A composite score associated with spontaneous operational tolerance in kidney transplant recipients

Richard Danger1,2, Mélanie Chesneau1,2, Chloé Paul1,2, Pierrick Guérit1,2, Maxim Durand1,2, Kenneth A Newell3, Sai Kanaparthi4, Laurence A Turka5, Jean-Paul Soulillou1,2, Rémi Houlgatte6,7, Magali Giral1,2,8,9, Gérard Ramstein10, and Sophie Brouard1,2,*

1Centre de Recherche en Transplantation et Immunologie UMR1064, INSERM, Universite de Nantes, Nantes, France
2Institut de Transplantation Urologie Néphrologie (ITUN), CHU Nantes, Nantes, France
3Department of Surgery, Emory University, Atlanta, Georgia, USA
4Immune Tolerance Network, Bethesda, Maryland, USA
5Center for Transplantation Sciences, Department of Surgery, Massachusetts General Hospital and Harvard Medical School, Boston, Massachusetts, USA
6INSERM UMR 954, Nancy 54511, France
7CHU de Nancy, DRCI, Nancy 54000, France
8Université de Nantes, Faculté de Médecine, Nantes 44035, France
9CIC Biotherapie, CHU Nantes, 30 Bd Jean-Monnet, 44093 Nantes, France
10LINA DUKe, UMR 6241, Université de Nantes, Ecole des Mines de Nantes & CNRS, Nantes, F-44322, France

Abstract

New challenges in renal transplantation include using biological information to devise a useful clinical test for discerning high- and low-risk patients for individual therapy and ascertaining the best combination and appropriate dosages of drugs. Based on a 20-gene signature from a microarray meta-analysis performed on 46 operationally tolerant patients and 266 renal transplanted recipients with stable function, we applied the sparse Bolasso methodology to identify a minimal and robust combination of six genes and two demographic parameters associated with operational tolerance. This composite score of operational tolerance discriminated operationally tolerant patients with an area under the curve of 0.97 (95% confidence interval 0.94–

*Corresponding author: Sophie Brouard, INSERM UMR 1064-ITUN, 30 Bd Jean Monnet, 44093 Nantes Cedex 93, France; Tel: +332 40 08 78 42; Fax: +332 40 08 74 11; sophie.brouard@univ-nantes.fr.

Publisher’s Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Disclosure

The authors of this manuscript have no conflicts of interest to declare.

Additional details can be found in supplementary information.
The score was not influenced by immunosuppressive treatment, center of origin, donor type, or post-transplant lymphoproliferative disorder history of the patients. This composite score of operational tolerance was significantly associated with both de novo anti-HLA antibodies and tolerance loss. It was validated by quantitative polymerase chain reaction using independent samples and demonstrated specificity toward a model of tolerance induction. Thus, our score would allow clinicians to improve follow-up of patients, paving the way for individual therapy.

Keywords
operational tolerance; biomarker; renal transplantation; gene expression; graft survival

Introduction
Because of immunosuppression side-effects, physicians are encouraged to reduce immunosuppression while still protecting the graft from immune aggression. No clinical biomarker that allows the safe personalization of immunosuppression has been validated. Achieving allograft tolerance in solid organ transplantation—allograft acceptance in the absence of immunosuppression—would be a tremendous stride forward by avoiding these side-effects but also by decreasing the cost of transplantation maintenance while improving recipient quality of life. Several protocols of tolerance induction have been attempted but these approaches still remain experimental. Spontaneous tolerance has also been observed as a result of immunosuppression interruption for non-compliance or medical decisions (especially post-transplant lymphoproliferative disorders, PTLD). These operationally tolerant recipients (TOL) display stable and good graft function for years, respond to immunological challenge, and do not experience more opportunistic infections than healthy volunteers. From a clinical point of view, these patients are comparable with renal recipients with stable graft function under standard immunosuppression (STA) with only a few differences, including a higher proportion of grafts from living donors and lower levels of HLA mismatch.

To date, no parameter has been identified that will safely permit weaning off immunosuppression, even in trials based on a stringent selection of non-sensitized recipients. Thus, the intentional replication of immunosuppression withdrawal in renal transplantation requires the integration of appropriate clinical parameters and new laboratory tests. Our group and others highlighted gene signatures associated with operational tolerance, but none have yet been evaluated in clinical trials. Recently, an integrative meta-analysis further highlighted 20 genes, mainly B cell related, specific to operational tolerance. Collectively, these reports suggest that B cells of tolerant patients may offer potential biomarkers of low immune risk in transplantation and may actively regulate the immune response to a transplanted kidney, with their induction and expansion likely being favored by induction therapies. Although the utility of such signatures has been established, we need to demonstrate their safety and reliability for immunosuppression minimization and follow-up of transplant recipients.
Herein, we identified and validated a composite score that allow TOL to be identified with excellent accuracy representing a potential predictive score of tolerance applicable in clinical practice in order to improve follow-up of renal transplant recipients.

Results

Clinical parameters associated with operational tolerance

From the meta-dataset we previously described\(^{21}\), clinical data from Nantes, Indices of Tolerance (IOT) and Immune Tolerance Network (ITN) databases were used to identify 312 non-redundant patients: 46 individual TOL patients of 96 TOL samples and 266 STA of 311 STA samples (Supplementary table 1). To construct a predictor score of operational tolerance easily applicable and reproducible in various centers, we selected intrinsic and non-variant patient-related clinical parameters, excluding parameters that may depend on technique and transplant center. Due to missing data, likely to reflect patient noncompliance, only parameters available for at least half of the TOL were used. Four of these parameters associated with operational tolerance status (p<0.20) were selected: age at transplantation (p <0.0001), age at testing (p =0.176), number of HLA mismatches (p <0.0001), and donor gender (p =0.154) (Supplementary table 2).

Composite score of operational tolerance (cSoT)

Expression levels of the 20 genes that were previously reported as differential between TOL and STA\(^{21}\) were confirmed in this set of 312 patients (46TOL and 266STA; p<0.0001). To identify the most discriminative combination between the 20 genes and the four clinical parameters, we used the Bolasso method\(^{34}\), which is a lasso (least absolute shrinkage and selection operator) regression analysis combined with bootstrap resampling (10,000 times) followed by multiple testing (false discovery rate <0.05)\(^{35}\). We identified a combination of six genes (AKR1C3, CD40, CTLA4, ID3, MZB1, TCL1A) and two clinical parameters (age at testing and age at transplantation) (figure 1A, B) that enabled us to establish a composite score, the cSoT, discriminating TOL and STA (42TOL, 189STA, p<0.0001) with an AUC of 0.973 (95% CI =0.939–1.00), with negative and positive predictive values of 0.989 and 0.800, respectively (figure 1C, D). The consistency of the eight selected parameters was validated through a 10-fold cross-validation repeated 100 times. The eight selected parameters were present in at least 80% of the 1000 generated models (figure 1A). The cSoT robustness was validated through a 10-fold cross-validation repeated 100 times with a mean AUC for test sets of 0.967 (95% CI =0.966–0.968). The cSoT discriminated TOL from STA significantly better than each parameter alone (p<0.0001, figure 1C) and than graft function (AUC=0.615). The cSoT represents the best combination of parameters compared to the combination of the 6 genes only as observed by the goodness of fit of these scores (p<0.0001 in a Fisher test based on the residual sum of squares). Inherent of this composite score and due to the Lasso method, cSoT equation coefficients provide biaised and limited information to interpret parameters contribution\(^{36, 37}\). However, removing either the two age parameters or the six genes decreases the AUC values compared to cSoT (AUC=0.947 and 0.828, p=0.10 and 0.00031, respectively) and gene expression contributes more significantly than demographic parameters (p=0.011,
supplementary figure 1). A final cross-validation was then performed on a recent microarray dataset composed of 16 TOL and nine patients with chronic allograft nephropathy. Combination of the six genes allowed a significant discrimination of TOL from the others (AUC =0.825, 95% CI =0.636–1.014; p =0.0061).

**Center of origin, PTLD, donor type and immunosuppressive regimen do not influence the cSoT**

Despite the heterogeneity of TOL samples obtained from multiple sites (Nantes, IOT, and ITN) and different blood collection methods, the cSoT is not influenced or associated with patient origin (p=0.13; figure 2A). Our analysis failed to reveal an association with a history of PTLD, the main intentional reason for cessation of immunosuppression (n=4, p=0.19, figure 2B). Despite an imbalance of donor type (living versus non-living donor) in our metadataset (supplementary table 1), score values were not different between TOL receiving organs from living donors or non-living donors (p=0.58; figure 2C). With non-living donors only, the cSoT is still able to differentiate TOL from STA with a very good AUC (AUC= 0.977, 95% CI= 0.9559–0.9975, 15TOL, 189STA). Because the two patient groups used to create the cSoT differed in immunosuppression status (STA are under immunosuppression; TOL received no more immunosuppression), we assessed whether immunosuppression could impact the cSoT values. Regarding the TOL patients, previous immunosuppression regimen before its withdrawal, including cyclosporine A (CsA), mycophenolic acid (MPA) and azathioprine did not influence cSoT values (p=0.74, 0.81 and 0.61, respectively; 29 TOL, figure 2D). Similarly, in the STA population (n=189), cSoT was not influenced by current CNI-based immunosuppression regimen, i.e. CsA or tacrolimus (p=0.64), corticosteroids (p=0.42) and antimetabolite agents (p=0.66; figure 2E). Finally, we tested the effect of immunosuppression on the cSoT in two independent cohorts of STA: one cohort of patients under CsA (n=14) or rapamycin (n=23) monotherapy and a second of patients after a conversion from azathioprine to MPA (n=5 paired before and 3 months after MPA conversion). Neither the combination of the six genes (p=0.99 and 0.77, respectively; figure 2F) nor the six genes independently (Supplementary figure 2) were modified according to immunosuppression regimen.

**cSoT is associated with de novo antibody and immune tolerance breakdown**

We previously reported that loss of graft function may be observed in the long-term survey of our TOL cohort. Among the 15 TOL from the Nantes cohort, for which most clinical data were available, 10 showed a decline in function during follow-up (17.15 ±3.27 years posttransplantation; supplementary figure 3). We measured the cSoT at a time when all patients still exhibited a good graft function (creatinemia<150 μmol/L, proteinuria<1g/24h) and found that cSoT was not predictive of isolated progressive long-term degradation of graft function (p=0.14; data not shown). In contrast, among these 10 patients, the seven patients who both had an impaired function and developed de novo anti-HLA Ab after immunosuppression withdrawal had a lower cSoT (n=7, mean cSoT =2.73 ±1.24) than the three patients who only showed a degraded graft function, without associated anti-HLA Ab appearance (mean cSoT =8.34 ±1.37; p=0.026; figure 3A) while these patients presented similar function at the time of testing (p=0.81, mean=120.7 ±8.78 and 125.0 ±14.36). Regarding initial pathology, among the three patients who had impaired function and no
DSA, 2 had pyelonephritis and one glomerulonephritis/sclerosis while among the 7 who had impaired function and developed DSA, 4 had glomerulonephritis/sclerosis, one pyelonephritis and 2 unclassified etiology. Biopsies were available for three of the patients with anti-HLA Ab, highlighting lesions of chronic Ab-mediated rejection for two of them (cases 7 and 10), and for one patient without anti-HLA Ab which showed only isolated and non-specific lesions (case 5)\(^{15}\).

Finally, we examined the association of cSoT with \textit{de novo} anti-HLA only, independent of graft loss. Among the 15 TOL, eight developed \textit{de novo} anti-HLA Ab (14.67 ±1.13 years post-transplantation, supplementary figure 3), and among them four patients developed DSA assessed by LABScreenT single Antigen assay (Labscreen Single Antigen; One Lambda) (13.41 ±0.21 years post-transplantation). We found that cSoT is significantly associated with TOL that developed \textit{de novo} anti-HLA Ab after immunosuppression withdrawal (\(p=0.016\) and 0.015; collection time =1.59 ±2.20 years and 0.29 ±1.24 years before Ab detection, for anti-HLA Ab and DSA respectively; figure 3B). Although a limited number of patients, these data suggest that cSoT is associated with immune tolerance breakdown with humoral immunological signs of rejection and not with isolated decline of graft function alone.

cSoT is specific for the spontaneous operational tolerance state

We applied the cSoT in a trial of tolerance induction in which 15 renal recipients of HLA-identical living donor siblings were followed up for 5 years after transplantation\(^9,10\). The protocol consisted in infusions of donor hematopoietic CD34\(^+\) stem cells with a conditioning regimen and immunosuppression was withdrawn 2 years after transplantation. Both the cSoT and the six-genes score failed to classify the five tolerant patients as TOL, before and after cessation of immunosuppression, independent of the time post-transplantation (Supplementary figure 4). These data suggest that cSoT is specific to the operational tolerance state and reinforce the fact that cSoT is not influenced by immunosuppression treatment.

A qPCR cSoT applicable in clinical settings

Quantitative PCR (qPCR) was performed in samples from five independent TOL patients and five other TOL patients from the metadataset at different time points compared to 12 independent STA. The cSoT was able to discriminate TOL and STA (\(p=0.0072, n=10\) and 12, mean =2.48 ±5.26 and –2.58 ±2.37) with an AUC of 0.842 (95% CI = 0.65–1.00; figure 4). Furthermore, a bootstrap procedure (1000 times) confirmed the robustness of this validation with a mean AUC of 0.840 (95% CI =0.833–0.846). These data demonstrated that the cSoT microarray-derived score can be reproduced using qPCR technology and thereby supporting its use in the clinical setting.

Discussion

Finding the right—or a least the best—balance in drug dosage to avoid both rejection and adverse events is a great challenge for clinicians\(^4\). Major objectives are to find new immunosuppressive drugs to prevent rejection and to identify biomarkers that would allow predicting rejection and assessing the level of immunosuppression “necessary and sufficient”
for a selected patient. Ideally, such a biomarker, or most likely a panel of biomarkers, can be performed rapidly, are sensitive, inexpensive and should reveal changes in the level or nature of the alloresponse, indicating the need for an appropriate modification of treatment to prevent under- or over-immunosuppression.

Operational tolerance, observed in patients with prolonged graft survival in the absence of immunosuppression and without evidence of destruction of the graft\textsuperscript{13–15}, offers a unique situation for the discovery of biomarkers of low immunological risk. The identification of a common blood B cell signature in several independent studies\textsuperscript{17, 27, 28} and its recent confirmation through a meta-analysis\textsuperscript{21} have raised the interest that this signature could provide a useful monitoring tool to improved clinical management of recipients\textsuperscript{31}. To create a minimally invasive and clinically scalable tool, we adjusted a stable and robust model of non-redundant information composed of six genes and two clinical parameters. The cSoT allowed to discriminate 42 TOL from 189 STA with more accurately than any parameter alone or creatinemia, the most commonly used biomarker to date. This composite score has been tested on 231 recipients (42 TOL, 189 STA), cross-validated on an independent dataset\textsuperscript{38}, and validated with qPCR on independent samples with excellent AUCs.

Whereas operational tolerant state is probably the combination of some intrinsic factors inherent to the donor and the recipients and external influences such as the environment, in accordance with previous reports\textsuperscript{17, 21, 27, 28}, the six-gene signature is mainly related to an unique B cell population. \textit{TCL1A} was reported to be overexpressed in TOL in three analyses\textsuperscript{17, 21, 28} and to be decreased during acute rejection, stressing the potential of \textit{TCL1A} as a marker of immune regulation\textsuperscript{43, 44}. Similarly, \textit{AKR1C3} was also reported to be overexpressed in TOL in three analyses\textsuperscript{17, 21, 40}. This dominant B cell signature fits with the immunoregulatory properties of B cells reported in TOL\textsuperscript{25, 27, 45} and with the fact that STA with superior graft function have a larger number of B cell subsets\textsuperscript{46}. Other gene expression-derived scores for tolerance has been recently described\textsuperscript{17, 28, 38, 47} but the absence of these genes in the meta-dataset\textsuperscript{21} and the small number of samples in each separate study, patient variability and technical heterogeneity make comparison impossible. In addition to the six genes, two clinical parameters have been incorporated in the cSoT. While the six-genes signature is related to B cells, no association between age at transplantation and B cell frequency was reported\textsuperscript{27} as also confirmed by the absence of correlation between CD20 gene expression (\textit{MS4A1}) and age at transplantation in both TOL and STA ($r=0.010$ and $-0.012$ and p=0.95 and 0.87, n=42 and 190, respectively). Younger recipient at transplantation was associated with higher tolerance prediction in accordance with previous observations\textsuperscript{13–15}. This association may reflect the lower percentage of experienced antigen memory cells in younger recipients that accumulate with age and prevent graft tolerance\textsuperscript{48}. In liver transplantation, A. Sanchez’s group reported that immunosuppression withdrawal success was directly correlated to the time post-transplant\textsuperscript{49}. This is likely due to the fact that older recipients exhibit fewer acute rejection episodes\textsuperscript{50} and are less prone to develop \textit{de novo} DSA\textsuperscript{51}.

As previously reported\textsuperscript{15, 17, 21, 40}, we choose to devise the score based on a comparison between TOL and STA. The “stable” population appears to be the most clinically relevant as future potential candidates for minimization protocols. Nevertheless, because
immunosuppression has been shown to alter gene expression and particularly circulating B cells, immunosuppression may affect cSoT. Unfortunately, samples before immunosuppression withdrawal are not available notably as TOL are mainly non-compliant and as intentional immunosuppression withdrawal trials have failed. However, several elements argue against that. First, we tested this score among different cohorts with different immunosuppression regimens and we found that cSoT was not influenced by current or previous immunosuppression treatments. None of the 6 genes was differentially expressed in association with CNI, mycophenolate mofetil, azathioprine or steroids in a recent report demonstrating association of immunosuppression with genes from the IOT tolerance signature. Finally, the fact that the cSoT was neither modulated after immunosuppression withdrawal in a tolerance induction protocol nor decreased in TOL patients who lost tolerance despite their absence of treatment reinforces this conclusion and the robustness of the score.

There is accumulating evidence that tolerance may break down even years after transplantation in TOL. However, to what extent the loss of graft function is due to an active shift of tolerance driven by immunological phenomena and not a physiological degradation of the kidney is not yet been demonstrated, as few biopsies have been examined. cSoT was associated with de novo anti-HLA Ab, including DSA, with or without graft dysfunction, strongly suggesting that cSoT is specific of immunologically driven mechanisms, as confirmed by the results of two available biopsies. This fits with a cSoT largely based on B-cell-related genes fitting with a breakdown of B cell tolerance during chronic antibody-mediated rejection or pre-transplantation sensitization and retransplantation that may be associated with loss of tolerance. In this study, 7 TOL had PRA before transplantation and 5 had declined function in the presence of de novo anti-HLA antibodies in the 15.12 years ±2.43 following transplantation. Thus, cSoT may not only be useful to discriminate patients with a profile of operational tolerance among STA but would also be helpful to follow-up TOL themselves. This finding also stresses that anti-HLA Ab seem to be inherently incompatible with the intrinsic definition of tolerance in clinical settings. If this highlights the limitation of the definition of “operational tolerance” and provides clear evidence of its metastable status, it also validates the importance of this score that is able to discriminate anti-HLA Ab + and anti-HLA Ab – TOL. Indeed, current clinical trials would preclude drug minimization in any patient who would have been selected on the basis of a signature observed in sensitized tolerant patients.

Some inducing therapies, such as Alemtuzumab, are associated with rapid and longterm expansion of different B cell subsets, likely favoring a state of tolerance. We took advantage of a transcriptomic analysis of non-chimeric tolerance induction using lymphodepletive alemtuzumab treatment. In agreement with no evidence of B-cell-related signature in these patients, cSoT was not discriminative in these patients. Whether the dissimilarity of these results reflects the deep B cell depletion following induction or that the set of genes in cSoT may need more time after transplantation to be expressed cannot be totally excluded. This suggests different immunological statuses of the two types of tolerance, with an immune quiescence on one hand and a full immunocompetence on the other. Contrasting results were reported by Newell’s group with patients harboring the B cell tolerance signature of ITN suggesting that different
mechanisms may be involved in tolerance induction, according to the protocol and likely due to the chimerism levels. Tolerance is not an “all or none” phenomenon as reported by Newell and colleagues.$^59$

In summary, we proposed a simple score that is easily applicable in routine clinical settings, using standard qPCR practices, and not influenced by immunosuppression, donor type or PTLD experience. The cSoT is specific of spontaneous operational tolerance and associated with de novo anti-HLA Ab linked to tolerance loss.

This score would not only allow the detection of patients with a tolerance profile but may also be used for monitoring patients and prevent under- or over-immunosuppression, in the general kidney recipient population. Indeed, in addition to being markers of tolerance, several reports showed that the expression of some of these B cell genes could be used to diagnose patients without acute rejection$^{43, 44}$, suggesting that this score may also be useful to identify immune activation or lack of B cell regulation. Based on sample size and selected nature of the population, tolerance signature may not be universally applicable yet and need further validation on a large cohort of patients. Nevertheless, we think that such a score may allow proposing high- or low-risk individual patient therapies with the best drugs combination and appropriate dosages in the future.

**Methods**

**Gene expression dataset**

the gene expression meta-dataset was obtained from the Gene Expression Ominbus (GEO) database (GSE28456) as previously described$^{21}$. These data are the results of a meta-analysis from five independent studies gathering 596 samples,$^{17, 24, 28, 39, 40}$ and composed of 1846 merged genes$^{21}$. Demographic description of available clinical parameters from the 312 identified patients (46 TOL and 266 STA) are given in Supplementary table 1. Three public available microarray datasets were collected from GEO: GSE14630$^{41}$, GSE22224$^{42}$ and GSE45593$^9$ and normalized with the robust multi-array average method (RMA, *affy* package$^{60}$ with R software). Normalized collected expression values from dataset GSE45218$^{38}$ were used for *in silico* cross-validation.

**Validation cohort**

22 kidney recipients from Nantes Hospital were enrolled to perform qPCR validation, including 10 TOL and 12 STA (Supplementary table S3). The local ethics committee approved all aspects of this study and all patients gave their written informed consent.

**qPCR validation**

Venous blood samples were collected in EDTA vacutainers and peripheral blood mononuclear cells (PBMC) were separated on a Ficoll layer (Eurobio, Les Ulis, France) and frozen in TRIZol reagent (Thermo Fisher Scientific, Waltham, MA USA) at −80°C. qPCR was performed on a StepOnePlus instrument (Thermo Fisher Scientific) using commercially available primer and probe sets (Taqman, Thermo Fisher Scientific) for the six tested genes and four reference genes (ACTB, B2M, GAPDH, HPRT1).
cSoT construction

Parameters associated with TOL compared to STA in logistic univariate analysis (glm package) were used for cSoT construction with p-value inferior to 0.20 in order not to bias parameters selection\(^6\). To identify the most discriminative combination among the 24 parameters associated with tolerance in univariate analysis, we used the Bolasso method\(^3\), which involves bootstrap resampling (10,000 times) combined with a lasso regression analysis followed by multiple testing to select the significant variables associated with the model (false discovery rate <0.05) using the mht package (version 3.2.2)\(^5\). This cSoT was centered using the best threshold of the ROC curve (Youden index) to associate positive and negative scores with TOL and STA diagnosis, respectively. An inconclusive zone (“grey zone”) was defined by values with specificity and sensitivity below 90% (predictive tolerance of 10%) (figure 1D, Supplementary figure 1)\(^6\).

From other microarray datasets or qPCR experiments, expression and demographic values are centered/scaled, as performed by the Bolasso algorithm, and the following equation is then applied: cSoT = 1.231 × Expr\(_{AKRIC3}\) + 1.038 × Expr\(_{CD40}\) + 0.937 × Expr\(_{CTLA4}\) + 1.386 × Expr\(_{ID3}\) + 1.163 × Expr\(_{MZB1}\) + 1.223 × Expr\(_{TCL1A}\) + 2.908 × Age at Collection + 3.876 × Age at Tx – 3.876.

Statistical analysis

Statistical analyses were performed using R software version 3.2.2 or GraphPrism v.4 software. Parametric ANOVA with Tukey post-hoc test, Student t-test, analysis of variance, or \(\chi^2\) test were used for group comparisons. Differences were defined as statistically significant when \(p < 0.05\) or as indicated.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank all the patients who participated in this study and the physicians who helped us recruit patients: Prof. G. Blancho, Dr. L. Braun, Dr. D. Cantarowitch, Dr. R. Crochette, Prof. J. Dantal, Dr. A. Garnier, Prof. M. Hourmant, Prof. B. Hurault de Ligny, Dr. H. Janbon, Dr. G. Lefrancoois, Prof. C. Legendre, Dr. B. Le Mauff, Dr. H. Le Monies De Sagazan, Dr. A. Meurette, Dr. M-C. Moal, Prof. C. Noel, Dr. E. Pillebout, Dr. M. Rabant, Prof. J.F. Subra, Dr. A. Testa, Dr. D. Ribes, Dr. F. Vilelmain, and Dr. J. Zuber. We also thank staff from ITN and IOT who sent us collected clinical data from previous published reports, namely Maria Hernandez-Fuentes (Indices of Tolerance (IOT), King’s College London, UK) and Adam Asare (Immune Tolerance Network (ITN), Bethesda, MD, USA).

Fundings: This work was conducted in the context of the “Fondation Centaure” (RTRS), which supports a French transplantation research network, the DHU Oncogreffe and the LabEX IGO supported by the National Research Agency via the investment of the future program ANR-11-LABX-0016-01 and the LABEX TRANSPLANTEX (ANR-11-LABX-0070_TRANSPLANTEX). This research was also supported by INSERM and IHU-CESTI institutes receiving financial support from the French Government managed by the National Research Agency (Investment into the Future Program ANR-10-IBHU-005), Nantes Metropole, and the Pays de la Loire Region. The research leading to these results has also received funding from the European Commission’s Seventh Framework Program FP7-HEALTH-2012 under grant agreement n° 305147 BIO-DrIM. SK was supported by the National Institute of Allergy And Infectious Diseases of the National Institutes of Health under Award Number UM1AI109565. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.
Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ab</td>
<td>antibody</td>
</tr>
<tr>
<td>AKR1C3</td>
<td>aldo-keto reductase family 1, member C3</td>
</tr>
<tr>
<td>CsA</td>
<td>cyclosporin A</td>
</tr>
<tr>
<td>cSoT</td>
<td>composite score of operational tolerance</td>
</tr>
<tr>
<td>CTLA4</td>
<td>cytotoxic T-lymphocyte-associated protein 4</td>
</tr>
<tr>
<td>DSA</td>
<td>donor-specific antibodies</td>
</tr>
<tr>
<td>HLA</td>
<td>human leukocyte antigen</td>
</tr>
<tr>
<td>ID3</td>
<td>inhibitor of DNA binding 3</td>
</tr>
<tr>
<td>IOT</td>
<td>European Indices of Tolerance network</td>
</tr>
<tr>
<td>ITN</td>
<td>American Immune Tolerance network</td>
</tr>
<tr>
<td>lasso</td>
<td>least absolute shrinkage and selection operator</td>
</tr>
<tr>
<td>MZB1</td>
<td>marginal zone B and B1 cell-specific protein</td>
</tr>
<tr>
<td>PTLD</td>
<td>post-transplant lymphoproliferative disorder</td>
</tr>
<tr>
<td>STA</td>
<td>renal transplanted patients with stable graft function under immunosuppressive regimen</td>
</tr>
<tr>
<td>TCL1A</td>
<td>T-cell leukemia/lymphoma protein 1A</td>
</tr>
<tr>
<td>TOL</td>
<td>operationally tolerant recipient</td>
</tr>
</tbody>
</table>

References


Figure 1. Composite score of tolerance (cSoT)
(A) cSoT model: left axis displays regularization coefficients of selected parameters (false discovery rate <0.05; black bars), showing their sign of contribution, and right axis represents number of selection among the 10-fold cross-validation repeated 100 times, meaning the consistency of parameters selection (grey bars); (B) Individual expression of the six selected genes. Heatmap with blue for low expression and yellow for high expression is displayed for the 312 patients (46 TOL, 266 STA). (C) ROC curves of cSoT (red), each cSoT parameter alone and creatinemia (plain light blue). (D) Individual cSoT values as a function of time post-transplantation at testing. cSoT values for 231 patients are displayed as a function of post-transplantation time (green: 42 TOL; blue: 189 STA). The grey zone represents the inconclusive zone defined by values with specificity and sensitivity below 90%.
Figure 2. Center of origin, PTLD, donor type and immunosuppressive regimens do not influence the cSoT

The effect on cSoT of (A) the center of origin of TOL, (B) presence of PTLD, (C) donor type, (D) immunosuppression regimen before withdrawal in TOL (CsA, azathioprine and mycophenolate mofetil), (E) in STA (CsA versus tacrolimus, prednisolone and antimetabolite agents (mycophenolate mofetil or azathioprine)). (F) The six-genes score calculated using two available microarray datasets of renal recipients with different immunosuppression regimens: conversion from azathioprine to MPA (upper panel) and CsA versus rapamycin (lower panel). Number of patients in each group is indicated within each plot. p-values from t-tests uncorrected for multi-testing are displayed excepted in A where p-value from an ANOVA test with Tukey post-hoc correction is displayed.
Figure 3. cSoT is associated with de novo antibody and tolerance loss

(A) cSoT decreased in patients who exhibited a decline of function and anti-HLA Ab (n = 7, red triangle) compared to patients with only decline of function (n = 3, blue triangle).

Individual cSoT values as a function of time post-transplantation at testing. The grey zone represents the inconclusive zone defined by values with specificity and sensitivity below 90%. (B) cSoT is associated with de novo anti-HLA Ab and DSA appearance.
Figure 4. cSoT validated using qPCR
(A) Based on qPCR, cSoT was still differential between TOL and STA (n = 10 and 12, mean = 2.48 ± 5.26 and −2.58 ± 2.37 and (B) displayed an AUC of 0.842 (95% CI = 0.65–1.00).