Emory’s Open Access Collection Development Policy

Lisa Macklin, Emory University
Christopher Palazzolo, Emory University

Presentation Place: Atlanta, Georgia
Publication Date: 2016-06-08
Type of Work: Presentation
Permanent URL: https://pid.emory.edu/ark:/25593/rncjd

Final published version: https://vimeo.com/169905536

Copyright information:
This is an Open Access work distributed under the terms of the Creative Commons Attribution 4.0 International License (http://creativecommons.org/licenses/by/4.0/).

Accessed April 13, 2018 9:11 AM EDT
Open Access Collection Policy at Emory

ASERL Webinar
June 6, 2016

Lisa Macklin
Director, Scholarly Communications Office

Chris Palazzolo
Head, Collection Management
Agenda

• Open Access Landscape at Emory
• Background for OA Collections Policy
  – Environmental Scan
  – Defining Principles
  – Support for individual faculty and researchers
• Open Access Collections Additions
• Analysis of OA initiative offers
• Implementation of OA policy
• Our recommendations
OA Landscape at Emory

• Various Open Access Initiatives

• Open Access Publishing Fund
  – Collections funds
  – Some experimentation
    • PeerJ
OA Collections Policy Background

• Why did we do this?
  – Multiple requests for funding support
  – Wide variety of models
    • Business models and
    • Distribution models
  – Needed criteria
  – Needed a process
    • We wanted to treat OA resources on par with purchased resources
OA Collections Policy Background

• What was our first step?

  – Environmental scan
    • UNT Collection Development Policy for Open Access and Born-Digital Resources
    • Discussion at 2015 ACRL Pre-conference on Scholarly Communication Lisa attended
OA Collections Policy Background

• What was our second (and most important) step?

– Defining principles
  • Established a set of guidelines and principles to inform selectors and collection managers as to what content initiatives are to be supported by Emory Libraries.
OA Collections Policy Background

• Principles (1 of 2)
  
  – OA content should be within current collection development guidelines (e.g. would we purchase this?)
  
  – OA content should have a sustainable and equitable business model
  
  – OA content business model should have reasonable cost/content ratio
OA Collections Policy Background

- Publisher providing OA content should be reputable (OASPA, like our OA Publishing Fund)

- Publisher providing OA content should have policy for preservation or make copy available to library

- Define role of existing OA Publishing Fund
OA Collections Policy Background

- Role of existing OA Publishing Fund
  - Support for individual scholars and researchers
    - OA Publishing fund includes articles and books
    - May fund APCs or subventions to for-profit publishers
    - OA monographs being considered as part of Mellon grant on the Future of the Monograph in the Digital Era
      - Second round of funding from Andrew W. Mellon Foundation has been requested
Workflows and Processes

• Need to establish workflow for adding Emory authored OA monograph collections in Repository

• Need to create workflow for managing requests for adding OA content to ILS
  – Utilize existing e-resource decision-making group
  – Decision on how to manage one-off vs larger collections
  – Collaborate with Technical Services and Collections
    • Incorporate both MARC and non-MARC data sources
    • Maintenance of links through existing channels
Awareness of New Policy

• Meeting and discussion with subject librarians
  – Addition to Collection Management guide for subject librarians and others

• Presentation to Library Policy Committee
Adoption and Implementation of Criteria

• Applied criteria to assess financial support of Knowledge Unlatched (http://www.knowledgeunlatched.org/about-round-2/)
  – Sustainable model
  – Reasonable cost (provides allowance for print purchases by library)
  – Fit collection policy (well known academic publishers, primarily university presses)
  – MARC records and easy access to content
  – Robust preservation model
Recommendations

- Discuss and define OA collection principles
- Tie to existing collection policies
- Determine funding of OA initiatives
- Establish workflows and collaborate with other units/divisions
Resources


• Emory Woodruff Library Open Access and Collections at http://guides.main.library.emory.edu/ld.php?content_id=16498194

• Future of the Monograph in the Digital Era at http://dx.doi.org/10.3998/3336451.0018.407