Electrical Stimulation Modulates High γ Activity and Human Memory Performance

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Cognition and Behavior

Electrical Stimulation Modulates High $\gamma$ Activity and Human Memory Performance

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Visual Abstract

Stimulate human brain during memory encoding

Map and modulate high gamma biomarker activity
Significance Statement

Brain stimulation technologies for memory disorders can be advanced with improved understanding of the physiologic processes modulated by electrical current. In this study, intracranial EEG recordings from epilepsy patients performing memory tasks during direct brain stimulation revealed distinct changes in the induced high-\( \gamma \) activity, particularly on the trials with poor memory encoding. Given that these physiologic changes were correlated with the effect of stimulation on task performance, we propose they may be useful as a biomarker to optimize brain stimulation parameters for memory enhancement. These findings could help accelerate development of brain-machine interface technologies to treat memory and cognitive disorders.

Direct electrical stimulation of the brain has emerged as a powerful treatment for multiple neurological diseases, and as a potential technique to enhance human cognition. Despite its application in a range of brain disorders, it remains unclear how stimulation of discrete brain areas affects memory performance and the underlying electrophysiological activities. Here, we investigated the effect of direct electrical stimulation in four brain regions known to support declarative memory: hippocampus (HP), parahippocampal region (PH) neocortex, prefrontal cortex (PF), and lateral temporal cortex (TC). Intracranial EEG recordings with stimulation were collected from 22 patients during performance of verbal memory tasks. We found that high \( \gamma \) (62–118 Hz) activity induced by word presentation was modulated by electrical stimulation. This modulatory effect was greatest for trials with “poor” memory encoding. The high \( \gamma \) modulation correlated with the behavioral effect of stimulation in a given brain region: it was negative, i.e., the induced high \( \gamma \) activity was decreased, in the regions where stimulation decreased memory performance, and positive in the lateral TC where memory enhancement was observed. Our results suggest that the effect of electrical stimulation on high \( \gamma \) activity induced by word presentation may be a useful biomarker for mapping memory networks and guiding therapeutic brain stimulation.

Key words: brain stimulation; cognitive enhancement; ECoG; \( \gamma \)-activity; high-frequency oscillations; intracranial EEG

Introduction

Studies of direct electrical stimulation of the human brain were pioneered in epilepsy patients undergoing surgery to treat drug resistant focal epilepsy. During the surgery when patients were awake and stimulated in specific areas of the neocortex, they reported conscious experience of past events (Penfield, 1958). This phenomenal effect of invoking declarative memory representations was more likely to occur when stimulating in a discrete range of spectral and temporal parameters, which led to a hypothesis that the electrical current that was passed through the neural tissue activated specific neurophysiological activity supporting memory (Bickford et al., 1958; Penfield and Perot, 1963). In the current study, free recall tasks were used to investigate how stimulation in specific brain regions modulated the electrophysiological activities induced by word presentation and their subsequent recall.

Recent attempts at human memory enhancement have primarily focused on the hippocampus (HP) and the associated mesial temporal lobe structures, with reports of positive outcomes described in small studies of individual brain regions (Suthana and Fried, 2014; Kim et al., 2016). In general, however, studies have shown inconsistent results for stimulation in mesial temporal lobe structures, including: HP (Coleshill et al., 2004; Suthana et al., 2012; Fell et al., 2013; Jacobs et al., 2016), entorhinal cortex (Suthana et al., 2012; Fell et al., 2013; Jacobs et al., 2016), and fornix (Hamani et al., 2008; Miller et al., 2015). The

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* M.T.K. and B.M.B. contributed equally to this work.

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effect of stimulation on the neurophysiological activity associated with memory tasks was largely unexplored. The positive effects of stimulation on memory reported in some of these studies were observed either in a single case (Hamani et al., 2008) or at the level of a group of patients (Suthana et al., 2012; Miller et al., 2015) without a detailed analysis of the electrophysiological signals, which is often challenging because of the stimulation artifacts (Johnson et al., 2013). In summary, limitations in the sample size, number of brain regions tested, and analysis have impeded our understanding of the impact of direct human brain stimulation on memory processes.

γ activities in the local field potential present one plausible target for exploring the neurophysiology of memory processes and the effect of stimulation. These activities have been associated with cognitive functions, including perception, attention and memory (Singer, 1993; Tallon-Baudry and Bertrand, 1999; Fries, 2009; Düzel et al., 2010). γ activities in the high frequency ranges (40–150 Hz) were proposed to be generated by local neuronal assemblies underlying cognitive processing during task performance (Crone et al., 2006; Lachaux et al., 2012), and thus provide a potential biomarker for mapping brain functions. Recent studies of γ activity in humans and nonhuman primates showed discrete bursts of γ power induced by memorized stimuli (Kucewicz et al., 2014; Lundqvist et al., 2016). In these studies, the rate of high γ burst events was associated with memory performance and proposed to underlie the differences in average power induced between trials with remembered and forgotten items, i.e., the subsequent memory effect (Kahana, 2006; Sederberg et al., 2007). Although the physiologic source of γ activities, local field oscillations or firing of neuronal assemblies, and their role in cognitive function are actively debated (Crone et al., 2006; Waldert et al., 2013; Kucewicz et al., 2017), they may still be useful as a measure of neuronal processing and modulation.

There is growing evidence that γ activities can be modulated by external interventions. Optogenetic stimulation of distinct neuron types was shown to increase γ power in the local field potential and enhance neuronal network performance in rodents (Sohal, 2016). γ power can also be increased through neurofeedback training in specific brain regions, as reported in nonhuman primate recordings that showed synchronous neuronal firing and enhanced behavioral performance (Engelhard et al., 2013). Transcranial current stimulation is another approach used to modulate γ activities and, for instance, was shown to induce dream self-awareness in the human subjects (Voss et al., 2014). The effect of direct stimulation of the human brain on γ activities linked to memory performance has been largely unexplored. However, the reports of a positive effect on memory performance in humans were all stimulating at frequencies in the γ range (40/50/200 Hz; for review, see Kim et al., 2016), suggesting that the applied current presumably modulated similar frequencies of neuronal oscillations. Here, we tested the effect of 50-Hz electrical stimulation on γ activity and task performance in four brain regions supporting declarative memory.

Materials and Methods

Study participants

Patients undergoing intracranial electroencephalographic monitoring as part of their clinical treatment for drug-resistant epilepsy were recruited to participate in this multi-center collaborative study. Data were collected from the following clinical centers: (Mayo Clinic, Thomas Jefferson University Hospital, Hospital of the University of Pennsylvania, Dartmouth-Hitchcock Medical Center, Emory University Hospital, University of Texas Southwestern Medical Center). The research protocol was approved by the respective IRB at each clinical center and informed consent was obtained from each participant. Electrophysiological data were collected from standard clinical subdural and penetrating depth electrodes (AdTech Inc., PMT Inc.) implanted on the cortical surface and into the brain parenchyma, respectively. The subdural electrode contacts were arranged either in a grid or a strip configuration with contacts separated by 10mm. The depth electrode contacts were separated by 1.5–10 mm spacing. In each case, the placement of the electrodes was determined by a clinical team whose sole purpose was to localize seizures for possible epilepsy surgery. In this study, we identified 22 patients (nine males) with subdural or depth electrodes implanted in at least one of the four brain regions of the cortical-hippocampal declarative memory system (Eichenbaum, 2000), who completed at least two stimulation sessions in any of these regions (Tables 1, 2).

Anatomic localization and brain surface mapping

Cortical surface parcellations were generated for each participant from preimplant magnetic resonance imaging (MRI) scans (volumetric T1-weighted sequences) using Freesurfer software (RRID:SCR_001847; Fischl et al., 2004). The HP and surrounding cortical regions were delineated separately based on an additional 2-mm-thick coronal T2-weighted scan using the Automatic Segmentation of Hippocampal Subfields (ASHS) multi-atlas segmentation method (Yushkevich et al., 2015). Electrode contact coordinates derived from registered postimplant CT scans were then mapped to the preimplant MRI scans to determine their anatomic locations. For subdural strips and grids the electrode contacts were additionally projected to the cortical surface using an energy minimization algorithm to account for postoperative brain shift (Dykstra et al., 2012). For comparisons across subjects, coordinates were transformed to the MNI brain space, in which distance between bipolar electrode pairs was estimated using the shortest path from the stimulating electrode pair. Contact locations were reviewed and confirmed on surfaces and cross-sectional images by a neuroradiologist. For further visualization and presentation purposes, surfaces and contact coordinates were rendered using Blender (http://blender.org) and Blend4web (http://blend4web.org) open source software in a customized interactive web application.

Electrophysiological recordings

Intracranial data were recorded using one of the following clinical electrophysiological acquisition systems spe-
cific to a given site of data collection: Nihon Kohden EEG-1200, Natus XLTek EMU 128, or Grass Aura-LTM64. Depending on the acquisition system and the preference of the clinical team, the signals were sampled at either 500, 1000, or 1600 Hz and were referenced to a common contact placed either intracranially, on the scalp, or on the mastoid process. For analysis all recordings using higher sampling rates were down-sampled to 500 Hz. A bipolar montage was calculated post hoc for each subject by subtracting measured voltage time series on all pairs of spatially adjacent contacts. This resulted in N − 1 bipolar signals in case of the penetrating and the strip electrodes, and N + x bipolar signals for the grid electrodes, where N is the number of electrode contacts and x is the number of extra combinations of bipolar contacts that resulted from the montage.

### Memory tasks with brain stimulation

The tasks were based on classic paradigms for probing verbal memory (Kahana, 2012), in which subjects learned lists of words for subsequent recall (Fig. 1A). Subjects were instructed to study lists of individual words presented sequentially on a laptop computer screen for a later memory test. Lists were composed of 12 words chosen at random and without replacement from a pool of high frequency nouns (either English or Spanish, depending on the participant's native language; [http://memory.psych.upenn.edu/WordPools](http://memory.psych.upenn.edu/WordPools)). Each session had a set of 25 specific lists using words from the same general pool. The words on each list were either sampled from specific categories like vehicles, music instruments and vegetables, or they were sampled randomly. Each word re-

### Table 1. Clinical profile of the study participants

<table>
<thead>
<tr>
<th>Subject no.</th>
<th>Age</th>
<th>Gender</th>
<th>Handedness</th>
<th>SOZ</th>
<th>MRI</th>
<th>Brain pathology</th>
<th>Language laterality (method)</th>
<th>Stimulation mapping overlap</th>
<th>vIQ</th>
<th>Verbal memory deficits</th>
</tr>
</thead>
<tbody>
<tr>
<td>1001</td>
<td>48</td>
<td>F</td>
<td>R</td>
<td>Right TC</td>
<td>Normal</td>
<td>Gliosis</td>
<td>L (fMRI)</td>
<td>-</td>
<td>81</td>
<td>None</td>
</tr>
<tr>
<td>1006</td>
<td>20</td>
<td>F</td>
<td>R</td>
<td>Right FC</td>
<td>MCD</td>
<td>Gliosis</td>
<td>L (fMRI)</td>
<td>-</td>
<td>91</td>
<td>None</td>
</tr>
<tr>
<td>1016</td>
<td>31</td>
<td>F</td>
<td>R</td>
<td>Left FC</td>
<td>Normal</td>
<td>Gliosis</td>
<td>-</td>
<td>None</td>
<td>71</td>
<td>None</td>
</tr>
<tr>
<td>1018</td>
<td>47</td>
<td>M</td>
<td>L</td>
<td>Left FC, left FPC</td>
<td>Normal</td>
<td>-</td>
<td>L (fMRI)</td>
<td>-</td>
<td>85</td>
<td>None</td>
</tr>
<tr>
<td>1020</td>
<td>48</td>
<td>F</td>
<td>L</td>
<td>Right TC, right FC</td>
<td>Abnormal</td>
<td>Gliosis</td>
<td>L (fMRI)</td>
<td>-</td>
<td>98</td>
<td>Mild</td>
</tr>
<tr>
<td>1022</td>
<td>24</td>
<td>M</td>
<td>R</td>
<td>Atrophy</td>
<td>Gliosis/encephalomalacia</td>
<td>-</td>
<td>L (fMRI)</td>
<td>-</td>
<td>81</td>
<td>None</td>
</tr>
<tr>
<td>1024</td>
<td>36</td>
<td>F</td>
<td>R</td>
<td>Right OPC</td>
<td>Normal</td>
<td>Gliosis</td>
<td>L (unknown)</td>
<td>-</td>
<td>100</td>
<td>None</td>
</tr>
<tr>
<td>1026</td>
<td>24</td>
<td>F</td>
<td>R</td>
<td>Left aTC, left OC</td>
<td>MTS, gliosis</td>
<td>-</td>
<td>Bilateral (Wada)</td>
<td>-</td>
<td>112</td>
<td>None</td>
</tr>
<tr>
<td>1027</td>
<td>48</td>
<td>M</td>
<td>R</td>
<td>Right TC</td>
<td>right IC right/left FC</td>
<td>Abnormal</td>
<td>-</td>
<td>L (fMRI)</td>
<td>-</td>
<td>93</td>
</tr>
<tr>
<td>1028</td>
<td>27</td>
<td>F</td>
<td>R</td>
<td>Right MTL</td>
<td>Abnormal</td>
<td>CD, Gliosis</td>
<td>L (Wada)</td>
<td>-</td>
<td>103</td>
<td>None</td>
</tr>
<tr>
<td>1029</td>
<td>33</td>
<td>F</td>
<td>R</td>
<td>Left FC</td>
<td>Abnormal</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>108</td>
<td>Mild</td>
</tr>
<tr>
<td>1030</td>
<td>23</td>
<td>M</td>
<td>L</td>
<td>Left MTL</td>
<td>Normal</td>
<td>Gliosis</td>
<td>L (fMRI)</td>
<td>-</td>
<td>106</td>
<td>None</td>
</tr>
<tr>
<td>1031</td>
<td>24</td>
<td>M</td>
<td>R</td>
<td>Right FC</td>
<td>Abnormal</td>
<td>-</td>
<td>L (aphasia)</td>
<td>-</td>
<td>110</td>
<td>Moderate</td>
</tr>
<tr>
<td>1032</td>
<td>31</td>
<td>F</td>
<td>R</td>
<td>Right TC</td>
<td>Atrophy</td>
<td>-</td>
<td>L (Wada)</td>
<td>-</td>
<td>85</td>
<td>None</td>
</tr>
<tr>
<td>1036</td>
<td>49</td>
<td>M</td>
<td>L</td>
<td>Left aTC, MTS</td>
<td>HS</td>
<td>Bilateral (Wada)</td>
<td>-</td>
<td>93</td>
<td>Moderate</td>
<td></td>
</tr>
<tr>
<td>1042</td>
<td>27</td>
<td>F</td>
<td>L</td>
<td>Right TC</td>
<td>MCD</td>
<td>-</td>
<td>R (fMRI)</td>
<td>None</td>
<td>114</td>
<td>None</td>
</tr>
<tr>
<td>1050</td>
<td>20</td>
<td>M</td>
<td>R</td>
<td>Left PC</td>
<td>Neoplasm</td>
<td>DNET</td>
<td>Bilateral (Wada)</td>
<td>-</td>
<td>95</td>
<td>Mild</td>
</tr>
<tr>
<td>1060</td>
<td>36</td>
<td>F</td>
<td>R</td>
<td>Right TC</td>
<td>Normal</td>
<td>Gliosis</td>
<td>L (Wada)</td>
<td>-</td>
<td>95</td>
<td>Mild</td>
</tr>
<tr>
<td>1069</td>
<td>26</td>
<td>M</td>
<td>R</td>
<td>Left FC</td>
<td>MCD</td>
<td>-</td>
<td>L (Wada)</td>
<td>-</td>
<td>-</td>
<td>Mild</td>
</tr>
<tr>
<td>1111</td>
<td>20</td>
<td>M</td>
<td>R</td>
<td>Left TC</td>
<td>Gliosis</td>
<td>Gliosis</td>
<td>L (fMRI)</td>
<td>-</td>
<td>108</td>
<td>None</td>
</tr>
<tr>
<td>1176</td>
<td>41</td>
<td>F</td>
<td>R</td>
<td>Right MTL</td>
<td>right IC</td>
<td>MTS</td>
<td>L (Wada)</td>
<td>-</td>
<td>85</td>
<td>Moderate</td>
</tr>
<tr>
<td>1177</td>
<td>23</td>
<td>F</td>
<td>R</td>
<td>Left TC</td>
<td>TS</td>
<td>L (aphasia)</td>
<td>None</td>
<td>87</td>
<td>Moderate</td>
<td></td>
</tr>
</tbody>
</table>

Patient demographic data are presented together with clinical observations from structural MRI, clinically identified seizure onset zones (SOZs), pathology for those subjects who underwent respective surgery, hemispheric laterality of language functions together with the method of determination (“aphasia” means that the determination was done based on an identified lesion/pathology in a specific hemisphere), overlap of the stimulating electrodes with the language areas for patients who have undergone cortical stimulation mapping (“-” means that the stimulation mapping was not performed or the report was not available), verbal IQ (vIQ), and the clinical qualitative description of verbal memory deficits as concluded in the neuropsychological assessment. FC, frontal cortex; PC, parietal cortex; OC, occipital cortex; IC, insular cortex; aTC, anterior TC; MTL, mesial temporal lobe; TPC, temporo-parietal cortex; FPC, fronto-parietal cortex; OPC, occipito-parietal cortex; CD, cortical dysplasia; HS, hippocampal sclerosis; MCD, malformation of cortical development; MTS, mesial temporal sclerosis; PMG, polymicrogyria; DNET, dysembryoplastic neuroepithelial tumor.
Table 2. Summary of the experiments used to assess effect of stimulation on encoding of word lists

<table>
<thead>
<tr>
<th>Subject</th>
<th>Sessions</th>
<th>Localization</th>
<th>Region</th>
<th>Electrode</th>
<th>Amplitude</th>
</tr>
</thead>
<tbody>
<tr>
<td>1001</td>
<td>2</td>
<td>Left HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1006</td>
<td>2</td>
<td>Right HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1016</td>
<td>2</td>
<td>Left PF</td>
<td>PF</td>
<td>Subdural</td>
<td>3.5</td>
</tr>
<tr>
<td>1018</td>
<td>2</td>
<td>Left PF</td>
<td>PF</td>
<td>Depth</td>
<td>1.5</td>
</tr>
<tr>
<td>1020</td>
<td>4</td>
<td>Right HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1022</td>
<td>2</td>
<td>Left HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1024</td>
<td>3</td>
<td>Left HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1026</td>
<td>4</td>
<td>Left EC</td>
<td>PH</td>
<td>Depth</td>
<td>0.5</td>
</tr>
<tr>
<td>1027</td>
<td>2</td>
<td>Left HP</td>
<td>HP</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1028</td>
<td>3</td>
<td>Right EC</td>
<td>PH</td>
<td>Subdural</td>
<td>1.0</td>
</tr>
<tr>
<td>1029</td>
<td>2</td>
<td>Left PF</td>
<td>PF</td>
<td>Subdural</td>
<td>3.5</td>
</tr>
<tr>
<td>1030</td>
<td>4</td>
<td>Left PHC</td>
<td>PH</td>
<td>Depth</td>
<td>0.5</td>
</tr>
<tr>
<td>1031</td>
<td>2</td>
<td>Right PRC</td>
<td>PH</td>
<td>Depth</td>
<td>1.5</td>
</tr>
<tr>
<td>1033</td>
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<td>Left PRC</td>
<td>PH</td>
<td>Depth</td>
<td>1.5</td>
</tr>
<tr>
<td>1036</td>
<td>4</td>
<td>Left PRC</td>
<td>PH</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1042</td>
<td>2</td>
<td>Right PF</td>
<td>PF</td>
<td>Subdural</td>
<td>1.5</td>
</tr>
<tr>
<td>1050</td>
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<td>Left TC</td>
<td>TC</td>
<td>Subdural</td>
<td>1.5</td>
</tr>
<tr>
<td>1060</td>
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<td>PF</td>
<td>Subdural</td>
<td>3.0</td>
</tr>
<tr>
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<td>2</td>
<td>Left PF</td>
<td>PF</td>
<td>Subdural</td>
<td>2.5</td>
</tr>
<tr>
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<td>Left PHC</td>
<td>PH</td>
<td>Depth</td>
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<tr>
<td>1111</td>
<td>3</td>
<td>Left TC</td>
<td>TC</td>
<td>Subdural</td>
<td>1.5</td>
</tr>
<tr>
<td>1176</td>
<td>3</td>
<td>Left TC</td>
<td>TC</td>
<td>Depth</td>
<td>1.0</td>
</tr>
<tr>
<td>1177</td>
<td>4</td>
<td>Left TC</td>
<td>TC</td>
<td>Subdural</td>
<td>1.0</td>
</tr>
</tbody>
</table>

Analysis was focused on 23 subject experiments that had at least two sessions with any one stimulation target in four of the studied brain regions. PHC, PH cortex; PRC, perirhinal cortex; EC, entorhinal cortex.

Electrophysiological analysis

Brain activity induced by word presentation was analyzed in this study, and comprised 1600 ms of word display on the screen and 200-ms blank interval before and after each word (total 2000 ms segments). Stimulated word pair epochs were excluded from analysis to prevent potential contamination of spectral analysis with the stimulus artifact. Hence, one complete session yielded electrophysiological signal from 60 nonstimulated list epochs (five lists \times 12 words) and 120 stimulated list epochs (20 lists \times six words). Every signal epoch was spectrally decomposed in 50-ms time bins using multi-taper Fast Fourier Transform (Chronux toolbox, RRID:SCR_005547; Bokil et al., 2010); taper parameters: 4-Hz bandwidth, 250-ms timewidth, one taper). To estimate power in distinct frequency bands (high \gamma: 62–118 Hz, low \gamma: 30–58 Hz, \beta: 14–26 Hz, \theta/\alpha: 6–14 Hz) signals were bandpass filtered between the corresponding cutoff frequencies (Barlett-Hanning, 1000 order) before spectral decomposition to reduce any possible influence of lower frequencies on the power estimate. The cutoff frequencies for the high \gamma band were chosen to minimize contamination of the 60-Hz line noise and its first harmonic at 120 Hz. The decomposed spectral power values in a given frequency band were log and z-score transformed in each frequency bin to account for the power law effect and obtain values that can be compared in the same scale across sessions and subjects. Frequency bands in the low \theta and \delta ranges between 1 and 5 Hz were not included in this study due to different high-pass filters applied in signal acquisition across the data collection centers. Average power estimates were calculated from all epochs of the studied words from nonstimulated lists.
Exact time of memory encoding during the stimulus presentation is difficult to determine and can vary between subjects. We used the maximum peak value of the average power estimates as proxy for the brain response related to the memory encoding. This maximum value of the average power estimate was defined as peak power, and the difference between peak power values from the stimulated (Pstim) and nonstimulated (Pnon) list condition was defined as the “neuromodulation (NM) index”:

\[
NM = P_{\text{stim}} - P_{\text{non}}
\]

\[
P_{\text{stim}} = f(t); 0 < t < 2000\text{ms}
\]

\[
P_{\text{non}} = f(t); 0 < t < 2000\text{ms}
\]

\[
f(t) = a_0 + \frac{\sum_{n=0}^{N} f(a_n)}{N}, \text{ where } f(a_n)\text{ is the nth power estimate.}
\]

Surface plots were created using the peak power and the NM index values interpolated between all bipolar pairs on an electrode grid. Active electrodes were selected by identifying outliers of the peak power value distributions above the upper adjacency value (UAV; > third quartile + 1.5 x interquartile range), which were calculated from all nonstimulated list epochs for every electrode in a given patient. The identified active electrodes were used to determine mean value of the NM index across all electrodes in a given subject or brain region, which had active electrodes from at least two subjects.

Behavioral analysis
Memory performance was quantified as count of words recalled per list (with or without stimulation). To compare the effect of stimulation on performance across subjects the raw counts from all sessions in a given subject were normalized into z-scores. Difference between means of the scores on the stimulated and nonstimulated lists was defined as a measure of stimulation’s effect on memory performance (\(\Delta\) behavioral score). At least two sessions in a given stimulation target were required to be included in data analysis to ensure an accurate estimate of the mean for the nonstimulated lists, i.e., more than five scores were required to estimate the mean.
Table 3. Statistical tables for the analyses of variance

<table>
<thead>
<tr>
<th>Source of variation</th>
<th>Sum of squares</th>
<th>Degrees of freedom</th>
<th>Mean squares</th>
<th>F ratio</th>
<th>Probability &gt; F</th>
</tr>
</thead>
<tbody>
<tr>
<td>NM index in different frequency bands during the poor encoding trials</td>
<td>0.10172</td>
<td>3</td>
<td>0.03391</td>
<td>14.79</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Error</td>
<td>0.19262</td>
<td>84</td>
<td>0.00229</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>0.29434</td>
<td>87</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NM index in different frequency bands during the good encoding trials</td>
<td>0.01226</td>
<td>3</td>
<td>0.00409</td>
<td>1.71</td>
<td>0.1708</td>
</tr>
<tr>
<td>Error</td>
<td>0.20053</td>
<td>84</td>
<td>0.00239</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>0.21279</td>
<td>87</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Effect of stimulation in different brain regions on memory performance</td>
<td>1.83311</td>
<td>3</td>
<td>0.61104</td>
<td>7.31</td>
<td>0.0019</td>
</tr>
<tr>
<td>Error</td>
<td>1.58778</td>
<td>19</td>
<td>0.08357</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>3.4209</td>
<td>22</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Effect of stimulation in different brain regions on NM</td>
<td>0.26765</td>
<td>3</td>
<td>0.08922</td>
<td>23.27</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Error</td>
<td>0.74363</td>
<td>194</td>
<td>0.00383</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>1.01128</td>
<td>197</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Statistical analysis

All statistical tests were performed in Matlab (MathWorks Inc., RRID:SCR_001622) using built-in and custom written codes. One-way ANOVA test compared NM index calculated from the same set of electrodes from one subject in different frequency bands (Fig. 3C). The test was followed by Tukey–Kramer post hoc group comparison of the 95% confidence intervals of the means. Pearson’s correlation was chosen to test dependence of any order following a distractor (Fig. 1C). The subsequently forgotten words from the stimulated “STIM” lists had increased high γ response relative to the words from the “NON-STIM” lists that were not stimulated. Each experimental session comprised of both the STIM and the NON-STIM lists, which were randomly assigned in a double-blind fashion. The modulatory effect of stimulation was quantified as a difference between peaks of the power response in the STIM minus the NON-STIM condition, which we called the NM index (Fig. 1C). The peak response was thus used as proxy for brain activity related to memory encoding.

Results

We investigated the effect of direct brain stimulation on electrophysiological activity and memory performance in epilepsy patients undergoing evaluation for surgery to treat refractory seizures. Each patient was implanted with intracranial subdural, depth, or subdural and depth electrode arrays in multiple cortical and subcortical brain regions selected based solely on the clinical considerations. We identified 22 patients who were implanted in one of the four brain regions of the declarative memory system (Eichenbaum, 2000) and completed at least two sessions of free recall tasks with stimulation (Tables 1, 2). The tasks were based on a classic paradigm for probing verbal short-term memory (Kahana, 2012), in which subjects learned lists of twelve words to be freely recalled in any order following a distractor (Fig. 1A). Electrical stimulation was applied between a pair of adjacent electrode contacts during encoding of words for subsequent recall (Fig. 1B). Low amplitude stimulation (<1.5 mA, 50-Hz frequency, pulse width 300 µsec) was followed by presentation of two other words without any stimulation to enable electrophysiological analysis without stimulus artifact (Fig. 1A).

We found that stimulation in the lateral TC modulated the spectral power specifically in the high γ band (62–118 Hz) on electrodes showing induced responses to word presentation (Fig. 1C), which was associated with enhanced memory performance (for behavioral analysis, see Fig. 5). The high γ response on trials with words that are subsequently not recalled (“poor” encoding) is known to be decreased relative to trials with the subsequently recalled words (“good” encoding), as previously described (Kahana 2006, Sederberg et al., 2007). Stimulation on the poor encoding trials increased this high γ response and restored it to the magnitude observed on the good encoding trials with words that were subsequently recalled (Fig. 1C). Thus, the subsequently forgotten words from the stimulated “STIM” lists had increased high γ response relative to the words from the “NON-STIM” lists that were not stimulated. Each experimental session comprised of both the STIM and the NON-STIM lists, which were randomly assigned in a double-blind fashion. The modulatory effect of stimulation was quantified as a difference between peaks of the power response in the STIM minus the NON-STIM condition, which we called the NM index (Fig. 1C). The peak response was thus used as proxy for brain activity related to memory encoding.

This NM effect was localized to “activated” areas of the brain showing the induced high γ response in the tasks. Figure 2 presents three exemplar cases of stimulation from subdural surface grid electrodes in the TC, which modulated the peak power responses. The top case depicts a single discrete area of the peak activation. The magnitude of this discrete high γ response is greater on the good than the poor encoding trials in the NON-STIM control condition. This disparity between the remembered and the forgotten word trials is not present in the STIM
condition with similar peaks on the two trial types (Fig. 2A). Stimulation therefore increased the high \( \gamma \) response on the poor encoding trials to the levels seen during good encoding, selectively in the area of the induced task activity. The middle case reveals that this effect was also observed in an activated area of the occipital cortex, which was distant from the site of stimulation located in the TC (Fig. 2B). We did not observe this neuromodulatory effect (quantified as the NM index) in the bottom case, where no area in the TC was activated in the tasks (Fig. 2C). Cortical stimulation mapping of language functions was performed as part of the clinical evaluation in patients 1050 and 1177, which showed no overlap with the target stimulation electrodes (Table 1).

We quantified these observations for all electrodes in the activated brain areas ("active" electrodes) in the only stimulated subject who had more than ten such active electrodes (\( n = 22 \)). The active electrodes were selected based on the distribution of the peak values of the high \( \gamma \) response from all available electrodes in a given patient (Fig. 3A,B). To test whether the observed modulation was specific to the high \( \gamma \) band we compared the NM index.
values in four nonoverlapping frequency bands ($\beta$/$\alpha$, $\beta$, low $\gamma$ and high $\gamma$). A significant difference was found between the studied bands in the condition of poor memory encoding ($p < 0.0001$, ANOVA, $F = 14.8$, degrees of freedom = 3, 84) but not in the good memory encoding ($p = 0.171$, ANOVA, $F = 1.71$, df = 3, 84) in this subject. NM index values for the high $\gamma$ band in the poor encoding condition were significantly more positive (Tukey–Kramer post hoc test, $p < 0.05$) than for any of the other bands (Fig. 3C). We further investigated whether these significantly more positive values of NM index were correlated with the amplitude of the high $\gamma$ response and with the distance from the source of stimulation (Fig. 3D). The mean NM index was positively correlated with the mean amplitude of the high $\gamma$ response (Pearson’s correlation, $R = 0.627$, $p = 0.0018$) and negatively correlated with the distance from stimulation site (Pearson’s correlation, $R = -0.429$, $p = 0.0461$). These correlations suggest that the strength of modulation was dependent on the electrode activity in the tasks and its proximity to the site of stimulation.

In the final part of this study, we asked whether this positive modulation of the high $\gamma$ activities induced in the free recall memory tasks is specific to stimulation in the lateral TC. We observed an inverse pattern of modulation in the four regions involved in the declarative memory system: PH (entorhinal/perirhinal and PH gyrus), HP (subiculum and HP proper), lateral TC (middle and superior temporal gyrus), and PF (middle and inferior frontal gyrus). Precise localization of all stimulation targets used in every subject ($N = 23$) is shown on a unified brain surface (Fig. 5A) and can be viewed online (to be identified if the article is published). We summarized the behavioral effect of stimulation across the studied brain regions to find that all four subjects stimulated in the lateral TC showed a positive effect on memory performance (Fig. 5B). There was a significant effect of the brain region ($p = 0.0019$, ANOVA test, $F = 7.31$, df = 3, 19) revealing a stronger positive modulation of memory performance in the TC stimulation group than any other brain region (Tukey–Kramer test, $p < 0.05$). Stimulating in the four regions also exerted different effects on the high $\gamma$ modulation ($p < 0.001$, ANOVA test, $F = 23.27$, df = 3, 194). We found that the NM index, averaged over active electrodes from stimulation in a given region ($n = 198$), followed the same pattern (Fig. 5C) with a stronger positive NM in the TC group compared to any other group (Tukey–Kramer test, $p < 0.05$). Plotting the behavioral modulation score as a function of the mean NM index for every subject (Fig. 5D) confirmed that the electrophysiological effect of stimulation and memory performance were correlated (Pearson’s correlation, $R = 0.50$, $p = 0.016$). Subjects 1050 and 1111, who noted the highest NM index values, demonstrated the...
greatest memory enhancement (Fig. 5D). Conversely, subject 1024 with the lowest mean NM index, noted the greatest memory impairment.

Discussion
In this work, we found evidence that electrical stimulation in specific regions of the human brain modulates high $\gamma$ activities induced during encoding of words for subsequent recall. Positive high $\gamma$ modulation, as observed with stimulation in the lateral TC, was associated with the brain region showing enhanced memory performance with stimulation, whereas negative modulation was seen in the HP, a region where stimulation had the opposite effect on memory recall. Both structures have been proposed to play differential roles in the declarative memory. HP and the medial temporal lobe structures are thought to be critical for binding episodic memory representations from distributed regions in the neocortex, which process and store memory (Squire and Zola-Morgan, 1991; Eichenbaum, 2000). Previous studies using electrical stimulation in the medial temporal lobe during memory performance in human subjects showed mixed results (Kim et al., 2016). Our results corroborate a recent report of stimulation-induced impairment in a range of tasks, including the free recall of word lists, applied in a large number of patients stimulated in the HP and the entorhinal cortex (Jacobs et al., 2016). Much less is known about the effect of stimulation in the lateral TC. Since the original reports of eliciting memory experience in individual epilepsy patients (Penfield and Perot, 1963), stimulation in this region of the human brain has been predominantly used for mapping language functions (Ojemann, 1991). Noninvasive stimulation (Tune and Asaridou, 2016) and imaging studies (Binder et al., 2009) support the role of brain regions in the lateral TC in processing semantic information. Another study with large number of epilepsy patients implanted with electrodes in various regions of the brain found that epileptiform discharges were impairing memory encoding of word lists specifically if they occurred in the lateral TC (Horak et al., 2017). Our results show that stimulation applied in the lateral TC enhanced the high $\gamma$ activities in response to word encoding. In summary, there is a growing body of literature implicating the lateral TC in verbal memory functions.

Stimulation-related enhancement of the induced high $\gamma$ activities was observed on trials with poor memory encoding and not on the good encoding trials. In fact, the average NM index for the high $\gamma$ band during good encoding trials turned out to be negative (Fig. 3C). In a recent study of electrical stimulation applied during word encoding, the induced high $\gamma$ activity was used to classify brain states into good and poor encoding states and predict that stimulating in the good state decreased the probability of recall and vice versa increased probability of recall when stimulating in the poor encoding state (Ezzyat...
et al., 2017). This interesting finding of good and poor encoding state-dependency is consistent with our observation of a positive stimulation-induced NM index during the poor encoding trials and a negative index during the good trials. Still, the positive effect of stimulation on the high/γ activity was restricted to trials with words that were ultimately forgotten, making it challenging to explain the overall enhancement observed in the increased number of recalled words.

The outcome of stimulation was not only determined by the encoding brain state, but also by anatomic location. Our results show that both the neurophysiology and the behavior (recall performance) were differentially modulated depending on the brain region tested. The same stimulation pattern applied in the lateral TC versus the HP had opposite effects on the high/γ responses and the associated recall performance (Fig. 5). The exact factors causing these differential effects on the neurophysiology and behavior remain unclear. The difference could be related to the qualitative differences in the electrode contacts used for stimulation, i.e., penetrating depth electrodes in the HP and subdural electrodes on lateral TC, but the surface area of the different electrodes is similar. In addition, five out of six subjects undergoing stimulation in the PF group were stimulated using subdural electrodes and did not show the same neurophysiological or behavioral effect as in the TC group. Further, the difference could be attributed to the range of stimulation parameters used. The original studies with epilepsy patients found that only a given set of amplitude and frequency parameters elicited the memory experience (Bickford et al., 1958; Penfield and Perot, 1963). Stimulating the same regions of the brain with higher amplitudes is known to disrupt cognitive processing of, e.g., verbal information mapped in these patients (Ojemann, 1991) as applied in clinical language mapping. Therefore, our reported results may not necessarily generalize to other tasks or be replicable with different set of parameters, which could not be tested within the scope of this study. Nevertheless, the results hold promise for using high/γ activities as a biomarker of NM to target optimal parameters, phases and sites for stimulation and support that the stimulated region in the posterior half of the middle and superior temporal gyrus is specifically important for modulating memory processes engaged in these tasks.

Regarding the possible target sites, within the lateral TC there were distinct focal areas where word encoding induced the high/γ activity (Fig. 2). These “islands” of high frequency power have been reported in the intracranial recordings during tasks (Kucewicz et al., 2014, 2017), which may indicate local processing of neuronal assemblies (Crone et al., 2006; Lachaux et al., 2012) and be used to map target sites for stimulation. Interestingly, the precise localization of the foci of high/γ activities was not exactly the same in the studied subjects even within the lateral TC, possibly due to different strategies employed by subjects in these tasks (e.g., remembering more semantic or visual representations). At this point we can only
speculate about the effects of stimulating in the focus or perimeter of these islands, over a gyrus or a sulcus, or at various scales of neuronal organization. Successful stimulation sites were localized on the middle temporal gyrus adjacent to the high \( \gamma \) island in two out of four subjects, who showed the strongest positive effect on neurophysiology and behavior (Fig. 5). Our study as well as others in the field (Suthana and Fried, 2014; Kim et al., 2016; Jacobs et al., 2016; Ezzyat et al., 2017) were performed with standard clinical electrodes with contacts of diameters ranging from 1 to 10mm\(^2\) and separated by 5-10 mm. We speculate that future studies using combined macro- and micro-electrode arrays could provide additional information of the spatial scale of the neuronal networks underlying memory function (Le Van Quyen et al., 2010; Viventi et al., 2011; Worrell et al., 2012; Kucewicz et al., 2016).

With regard to the target phases and parameters for stimulation, there are many other possible approaches to enhance memory processing and task performance. We have focused on modulating the encoding of memorized stimuli during their presentation, which induces high frequency activities. Another approach is to modulate maintenance, consolidation or retrieval of memory for the encoded items, which are thought to engage oscillatory activities in the lower frequency bands, including the \( \theta \) rhythm (Buzsaki, 2006; Düzel et al., 2010). These lower frequency oscillations were shown to be more widely spread than the focal \( \gamma \) responses (Burke et al., 2013; Kucewicz et al., 2014), thus possibly providing a viable target for noninvasive stimulation techniques. For instance, transcranial magnetic stimulation was employed to modulate \( \theta \) oscillations mapped in parietal cortex to enhance retention of nonverbal memory (Albouy et al., 2017). Memory performance was increased in 13 out of 17 subjects and attributed to entrainment of the \( \theta \) oscillations during the maintenance phase of the task. Other studies using noninvasive stimulation in similar tasks to probe active maintenance of memory in the PF showed mixed effects on reaction time and accuracy (Brunoni and Vanderhasselt, 2014). Although these studies are limited in terms of elucidating the ongoing neurophysiological activity, they complement the invasive intracranial recordings with insight into other measures of neural excitability and plasticity (Kincses et al., 2004; Fregni et al., 2005).

The precise memory processes that were modulated in our study are elusive. The observed NM did not directly enhance memory encoding per se since the high \( \gamma \) modulation was observed on the poor encoding trials with words that were subsequently forgotten. It could rather enhance memory performance through an associated process. Selective attention, perception and computation of sensorimotor information were all proposed as functions of \( \gamma \) oscillations (Singer, 1993; Tallon-Baudry and Bertrand, 1999; Jensen et al., 2007; Fries, 2009), which are essential to memory performance. If stimulation worked by increasing the level of attention and/or sensory processing of words, it would aid their encoding but not necessarily improve the retention and recall of all of them. In this scenario, the likelihood of successful memory encoding would be increased specifically on the trials with words that were not adequately attended and processed. As a result, more of these words would end up being recalled due to this enhanced attention or perception to the verbal stimuli, which is what we observed on the behavioral level. There would still be words that did not end up being recalled despite the stimulation-induced enhancement of these associated processes. In summary, stimulation would restore processing of these allegedly “less attended” words, increasing their subsequent recall probability that would lead some, but not all, to transition and add to the number of the recalled words (the good encoding group). Disentangling this challenging relationship between memory and the associated processes requires additional experiments that can track attention and sensory processing through other behavioral or autonomic measures, e.g., the eye movements or pupil dilation.

Another way to identify the cognitive processes modulated by electrical stimulation is to test the existing computational models of memory. One can look for example at the classic primacy and recency effect in remembering lists of stimuli (Murdock, 1962) or the temporal contiguity effect (Sederberg et al., 2010). The former model incorporates serial position of a word on the presented lists with a prior knowledge that the ones in the beginning and in the end of the list tend to be more attended, and thus better recalled, than the middle-list words. The latter is a model of the probability of recall based on temporal proximity of the presented words; words presented next to each other are more likely to be recalled together. In the current paper, we explored these possibilities and did not find compelling evidence for either; however, the current study is limited by a small number of trials to compare. Both of these models may prove useful in future for elucidating the effect of stimulation on memory processing with larger number of subjects.

Finally, physiologic mechanisms of the high \( \gamma \) modulation and how it is linked with the associated behavioral effect remain to be explored. Direct brain stimulation is thought to primarily activate neuronal axons rather than cell bodies (Perlmutter and Mink, 2006), which would provide one explanation for why the electrophysiological effects were observed and not only in the region of stimulation but also in more distant islands of high \( \gamma \) activity, presumably connected with each other. It could also account for the disparity between the frequency of stimulation (50 Hz) and the higher frequencies of the modulated high \( \gamma \) response. Axons of the stimulated white matter tracts may be depolarized and trigger a response of neuronal assemblies oscillating at other frequencies in the distant brain regions they connect. Supporting evidence for the role of axonal stimulation comes from micro-electrode stimulation combined with calcium imaging that shows wide-spread activation of sparsely distributed neurons instead of local depolarization of neurons surrounding the stimulating electrode (Histed et al., 2009).

We observed that the modulation was stronger on electrodes closer to the stimulation site and more active in the tasks. This may possibly reflect a small-world network organization of the brain (Bassett and Bullmore, 2006),
which proposes higher number of local and fewer long-distance connections. Therefore, more of the short-range local connections would be depolarized by the electric current and activate more proximal neuronal assemblies, relative to the longer-distance assemblies. In this network view of brain modulation, stimulation would also exert the strongest effect when applied to brain regions, which were critical nodes, i.e., hubs, with many connections to other active nodes in a given network. The lateral TC and the HP, where we observed the strongest positive and negative modulation of high γ activities respectively, are both considered critical hubs for declarative memory networks. Therefore, finding and targeting these critical connection hubs to modulate the whole network instead of a single brain region may be the most efficient strategy for enhancing memory processes (Kim et al., 2016). In our study, stimulation in the lateral TC could work by activating a network hub for verbal declarative memory. These network hubs can potentially be more effectively identified using various measures of connectivity and temporal interactions like spectral coherence or cross-frequency coupling. Future investigations of the brain connectomics encoding hubs to modulate the whole network instead of a connection hubs to modulate the whole network instead of a single brain region may be the most efficient strategy for enhancing memory processes. 

References


Kim K, Ekstrom AD, Tandon N (2016) A network approach for modulating memory processes via direct and indirect brain stim-