25.3%) represented a true failure of the provider to prescribe statin therapy for eligible patients with CAD (or a true gap in clinical care). The remaining 99 patients (82.5%; 95% CI, 74.7–88.3%) were misclassified as cases in which the provider failed to meet the statin performance metric in eligible patients with CAD (Table 2). Fifty-five (45.8%; 95% CI, 37.2–54.7%) of these patients did not meet criteria for coronary ASCVD; of those, 18 (15.0%; 95% CI, 9.7–22.5%) underwent left heart catheterization and had no evidence of obstructive disease, 14 (11.7%; 95% CI, 7.1–18.6%) were inappropriately diagnosed with clinical CAD solely based on a high coronary artery calcium score, 12 (10.0%; 95% CI, 5.8–16.7%) did not have any diagnostic code consistent with coronary ASCVD on problem list; for 12 patients (10.0%; 95% CI, 5.8–16.7%), EHR data query did not detect an active statin prescription on the medication list; and 4 patients (3.3%; 95% CI, 1.3–8.3%) had a diagnosis of heart failure with NYHA class II–IV symptoms.

Cohen’s κ coefficient was calculated to determine agreement between independent reviewers during manual chart review. The value of κ was 0.863 (SE: 0.059; 95% CI, 0.747–0.980), which is considered very good agreement. For cases in which there was initial disagreement between the 2 reviewers, the 2 reviewers discussed these cases and achieved consensus in all cases.

A sensitivity analysis was performed to exclude patients aged >75 years, for whom use of high-intensity statins for CAD is less well established. Of the 120 total cases reviewed, 69 patients (57.5%) were aged ≤75 years. Twelve of these 69 patients (17.4%; 95% CI, 10.2–30.0%) met criteria for coronary ASCVD but lacked documentation for statin therapy or acceptable exemption and thus were adjudicated as having a true gap in clinical care. Fifty-seven patients (82.6%; 95% CI, 72.0–89.8%) were misclassified by EHR data query as statin performance failures; of those, 38 (55.1%; 95% CI, 43.4–66.2%) did not meet criteria for coronary ASCVD (Table 3).

### Table 1. Baseline Characteristics of the Patients With Presumed CAD Based on EHR Query Seen at the Emory Clinic July 1, 2014 to June 30, 2015

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Patients in All Clinical Sections of the Emory Clinic (9459)</th>
<th>Patients Within the 3 Clinical Subsections of Cardiology, Cardiac Outreach, and GIM (8938)</th>
<th>Patients Randomly Selected for Review (120)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y, mean±SD</td>
<td>74±11.6</td>
<td>73.6±11.5</td>
<td>74.4 ±12.4</td>
</tr>
<tr>
<td>Female, n (%</td>
<td>3472 (36.7)</td>
<td>3294 (36.9)</td>
<td>49 (40.8)</td>
</tr>
<tr>
<td>Race, n (%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>6107 (64.7)</td>
<td>5818 (65.1)</td>
<td>85 (70.8)</td>
</tr>
<tr>
<td>Black</td>
<td>2808 (29.7)</td>
<td>2624 (29.4)</td>
<td>28 (23.3)</td>
</tr>
<tr>
<td>Asian</td>
<td>166 (1.8)</td>
<td>152 (1.7)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>Hispanic or Latino</td>
<td>118 (1.3)</td>
<td>106 (1.2)</td>
<td>2 (1.6)</td>
</tr>
<tr>
<td>Other</td>
<td>239 (2.5)</td>
<td>216 (2.4)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>Race data missing</td>
<td>21 (0.2)</td>
<td>11 (0.9)</td>
<td>1 (0.8)</td>
</tr>
<tr>
<td>Prior history of MI, n (%)</td>
<td>586 (6.2)</td>
<td>555 (6.2)</td>
<td>4 (3.3)</td>
</tr>
<tr>
<td>Prior history of PCI, n (%)</td>
<td>2584 (27.4)</td>
<td>2499 (28.0)</td>
<td>19 (15.8)</td>
</tr>
<tr>
<td>Prior history of CABG, n (%)</td>
<td>1243 (13.2)</td>
<td>1168 (13.1)</td>
<td>7 (5.8)</td>
</tr>
<tr>
<td>Diabetes mellitus, n (%)</td>
<td>3113 (33.0)</td>
<td>2864 (32.0)</td>
<td>33 (27.5)</td>
</tr>
<tr>
<td>Hypertension, n (%)</td>
<td>7775 (82.4)</td>
<td>7372 (82.5)</td>
<td>95 (79.2)</td>
</tr>
<tr>
<td>Heart failure, n (%)</td>
<td>2041 (21.6)</td>
<td>1949 (21.8)</td>
<td>31 (25.8)</td>
</tr>
</tbody>
</table>

Baseline characteristics were identified by ICD-9 and CPT codes (see Figure S2 for full list of specific ICD-9 and CPT codes used). CABG indicates coronary artery bypass grafting; CAD, coronary artery disease; CPT, Current Procedural Terminology; EHR, electronic health record; GIM, general internal medicine; ICD-9, International Classification of Diseases, Ninth Revision; MI, myocardial infarction; PCI, percutaneous coronary intervention.

DOI: 10.1161/JAHA.117.007762
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The 2013 ACC/AHA cholesterol guidelines define clinical ASCVD of coronary origin as a history of acute myocardial infarction, stable or unstable angina, or coronary revascularization presumed to be of atherosclerotic origin.

whereas 19 (27.5%; 95% CI, 18.4–39.1%) had documented clinical CAD. Of those 19 with documented clinical CAD, 9 (13.0%; 95% CI, 7.0–23.0%) had a statin exemption written in the provider’s free-text clinical note, 3 (4.4%; 95% CI, 1.5–12.0%) had a documented statin exemption listed in the allergy section or problem list that was missed by EHR data query, 5 (7.3%; 95% CI, 3.1–15.9%) were on a statin according to the medication list, and 2 (2.9%; 95% CI, 0.8–10.0%) had heart failure with NYHA class II–IV symptoms (Table 3).

Discussion

The aim of our initiative was to validate whether EHR data query at our institution had appropriately classified cases in which eligible patients with CAD had been prescribed a statin by their medical provider. Query of our institution’s CDW identified a 14.1% rate of failure to prescribe statins for eligible patients with CAD; however, only 17.5% of these cases were correctly classified as performance failures compared with manual chart adjudication, suggesting that our institution’s EHR data query had falsely identified a substantial number of patients failing the statin metric. The proportion of patients correctly classified as performance failures was similar (17.4%) when we excluded older patients who did not satisfy criteria for a class I recommendation for statin therapy. Our findings are consistent with a prior study published >10 years ago28 that reported EHR data query was highly prone to errors in identifying cases in which providers failed to prescribe lipid-lowering therapy in patients with CAD. Over the past decade, there has been increasing reliance on the use of EHRs and systematic data query; however, despite this fact, the medical field and EHR vendors have failed to validate or even significantly improve EHR-based data capture as a reliable way to measure performance.

In our initiative, there were 4 major reasons for errors of classification by automated EHR-based query. The most common cause of classification error was ambiguity between the umbrella term CAD versus the most recent guideline definition of coronary ASCVD. Although most clinicians agree that <50% lesions on left heart catheterization or coronary artery calcifications represent an early spectrum of coronary ASCVD requiring further risk stratification and modification, these patients do not necessarily satisfy the criteria for a class 1 recommendation for statin therapy on the basis of clinically manifest coronary ASCVD alone. The next most common reason for erroneous classification was due to the clinical provider not appropriately revising the EHR face sheet...
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Table 3. Results of Physician Adjudication of 69 Patients From Sensitivity Analysis of Patients Aged ≤75 Years, Sampled From Patients With Presumed CAD Without a Statin Prescription or Documented Exemption

<table>
<thead>
<tr>
<th>No Clinical ASCVD of Coronary Origin* (n=38, 55.1%)</th>
<th>EHR Data Query Did Not Detect Patient on Statin (n=5, 7.2%)</th>
<th>EHR Data Query Did Not Detect Statin Exemption (n=3, 4.4%)</th>
<th>Exemption to Statin Listed in Free-Text Note Only (n=9, 13.0%)</th>
<th>Comorbidity Downgrades Strength of Evidence for Statin (n=2, 2.9%)</th>
<th>True Failure to Prescribe Statin (n=12, 17.4%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAD on problem list, but patient had coronary angiogram with no evidence of obstructive CAD (n=10, 14.5%)</td>
<td>Simvastatin (n=2, 2.9%)</td>
<td>Allergy listed to “statins” (n=3, 4.4%)</td>
<td>Patient intolerant to statins NOS (n=3, 4.4%)</td>
<td>Heart failure with NYHA class II–IV symptoms (n=2, 2.9%)</td>
<td>...</td>
</tr>
<tr>
<td>CAD on problem list, but patient had only CT scan showing coronary artery calcium (n=10, 14.5%)</td>
<td>Pravastatin (n=1, 1.4%)</td>
<td>...</td>
<td>Patient with liver disease or elevated LFTs (n=4, 5.8%)</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>CAD or coronary ASCVD equivalent code not on problem list (n=9, 13.0%)</td>
<td>Atorvastatin (n=1, 1.4%)</td>
<td>...</td>
<td>Patient refused statin (n=1, 1.4%)</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>CAD on problem list, but patient had noninvasive stress testing, ruling out ischemic etiology of chest pain (n=7, 10.1%)</td>
<td>Rosuvastatin (n=1, 1.4%)</td>
<td>...</td>
<td>Statin not prescribed because of medication interaction or side effect (n=1, 1.4%)</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>CAD on EHR problem list, but patient lacked clinical symptoms and no other diagnostic testing was performed (n=2, 2.9%)</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
</tbody>
</table>

ACC indicates American College of Cardiology; AHA, American Heart Association; ASCVD, atherosclerotic cardiovascular disease; CAD, coronary artery disease; CT, computed tomography; EHR, electronic health record; LFTs, liver function tests; NOS, not otherwise specified; NYHA, New York Heart Association.

*The 2013 ACC/AHA cholesterol guidelines define clinical ASCVD of coronary origin as a history of acute myocardial infarction, stable or unstable angina, or coronary revascularization presumed to be of atherosclerotic origin.

to reflect clinical data. This includes cases in which the provider listed a statin exemption in the free-text portion of the note only, which prevented detection by automated data query. It also includes cases in which providers did not revise or remove ASCVD-equivalent diagnoses from the problem list if the patient was either asymptomatic or underwent negative stress testing. The third most common reason was related to the EHR detection algorithm not incorporating appropriate inclusion or exclusion criteria. This includes patients for whom the provider listed a statin exemption or prescription in a discrete EHR data field. The authors determined that the detection algorithm was overly strict in these cases because if a patient had been discontinued from a statin at any point during the review period, regardless of whether he or she had been on a statin for the majority of the year or even restarted on a statin during the review period, the patient would have been classified as not being on a statin for the year. As for statin exemptions, the Medicare GPRO specifications dictated that it was not merely sufficient to list “statins” on the allergy list. If the “reaction” to statins in the allergy list was specified as “side effect” rather than “allergy” or “intolerance,” the CDW detection algorithm did not classify this as a proper statin exemption. In addition, the EHR data query algorithm included patients with NYHA class II–IV heart failure who would be exempt from statin therapy, as per AHA/ACC guidelines. Finally, the fourth most common reason for erroneous classification was integration of administrative billing data (Table 4).

The authors propose that the findings from this initiative may generate ideas for specific actionable steps that may improve the accuracy of automated EHR data query for the purposes of quality performance assessment. The first step would be to revise diagnostic coding schemes to match clinical guideline diagnoses that call for specific guideline-recommended treatments. The second step would be to engineer alerts into the EHR that notify providers that the patient has a diagnostic code eligible for assessment of quality performance, which should then prompt the provider to give the appropriate treatment, to revise the coded diagnosis, or to document the appropriate exemption in a discrete queryable field. Third, providers need to work with data analytic teams to ensure that specific detection algorithms are adjusted as appropriate in real time. Finally, we should likely discontinue integration of administrative billing data, which is known to introduce significant error, and instead rely primarily on clinical data derived from the EHRs (Table 4).

Our findings have limitations. We sampled only patient charts of CAD cases classified by EHR data query as statin performance failures; we did not sample charts of CAD patients who were classified as statin prescription successes, per EHR query, nor did we ask whether EHR data query had missed detecting patients with coronary ASCVD. It is possible that EHR data query also erroneously misclassified cases as satisfying the statin prescription metric, which could actually overestimate the actual rate of performance. For this reason,
Table 4. Classification of Errors and Proposed Actionable Steps Identified During Physician-Adjudicated Review of Automated Data Query in Determining Quality Performance for Statin Prescriptions in Eligible Patients With CAD

<table>
<thead>
<tr>
<th>Type of Error</th>
<th>Disparate Definitions of CAD Between Clinical Consensus Guidelines and Standardized Coding Terminology</th>
<th>Clinical Provider Did Not Appropriately Revise EHR Face Sheet Given Clinical Data</th>
<th>EHR Detection Algorithm Did Not Incorporate Appropriate Inclusion/Exclusion Criteria</th>
<th>Integration of Administrative Billing Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example of error</td>
<td>CAD on problem list, but patient had coronary angiogram with no evidence of obstructive CAD; CAD on problem list, but patient had only CT scan showing coronary artery calcium</td>
<td>CAD on problem list, but patient had noninvasive stress testing, ruling out ischemic etiology of chest pain; CAD on EHR problem list, but patient lacked clinical symptoms and no other diagnostic testing was performed; exemption to statin listed in free-text note only</td>
<td>EHR data query did not detect patient on statin; EHR data query did not detect statin exemption; comorbidity downgrades strength of evidence for statin</td>
<td>CAD or coronary ASCVD* equivalent code not on problem list</td>
</tr>
<tr>
<td>Actionable step to reduce error</td>
<td>Revise diagnostic coding schemes to match clinical guideline diagnoses that call for specific guideline-recommended treatments</td>
<td>Engineer alerts into the EHR notifying providers that the patient has a diagnostic code eligible for assessment of quality performance—and prompt a response</td>
<td>Providers should work with data analytic teams to revise detection algorithms to ensure proper inclusion/exclusion criteria adjusted through performance year</td>
<td>Discontinue integration of administrative billing data</td>
</tr>
</tbody>
</table>

ACC indicates American College of Cardiology; AHA, American Heart Association; ASCVD, atherosclerotic cardiovascular disease; CAD, coronary artery disease; CT, computed tomography; EHR, electronic health record.

*The 2013 ACC/AHA cholesterol guidelines define clinical ASCVD of coronary origin as a history of acute myocardial infarction, stable or unstable angina, or coronary revascularization presumed to be of atherosclerotic origin.

We are unable to provide a revised statin prescription performance rate for eligible patients with CAD. Another limitation is that we also only included patients with coronary ASCVD meeting a class I recommendation for statin therapy by current ACC/AHA guidelines.20 We did not include other populations for which statin therapy is recommended, including those with peripheral arterial disease, cerebrovascular disease, diabetes mellitus, familial hypercholesterolemia, total low-density lipoprotein >190 mg/dL, and patients whose 10-year risk for cardiovascular events exceeds 7.5%. However, the additional complexity of these parameters would likely only further undermine the accuracy of our EHR-based identification of eligible patients being prescribed a statin. In addition, our initiative measured only rates of statin prescription (or documentation of statin exemption); we did not evaluate for patient adherence to statins. Finally, the patient population studied during our review may not reflect characteristics of the broader population of patients with CAD, and thus generalization of our results should be avoided. Each institution should conduct its own review to determine the applicability of our findings.

Conclusion

Although EHR data query may conceivably represent an efficient way to measure provider performance at a population level, this approach did not perform well at our institution compared with physician adjudication in the accurate identification of cases for which the provider failed to prescribe a statin to eligible patients with CAD. In fact, our findings suggest that our institution’s EHR-based data query was highly prone to errors when measuring provider performance, and dependence on such methods to justify payer reimbursements may not reflect actual quality delivered. We propose possible actionable steps to improve EHR data query accuracy for our institution; however, further research prospectively validating our recommendations is required before they can be considered evidence-based.

Sources of Funding

This initiative was supported by internal funds at the Emory Clinic, Atlanta, GA.

Disclosures

None.

References


DOI: 10.1161/JAHA.117.007762


Figure S1. Diagnosis and procedure codes (ICD-9, CPT, and SNOMED codes) used to identify patients with coronary artery disease during query of our electronic health record system.

ICD-9 codes for coronary artery disease:

410.00 : AMI ANTEROLATERAL UNSPEC
410.01 : AMI ANTEROLATERAL INIT
410.02 : AMI ANTEROLATERAL SUBSEQ
410.10 : AMI ANTERIOR WALL UNSPEC
410.11 : AMI ANTERIOR WALL INIT
410.12 : AMI ANTERIOR WALL SUBSEQ
410.20 : AMI INFEROLATERAL UNSPEC
410.21 : AMI INFEROLATERAL INIT
410.22 : AMI INFEROLATERAL SUBSEQ
410.30 : AMI INFEROPOST UNSPEC
410.31 : AMI INFEROPOST INITIAL
410.32 : AMI INFEROPOST SUBSEQ
410.40 : AMI INFERIOR WALL UNSPEC
410.41 : AMI INFERIOR WALL INIT
410.42 : AMI INFERIOR WALL SUBSEQ
410.50 : AMI LATERAL NEC UNSPEC
410.51 : AMI LATERAL NEC INITIAL
410.52 : AMI LATERAL NEC SUBSEQ
410.60 : TRUE POST INFARCT UNSPEC
410.61 : TRUE POST INFARCT INIT
410.62 : TRUE POST INFARCT SUBSEQ
410.70 : SUBENDO INFARCT UNSPEC
410.71 : SUBENDO INFARCT INITIAL
410.72 : SUBENDO INFARCT SUBSEQ
410.80 : AMI NEC UNSPECIFIED
410.81 : AMI NEC INITIAL
410.82 : AMI NEC SUBSEQUENT
410.90 : AMI NOS UNSPECIFIED
410.91 : AMI NOS INITIAL
410.92 : AMI NOS SUBSEQUENT
411.0 : POST MI SYNDROME
411.1 : INTERMED CORONARY SYND
411.81 : ACUTE COR OCCLSN W/O MI
411.89 : AC ISCHEMIC HRT DIS NEC
412 : OLD MYOCARDIAL INFARCT
413.0 : ANGINA DECUBITUS
413.1 : PRINZMETAL ANGINA
413.9 : ANGINA PECTORIS NEC/NOS
414.00 : COR ATH UNSP VSL NTV/GFT
414.01 : CRNRY ATHRSCL NATVE VSSL
414.02 : CRN ATH ATLG VN BPS GRFT
414.03 : CRN ATH NONATLG BLG GRFT
414.04 : COR ATH ARTRY BYPAS GRFT
414.05 : COR ATH BYPASS GRAFT NOS
414.06 : COR ATH NATV ART TP HRT
414.07 : COR ATH BPS GRAFT TP HRT
414.2 : CHRONIC TOTAL OCCLUSION OF
414.3 : CORONARY ARTERY
414.4 : CORONARY ATHEROSCLEROSIS DUE TO
414.8 : CHR ISCHEMIC HRT DIS NEC
414.9 : CHR ISCHEMIC HRT DIS NOS

CPT codes for coronary artery disease:

33140: Transmyocardial laser revascularization, by thoracotomy
33510: Coronary artery bypass, vein only; single coronary venous graft
33511: Coronary artery bypass, vein only; two coronary venous grafts
33512: Coronary artery bypass, vein only; three coronary venous grafts
33513: Coronary artery bypass, vein only; four coronary venous grafts
33514: Coronary artery bypass, vein only; five coronary venous grafts
33516: Coronary artery bypass, vein only; six or more coronary venous grafts
33517: Coronary artery bypass, using venous graft(s) and arterial graft(s); single vein graft (List separately in addition to code for primary procedure)
33518: Coronary artery bypass, using venous graft(s) and arterial graft(s); two venous grafts (List separately in addition to code for primary procedure)
33519: Coronary artery bypass, using venous graft(s) and arterial graft(s); three venous grafts (List separately in addition to code for primary procedure)
33521: Coronary artery bypass, using venous graft(s) and arterial graft(s); four venous grafts (List separately in addition to code for primary procedure)
33522: Coronary artery bypass, using venous graft(s) and arterial graft(s); five venous grafts (List separately in addition to code for primary procedure)
33523: Coronary artery bypass, using venous graft(s) and arterial graft(s); six or more venous grafts (List separately in addition to code for primary procedure)
33533: Coronary artery bypass, using arterial graft(s); single arterial graft
33534: Coronary artery bypass, using arterial graft(s); two coronary arterial grafts
33535: Coronary artery bypass, using arterial graft(s); three coronary arterial grafts
Coronary artery bypass, using arterial graft(s); four or more coronary arterial grafts
Percutaneous transluminal coronary angioplasty; single major coronary artery or branch
Percutaneous transluminal coronary atherectomy, with coronary angioplasty when performed; single major coronary artery or branch
Percutaneous transcatheater placement of intracoronary stent(s), with coronary angioplasty when performed; single major coronary artery or branch
Percutaneous transluminal coronary atherectomy, with intracoronary stent, with coronary angioplasty when performed; single major coronary artery or branch
Percutaneous transluminal revascularization of or through coronary artery bypass graft
(internal mammary, free arterial, venous), any combination of intracoronary stent, atherectomy and angioplasty, including distal protection when performed; single vessel
Percutaneous transluminal revascularization of acute total / subtotal occlusion during acute myocardial infarction, coronary artery or coronary artery bypass graft, any combination of intracoronary stent, atherectomy and angioplasty, including aspiration thrombectomy when performed; single vessel
Percutaneous transluminal revascularization of chronic total occlusion, coronary artery, coronary artery branch, or coronary artery bypass graft, any combination of intracoronary stent, atherectomy and angioplasty; single vessel
Transcatheater placement of an intracoronary stent(s), percutaneous, with or without other therapeutic intervention, any method; single vessel
Transcatheater placement of an intracoronary stent(s), percutaneous, with or without other therapeutic intervention, any method; each additional vessel (List separately in addition to code for primary procedure)
Percutaneous transluminal coronary balloon angioplasty; single vessel
Percutaneous transluminal coronary balloon angioplasty; each additional vessel (List separately in addition to code for primary procedure)
Percutaneous transluminal coronary atherectomy, by mechanical or other method, with or without balloon angioplasty; single vessel
Percutaneous transluminal coronary atherectomy, by mechanical or other method, with or without balloon angioplasty; each additional vessel (List separately in addition to code for primary procedure)

SNOMED codes for coronary artery disease:

1755008: Old myocardial infarction
3546002: Aortocoronary artery bypass graft with saphenous vein graft
10273003: Acute infarction of papillary muscle
10326007: Coronary artery bypass with autogenous graft, three grafts
10365005: Right main coronary artery thrombosis
15256002: Transmyocardial revascularization by laser technique
15990001: Acute myocardial infarction of posterolateral wall
22298006: Myocardial infarction
28248000: Left anterior descending coronary artery thrombosis
29899005: Coronary artery embolism
30277009: Acute myocardial infarction with rupture of ventricle
30670000: Anastomosis of thoracic artery to coronary artery, double
32574007: Past myocardial infarction diagnosed on ECG AND/OR other special investigation, but currently presenting no symptoms
39202005: Coronary artery bypass with autogenous graft, four grafts
39724006: Anastomosis of internal mammary artery to coronary artery, double vessel
42531007: Microinfarct of heart
48431000: Anastomosis of thoracic artery to coronary artery, single
50570003: Aneurysm of coronary vessels
52035003: Acute anteroapical myocardial infarction
53741008: Coronary arteriosclerosis
54329005: Acute myocardial infarction of anterior wall
57054005: Acute myocardial infarction
58612006: Acute myocardial infarction of lateral wall
62695002: Acute anteroseptal myocardial infarction
63739005: Coronary occlusion
65547006: Acute myocardial infarction of inferolateral wall
67682002: Coronary artery atheroma
70211005: Acute myocardial infarction of anterolateral wall
70422006: Acute subendocardial infarction
73795002: Acute myocardial infarction of inferior wall
74218008: Coronary artery arising from main pulmonary artery
74371005: Coronary artery bypass with autogenous graft, two grafts
75398000: Anomalous origin of coronary artery
79009004: Acute myocardial infarction of septum
81266008: Heart revascularization
82247006: Coronary artery bypass with autogenous graft, five grafts
87343002: Prinzmetal angina
90205004: Cardiac revascularization with bypass anastomosis
92517006: Calcific coronary arteriosclerosis
119564002: Internal mammary-coronary artery bypass graft
119565001: Coronary artery bypass graft, anastomosis of artery of thorax to coronary artery
123641001: Left coronary artery occlusion (disorder)
123642008: Right coronary artery occlusion (disorder)
129574000: Postoperative myocardial infarction (disorder)
161502000: H/O: myocardial infarct at less than 60
161503005: H/O: myocardial infarct at greater than 60
174911007: Revascularization of wall of heart
175007008: Saphenous vein graft replacement of one coronary artery
175008003: Saphenous vein graft replacement of two coronary arteries
175009006: Saphenous vein graft replacement of three coronary arteries
Saphenous vein graft replacement of four or more coronary arteries
Allograft bypass of coronary artery
Allograft bypass of one coronary artery
Allograft bypass of two coronary arteries
Allograft bypass of three coronary arteries
Allograft bypass of four or more coronary arteries
Revision of bypass for coronary artery
Revision of bypass for one coronary artery
Revision of bypass for two coronary arteries
Revision of bypass for three coronary arteries
Revision of bypass for four or more coronary arteries
Connection of mammary artery to coronary artery
Double implantation of mammary arteries into coronary arteries
Single anastomosis of mammary artery to left anterior descending coronary artery
Single implantation of mammary artery into coronary artery (procedure)
Acute anteroapical infarction
True posterior myocardial infarction
Acute myocardial infarction of atrium
Single coronary vessel disease
Double coronary vessel disease
Subsequent myocardial infarction
Coronary artery bypass grafting (procedure)
Coronary artery bypass graft x 1
Coronary artery bypass grafts x 2
Coronary artery bypass grafts x 3
Coronary artery bypass grafts x 4
Coronary artery bypass grafts x 5
Coronary artery bypass grafts greater than 5
Triple vessel disease of the heart
Acute widespread myocardial infarction
Acute posterior myocardial infarction
Old anterior myocardial infarction
Old inferior myocardial infarction
Old lateral myocardial infarction
Old posterior myocardial infarction
Silent myocardial infarction
Coronary artery stenosis
Double anastomosis of mammary arteries to coronary arteries (procedure)
Single internal mammary-coronary artery bypass
275216000:  Coronary artery bypass with autogenous graft of internal mammary artery, single graft
275227003:  Coronary artery bypass with autogenous graft, four grafts
275252001:  Anastomosis of internal mammary artery to coronary artery, double vessel
275253006:  Right internal mammary artery sequential anastomosis
275905002:  H/O: myocardial problem
287277008:  Anastomosis of thoracic artery to coronary artery, single
304914007:  Acute Q wave myocardial infarction
307140009:  Acute non-Q wave infarction
308065005:  H/O: Myocardial infarction in last year
309814006:  Coronary artery bypass with autogenous graft, two grafts
314207007:  Non-Q wave myocardial infarction
315348000:  Asymptomatic coronary heart disease
359597003:  Single internal mammary-coronary artery bypass (procedure)
359601003:  Coronary artery bypass with autogenous graft, five grafts
371068009:  Myocardial infarction with complication
371803003:  Multi vessel coronary artery disease
371804009:  Left main coronary artery disease
371805005:  Significant coronary bypass graft disease
394710008:  First myocardial infarction
398274000:  Coronary artery thrombosis
399211009:  History of myocardial infarction
401303003:  Acute ST segment elevation myocardial infarction
401314000:  Acute non-ST segment elevation myocardial infarction
408546009:  Coronary artery bypass graft occlusion
414088005:  Emergency coronary artery bypass graft
418044006:  Myocardial infarction in recovery phase
420006002:  Obliterative coronary artery disease
421327009:  Coronary artery stent thrombosis
427919004:  Coronary arteriosclerosis due to radiation
428196007:  Mixed myocardial ischemia and infarction
428752002:  Recent myocardial infarction
429245005:  Recurrent coronary arteriosclerosis after percutaneous transluminal coronary angioplasty
Figure S2. Diagnosis and procedure codes (ICD-9 and CPT codes) used for determining baseline characteristics of the study population.

CODES FOR PRIOR MI: (ICD-9)

ICD-9 CODES:

410.00 : AMI ANTEROLATERAL UNSPEC
410.01 : AMI ANTEROLATERAL INIT
410.02 : AMI ANTEROLATERAL SUBSEQ
410.10 : AMI ANTERIOR WALL UNSPEC
410.11 : AMI ANTERIOR WALL INIT
410.12 : AMI ANTERIOR WALL SUBSEQ
410.20 : AMI INFEROLATERAL UNSPEC
410.21 : AMI INFEROLATERAL INIT
410.22 : AMI INFEROLATERAL SUBSEQ
410.30 : AMI INFEROPOST UNSPEC
410.31 : AMI INFEROPOST INITIAL
410.32 : AMI INFEROPOST SUBSEQ
410.40 : AMI INFERIOR WALL UNSPEC
410.41 : AMI INFERIOR WALL INIT
410.42 : AMI INFERIOR WALL SUBSEQ
410.50 : AMI LATERAL NEC UNSPEC
410.51 : AMI LATERAL NEC INITIAL
410.52 : AMI LATERAL NEC SUBSEQ
410.60 : TRUE POST INFARCT UNSPEC
410.61 : TRUE POST INFARCT INIT
410.62 : TRUE POST INFARCT SUBSEQ
410.70 : SUBENDO INFARCT UNSPEC
410.71 : SUBENDO INFARCT INITIAL
410.72 : SUBENDO INFARCT SUBSEQ
410.80 : AMI NEC UNSPECIFIED
410.81 : AMI NEC INITIAL
410.82 : AMI NEC SUBSEQUENT
410.90 : AMI NOS UNSPECIFIED
410.91 : AMI NOS INITIAL
410.92 : AMI NOS SUBSEQUENT
412 : OLD MYOCARDIAL INFARCT
CODES FOR PRIOR PCI: (ICD-9, CPT)

ICD-9 CODES

V45.82: STATUS-POST PTCA

CPT CODES:

92920: Percutaneous transluminal coronary angioplasty; single major coronary artery or branch
92924: Percutaneous transluminal coronary atherectomy, with coronary angioplasty when performed; single major coronary artery or branch
92928: Percutaneous transcatheter placement of intracoronary stent(s), with coronary angioplasty when performed; single major coronary artery or branch
92933: Percutaneous transluminal coronary atherectomy, with intracoronary stent, with coronary angioplasty when performed; single major coronary artery or branch
92937: Percutaneous transluminal revascularization of or through coronary artery bypass graft (internal mammary, free arterial, venous), any combination of intracoronary stent, atherectomy and angioplasty, including distal protection when performed; single vessel
92941: Percutaneous transluminal revascularization of acute total / subtotal occlusion during acute myocardial infarction, coronary artery or coronary artery bypass graft, any combination of intracoronary stent, atherectomy and angioplasty, including aspiration thrombectomy when performed; single vessel
92943: Percutaneous transluminal revascularization of chronic total occlusion, coronary artery, coronary artery branch, or coronary artery bypass graft, any combination of intracoronary stent, atherectomy and angioplasty; single vessel
92980: Transcatheter placement of an intracoronary stent(s), percutaneous, with or without other therapeutic intervention, any method; single vessel
92981: Transcatheter placement of an intracoronary stent(s), percutaneous, with or without other therapeutic intervention, any method; each additional vessel (List separately in addition to code for primary procedure)
92982: Percutaneous transluminal coronary balloon angioplasty; single vessel
92984: Percutaneous transluminal coronary balloon angioplasty; each additional vessel (List separately in addition to code for primary procedure)
92995: Percutaneous transluminal coronary atherectomy, by mechanical or other method, with or without balloon angioplasty; single vessel
92996: Percutaneous transluminal coronary atherectomy, by mechanical or other method, with or without balloon angioplasty; each additional vessel (List separately in addition to code for primary procedure)

CODES FOR PRIOR CABG: (ICD-9, CPT)

ICD-9 CODES:

V45.81: AORTOCoronary BYPASS
CPT CODES:

33510: Coronary artery bypass, vein only; single coronary venous graft
33511: Coronary artery bypass, vein only; two coronary venous grafts
33512: Coronary artery bypass, vein only; three coronary venous grafts
33513: Coronary artery bypass, vein only; four coronary venous grafts
33514: Coronary artery bypass, vein only; five coronary venous grafts
33516: Coronary artery bypass, vein only; six or more coronary venous grafts
33517: Coronary artery bypass, using venous graft(s) and arterial graft(s); single vein graft (List separately in addition to code for primary procedure)
33518: Coronary artery bypass, using venous graft(s) and arterial graft(s); two venous grafts (List separately in addition to code for primary procedure)
33519: Coronary artery bypass, using venous graft(s) and arterial graft(s); three venous grafts (List separately in addition to code for primary procedure)
33521: Coronary artery bypass, using venous graft(s) and arterial graft(s); four venous grafts (List separately in addition to code for primary procedure)
33522: Coronary artery bypass, using venous graft(s) and arterial graft(s); five venous grafts (List separately in addition to code for primary procedure)
33523: Coronary artery bypass, using venous graft(s) and arterial graft(s); six or more venous grafts (List separately in addition to code for primary procedure)
33533: Coronary artery bypass, using arterial graft(s); single arterial graft
33534: Coronary artery bypass, using arterial graft(s); two coronary arterial grafts
33535: Coronary artery bypass, using arterial graft(s); three coronary arterial grafts
33536: Coronary artery bypass, using arterial graft(s); four or more coronary arterial grafts

CODES FOR DIABETES MELLITUS: (ICD-9)

ICD-9 CODES:

250.XX

CODES FOR HYPERTENSION: (ICD-9)

ICD-9 CODES

401.0 : MALIGNANT HYPERTENSION–
401.1 : BENIGN HYPERTENSION–
401.9 : HYPERTENSION NOS–
402.00 : MAL HYP HT DIS W/O HF–
402.01 : MAL HYPERT HRT DIS W HF–
402.10 : BENIGN HYP HT DIS W/O HF–
402.11 : BENIGN HYP HT DIS W HF–
402.90 : HYP HRT DIS NOS W/O HF–
402.91 : HYP HT DIS NOS W HT FAIL–
403.00 : MAL HYP REN W/O REN FAIL –
403.01 : MAL HYP REN W RENAL FAIL–
403.10 : BEN HYP REN W/O REN FAIL–
403.11 : BEN HYP RENAL W REN FAIL–
403.90 : HYP REN NOS W/O REN FAIL–
403.91 : HYP RENAL NOS W REN FAIL–
404.00 : MAL HY HT/REN W/O HF/RF–
404.01 : MAL HYPER HRT/REN W HF–
404.02 : MAL HY HT/REN W REN FAIL–
404.03 : MAL HYP HRT/REN W HFRF–
404.10 : BEN HY HT/REN W/O HF/RF–
404.11 : BEN HYPER HRT/REN W HF–
404.12 : BEN HY HT/REN W REN FAIL–
404.13 : BEN HYP HRT/REN W HFRF–
404.90 : HY HT/REN NOS W/O HF/RF–
404.91 : HYPER HRT/REN NOS W HF–
404.92 : HY HT/REN NOS W REN FAIL–
404.93 : HYP HRT/REN NOS W HFRF–
405.01 : MAL RENOVASC HYPERTENS–
405.09 : MAL SECOND HYPERTEN NEC–
405.11 : BENIGN RENOVASC HYPERTEN–
405.19 : BENIGN SECOND HYPERT NEC–
405.91 : RENOVASC HYPERTENSION–
405.99 : SECOND HYPERTENSION NEC–
437.2 : HYPERTENS ENCEPHALOPATHY–

CODES FOR HEART FAILURE: (ICD-9)

ICD-9 CODES:

402.X1
404.X1
404.X1
428.X, 428.XX

CODES FOR END-STAGE RENAL DISEASE OR STAGE V CHRONIC KIDNEY DISEASE (ICD-9)

ICD-9 CODES:

404.X2, 404.X3
585.5
CODES FOR CEREBROVASCULAR DISEASE: (ICD-9)

ICD-9 CODES:

433.XX
434.XX
435.X