Open Access Collection Policy at Emory

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Agenda

• Context for Collections and Open Access
• Open Access Landscape at Emory
• Background for OA Collections Policy
  – Environmental Scan
  – Defining Principles
  – Support for individual faculty and researchers
• Open Access Collections Additions
• Analysis of OA initiative offers
• Implementation of OA policy
• Our recommendations
Greater Context of Open Access Collection-Building and Support

The 2.5% initiative (Lewis, Goetsch, Evans, Roy)

Emphasis at Emory has primarily been on financially supporting content-specific initiatives, although some of this content-level support has also served to support infrastructure and services.
OA Landscape at Emory

• Various Open Access Initiatives
• Various practices for adding and curating content
• Open Access Publishing Fund
  – Collections funds
  – Some experimentation
    • PeerJ
    • Emory Open Education Initiative
    • Data Distribution Fund
OA Collections Policy Background

• Why did we do this?
  – Multiple requests for funding support
  – Wide variety of models
    • Business models and
    • Distribution models
  – Needed criteria
  – Needed a process
    • We wanted to treat OA resources on par with purchased resources
OA Collections Policy Background

• What was our first step?

  – Environmental scan
    • UNT Collection Development Policy for Open Access and Born-Digital Resources
    • Discussion at 2015 ACRL Pre-conference on Scholarly Communication Lisa Macklin attended
OA Collections Policy Background

• What was our second (and most important) step?

  – Defining principles
    • Established a set of guidelines and principles to inform selectors and collection managers as to what content initiatives are to be supported by Emory Libraries.
OA Collections Policy Background

• Principles (1 of 2)

  – OA content should be within current collection development guidelines (e.g. would we purchase this?)

  – OA content should have a sustainable and equitable business model

  – OA content business model should have reasonable cost/content ratio
OA Collections Policy Background

• Principles (2 of 2)

  – Publisher providing OA content should be reputable (OASPA, like our OA Publishing Fund)

  – Publisher providing OA content should have policy for preservation or make copy available to library

  – Define role of existing OA Publishing Fund
OA Collections Policy Background

• Role of existing OA Publishing Fund

– Support for individual scholars and researchers
  • OA Publishing fund includes articles and books
  • May fund APCs or subventions to for-profit publishers
  • Mellon Grant - Future of the Monograph in the Digital Era
    – Recommendation for subvention funding of OA monographs
    – Second round of funding from Andrew W. Mellon Foundation has been received
  • Emory joined TOME Initiative
Workflows and Processes

• Need to establish workflow for adding Emory authored OA monograph collections in Repository
• Need to create workflow for managing requests for adding OA content to ILS (curation of content)
  – Utilize existing e-resource decision-making group
  – Decision on how to manage one-off vs larger collections
  – Collaborate with Technical Services and Collections
    • Incorporate both MARC and non-MARC data sources
    • Maintenance of links through existing channels
Awareness of New Policy

• Meeting and discussion with subject librarians
  – Addition to Collection Management guide for subject librarians and others
• Presentation to Library Policy Committee
• Reviewed process with e-resources prioritization group
Applications of Policy & Developments since 2016

- Support of Knowledge Unlatched—multiple phases
- Addition of Project Muse and Open Book Publishers (selected collections) open access monograph titles to ILS
- Digital Scriptorium
- HathiTrust additions and enhancements to catalog; Emory contributions
- Dovetailing with Digital Collections Dissemination Policy for open-access digitized content.
- Mellon Grant
- Affordable Textbook and Teaching Materials Initiative
Adoption and Implementation of Criteria (Example)

• Applied criteria to assess financial support of Knowledge Unlatched
  (http://www.knowledgeunlatched.org/about-round-2/)

  – Sustainable model
  – Reasonable cost (provides allowance for print purchases by library)
  – Fit collection policy (well known academic publishers, primarily university presses)
  – MARC records and easy access to content
  – Robust preservation model
Challenges

• Common Good sustainability
• Disproportionate costs per school
• Services versus content
• Discoverability of content
Recommendations

• Discuss and define OA collection principles
• Tie to existing collection policies
• Determine funding of OA initiatives
• Establish workflows and collaborate with other units/divisions
Resources


- Future of the Monograph in the Digital Era at [http://dx.doi.org/10.3998/3336451.0018.407](http://dx.doi.org/10.3998/3336451.0018.407)