Breakthrough of SIV strain smE660 challenge in SIV strain mac239-vaccinated rhesus macaques despite potent autologous neutralizing antibody responses.

Samantha L. Burton, Emory University
Katie M. Kilgore, Emory University
S. Abigail Smith, Emory University
Sharmila Reddy, Emory University
Eric Hunter, Emory University
Harriet Robinson, Emory University
Guido Silvestri, Emory University
Rama Amara, Emory University
Cynthia Derdeyn, Emory University

Journal Title: Proceedings of the National Academy of Sciences
Volume: Volume 112, Number 34
Publisher: National Academy of Sciences | 2015-08-25, Pages 10780-10785
Type of Work: Article | Final Publisher PDF
Publisher DOI: 10.1073/pnas.1509731112
Permanent URL: https://pid.emory.edu/ark:/25593/q47sw

Final published version: http://dx.doi.org/10.1073/pnas.1509731112

Copyright information:
© 2015 National Academy of Sciences

Accessed September 16, 2019 6:41 AM EDT
Breakthrough of SIV strain smE660 challenge in SIV strain mac239-vaccinated rhesus macaques despite potent autologous neutralizing antibody responses

Samantha L. Burton*, Katie M. Kilgore*, S. Abigail Smith*, Sharmila Reddy*, Eric Hunter*,b,c, Harriet L. Robinson*, Guido Silvestri*a,b,c, Rama R. Amara*a,b,e, and Cynthia A. Derdeyn*a,b,c,1

*Yerkes National Primate Research Center, Emory University, Atlanta, GA 30329; aEmory Vaccine Center, Emory University, Atlanta, GA 30329; bDepartment of Pathology and Laboratory Medicine, Emory University, Atlanta, GA 30329; and cDepartment of Microbiology and Immunology, Emory University, Atlanta, GA 30329

Edited by Robert C. Gallo, Institute of Human Virology, University of Maryland School of Medicine, Baltimore, MD, and approved July 8, 2015 (received for review May 18, 2015)

Although the correlates of immunological protection from human immunodeficiency virus or simian immunodeficiency virus infection remain incompletely understood, it is generally believed that medium to high titers of serum neutralizing antibodies (nAbs) against the challenge virus will prevent infection. This paradigm is based on a series of studies in which passive transfer of HIV-specific nAbs protected rhesus macaques (RMs) from subsequent mucosal challenge with a chimeric human/simian immunodeficiency virus. However, it is unknown whether nAb titers define protection in the setting of active immunization. Here we determined serum nAb titers against breakthrough transmitted/founder (T/F) SIVsmE660-derived envelope glycoprotein (Env) variants from 14 RMs immunized with SIVmac239-based DNA-prime/modified vaccinia virus Ankara-boost vaccine regimens that included GM-CSF or CD40L adjuvants and conferred significant but incomplete protection against repeated low-dose intrarectal challenge. A single Env variant established infection in all RMs except one, with no identifiable genetic signature associated with vaccination breakthrough compared with T/F Envs from four unvaccinated monkeys. Breakthrough T/F Env pseudoviruses were potently neutralized in vitro by heterologous pooled serum from chronically SIVsmE660-infected monkeys at IC50 titers exceeding 1:1,000,000. Remarkably, the T/F Env pseudoviruses from 13 of 14 monkeys were also susceptible to neutralization by autologous prechallenge serum at in vitro IC50 titers ranging from 1:742–1:10,832. These titers were similar to those observed in vaccinated RMs that remained uninfected. These data suggest that the relationship between serum nAb titers and protection from mucosal SIV challenge in the setting of active immunization is more complex than previously recognized, warranting further studies into the balance between immune activation, target cell availability, and protective antibody responses. SIV | vaccine | neutralization

With the recovery and characterization of five classes of broadly neutralizing antibodies (nAbs) against HIV-1, as well as detailed information about how they develop (1, 2), and the possibility that a vaccine-elicited antibody contributed to protection in the RV144 HIV vaccine efficacy trial (3), optimism regarding antibody-mediated protection against HIV-1 has been renewed (4). Furthermore, passive administration of neutralizing monoclonal antibodies (nAbs) to nonhuman primates (NHPs) has repeatedly been shown to provide robust protection against mucosal infection with a chimeric human/simian immunodeficiency virus, even at modest in vitro nAb IC50 titers that are readily achievable by vaccination (5–14). NHP studies have also demonstrated that protection against heterologous SIV challenge is feasible and likely mediated by antibody responses (15–18). We recently reported on the protective efficacy and immune responses elicited by SIVmac239-based DNA-prime/modified vaccinia virus Ankara-boost (DDMM) vaccination of rhesus macaques (RMs), with GM-CSF adjuvant (DgDgMM), CD40-ligand adjuvant (D40L D40L MM), or administering three MVA immunizations without DNA priming (MMM) (Fig. 1L). Each of these vaccine regimens provided statistically significant protection against a low-dose repeated heterologous SIVsmE660 intrarectal challenge, compared with the unimmunized controls (19–21). The SIVsmE660 stock (VH2000) and dosage (5,000 TCID50), immunization schedule, and challenge route were held constant during these trials, providing an opportunity to investigate in more detail the immune responses elicited across groups. Neutralizing activity was elicited by all vaccine regimens but was not associated with protection; however, this was measured indirectly using one or a few reference variants of SIVsmE660. The strongest and most consistent immune correlate that was associated with protection against acquisition in the DDMM, DgDgMM, and D40L D40L MM modalities was the presence of higher binding avidity for the SIVsmE660 envelope glycoproteins (Env). In contrast, this immune parameter was not correlated with MMM-mediated protection, perhaps reflecting that immunization with two forms of SIVmac239 Env (gp160 and gp150 by the DNA

Significance

It is widely believed that if an HIV vaccine elicits moderate in vitro titers of serum neutralizing antibodies (nAbs) against a challenge virus, it will prevent infection. This paradigm is based on studies in which passive transfer of HIV-specific nAbs protected rhesus macaques from mucosal challenge with a chimeric simian/human immunodeficiency virus. However, it is unknown whether this direct relationship can be extrapolated to protection in the setting of active immunization. Our data suggest that the relationship between serum in vitro nAb titers and protection from mucosal SIV challenge is more complex than previously recognized in the setting of active immunization, warranting further studies to understand the balance between immune activation, target cell availability, and protective antibody responses.


Conflict of interest statement: R.R.A. and H.L.R. are coinventors of DNA/MVA vaccine technology that has been licensed to GeoVax.

Published under the PNAS license.

PNAS Direct Submission.

Freely available online through the PNAS open access option.

Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. FJ579014–FJ579055 and KP734847–KP735110).

To whom correspondence should be addressed. Email: cderdey@emory.edu.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1509731112/-/DCSupplemental.
Fig. S6

gene sequences
Weekly intrarectal challenges with SIVsmE660 were initiated at week 48. (4) vaccine groups were analyzed, as well as no. 34

env
gene sequences
The time point that was analyzed for nAb activity (shown in Fig. 3 and env 4), DgDgMM (vol. 112

gene nucleotide sequences obtained
PNA
eprot

timeline of the M11 and M12 NHP vaccine trials. (env 4), MMM (57), B

T/F Envs from four unvaccinated control animals that became indicated by color in the key. The circles indicate the challenge that resulted in infection of each monkey that was included in the nAb study. For example, one control monkey (orange circles) was infected at challenge 1, one at challenge 2, and two at challenge 5.

and MVA vectors, respectively) elicits a different type of antibody than gp160 alone. In outcomes like these, where protection is incomplete, the characterization of breakthrough variants can inform us about correlates of vaccine-mediated protection against acquisition (18, 22, 23). Here, we went one step further by testing the capacity of prechallenge antibodies to neutralize the exact Env variant that broke through to establish each infection. To our knowledge, this study is the first to demonstrate that vaccine-elicited serum antibodies capable of neutralizing the autologous breakthrough Env variant were present before challenge at moderate to high titers but nevertheless failed to protect against SIV infection.

Results

A Single Variant from the SIVsmE660 Challenge Stock Established All But One Infection. We recently reported that four different SIVmac239-based DNA/MVA or MVA-only vaccination modalities were significantly but incompletely protective against repeated low-dose intrarectal challenge with heterologous viral strain SIVSmE660 in RMs (19–24, 26). These vaccination studies, M11 and M12, were purposely designed for cross-comparison of SIVmac239-based DNA/MVA immunizations. These trials contained three groups that received two DNA primes (with no adjuvant, GM-CSF, or CD40L) at weeks 0 and 8, followed by two MVA boosts at weeks 16 and 24 (Fig. L4). A fourth group received MMN immunizations at weeks 0, 8, and 24 without DNA priming (Fig. L4). Intrarectal SIVsmE660 challenge with a stock designated V2000 was initiated 20–24 wk after the last MVA immunization in all groups and continued weekly for a maximum of 12 inoculations. In the present study, transmitted/founder (T/F) Envs from breakthrough infections that occurred in the DDMM (n = 4), MMM (n = 4), DgDgMM (n = 2), and D40D40MM (n = 4) vaccine groups were analyzed, as well as T/F Envs from four unvaccinated control animals that became infected (Fig. L4). These monkeys were selected based on availability of an acute infection/peak viral load plasma sample with a sufficient volume for PCR amplification and cloning and a week 37 postimmunization serum sample with a sufficient volume for neutralization assays. The monkeys were also representative of infection at various points during the challenge phase (Fig. 1B).

Between 10 and 32 single genome amplification (SGA) env gene sequences (which encode the Env glycoproteins) from each infected animal and 39 SGA env gene sequences from the V2000 challenge stock were included in a genetic analysis. The V2000 env gene sequences exhibited a maximum pairwise distance of 2% (Fig. S1), which is lower in diversity than what is generally present in an HIV-1-infected transmitting partner (24–26). Fig. 2 displays a Neighbor-joining phylogenetic tree containing 245 complete env gene nucleotide sequences obtained from the 14 vaccinated and 4 control monkeys, along with the 39 challenge stock sequences. Sequences from the vaccinated and control groups were dispersed and intermingled with variants from the challenge stock. There was no a priori evidence that vaccination had selected for transmission of a particular set of variants from within the challenge stock. The env gene sequences from each animal clustered together on the tree in all but one case (monkey RJn11), but these clusters were not supported by high bootstrap values. Further analyses revealed that the maximum pairwise distance of intra-animal env gene sequences ranged from 0 to 1.3% (Figs. S1–S5). These data therefore demonstrate that a single variant most likely established infection in 13 of the 14 monkeys, whereas RJn11 could have been initially infected by more than one variant. These data therefore suggest that the challenge virus, dose, and route of infection used in this experiment recapitulate the events occurring during transmission of HIV-1 in humans in terms of presence of a single
T/F virus variant in the vast majority of individuals (24–26). In each case of breakthrough, an env gene amplicon representa-
tive of the T/F sequence, or the predominant env gene se-
tification in the case of RJn11, was cloned to assess neutralization
sensitivity.

**T/F Envs from Breakthrough Infections Are Sensitive to Neutralization.**
As a first step in the phenotypic characterization of the T/F Envs, we assessed their general neutralization sensitivity to pooled sera collected from nine unvaccinated RMs at 24 wk after they became infected intrarectally with the VH2000 SIVmacE600 stock. Fig. 3A demonstrates that the breakthrough T/F Env variants on the whole were highly susceptible to neutralization by antibodies in pooled serum. The majority of the T/F Envs from vaccinated animals were neutralized at an IC_{50} titer of more than 1:1,000,000 by the serum antibody pool, typical of the extreme neutralization sensitivity of tier 1 SIVmacE600 variants such as E600.11 (27, 28). In contrast, the T/F Envs from the control infections were less susceptible to neutralization (Fig. 3A). These data clearly demonstrate that the vaccinated breakthrough T/F Envs were not inherently neutralization resistant. We next examined whether prechallenge serum from each vaccinated animal could neutralize the autologous breakthrough T/F Env. This was accomplished by using serum samples collected 13 wk after the final MVA boost (and 11 wk before SIVmacE600 challenge) from the breakthrough infection animals, representing the midpoint between the peak binding antibo-
dy response (week 2 post-MVA) and time of challenge (week 20–24 post-MVA) (Fig. 1B).

Fig. 3B demonstrates that the vaccinated breakthrough T/F Envs were susceptible to neutralization by the autologous prechall-
enge serum, but at IC_{50} titers that were several logs lower than those observed with the chronic infection pool (Fig. 3A). Individually, the IC_{50} titer of the breakthrough T/F Envs ranged from undetectable in one case (FP36) to 1:108,320 for RL110. Although the median autologous nAb IC_{50} titer was 1:1,351, there were five immunized monkeys that became infected with prechallenge IC_{50} titers exceeding 1:3,000. Most of the breakthrough T/F Envs were completely or nearly completely neutralized at a 1:100 dilution of serum; however, some variants did retain residual infectivity at this dilution. The prechallenge serum from vaccinated breakthrough animals neutralized the sensitive reference Env SIVsmE600.11 to varying degrees, whereas neutralizing activity against the resistant reference Env SIVsmE600.300–16 was uniformly low (Fig. 3B) (27). These data collectively demonstrate that all but one vacci-
nated animal produced prechallenge serum antibodies capable of neutralizing the autologous breakthrough T/F Env, yet this did not protect against infection.

We next investigated whether vaccinated animals that were protected against SIVsmE600 challenge had superior neutralizing capacity compared with the breakthrough animals from the same vaccine group (Table S1). Prechallenge serum samples from vaccinated protected animals were tested against each breakthrough T/F Env from the same group. Neutralization potency of the protected animal serum was comparable to the autologous serum (Fig. S6), and the median IC_{50} titers (1:1,042 and 1:1,351, respectively) were not significantly different when analyzed by a Mann–Whitney or a paired Wilcoxon rank sum test. This result suggests that protected animals did not have substantially more potent neutralizing activity against the breakthrough T/F Env variants. This result is consistent with our previous finding that neutralizing activity against heterologous SIV Envs did not differ between infected and protected animals in the M11 and M12 trials (28). We also found no correlation between the nAb IC_{50} titer of the vaccinated breakthrough ani-
mals and the peak viral load or the number of SIVsmE600 challenges required for infection (Fig. S7A and B, respectively). Thus, there was no evidence that SIV acquisition was directly linked to the individual potency of prechallenge nAb activity.

**Discussion**

Identifying the immune correlates of protection against HIV/SIV continues to be a central pursuit for the vaccine research
community (29–31). Multiple vaccine studies in NHPs and humans have implied that functional antibody responses against Env are necessary for protective immunity (3, 18, 32). Furthermore, passive administration of nmAbs to RMs has unambiguously been shown to provide protection against mucosal SHIV infection (5–13), with in vitro serum nAb IC₅₀ titers in the range of ∼1:2,000 emerging as predictive of protection (7, 12, 14). However, it was not known whether these low to moderate nAb titers would extend to vaccine-elicited antibody protection, as previous studies have reported a disconnect between in vitro nAb and a protective effect (33–35). Here, we directly demonstrate that prechallenge serum nAb titers against the autologous breakthrough T/F Env variants were not predictive of protection. Remarkably, these breakthrough variants were surprisingly sensitive to autologous neutralization, with in vitro nAb titers frequently exceeding 1:1,000, and were similarly sensitive to neutralization by prechallenge serum from vaccinated protected animals. These results highlight an important and well-recognized difference between passively transferred and vaccine-elicited antibody protection; the latter occurs in the presence of ongoing host immune responses. Thus, it is important to consider that vaccination itself may alter the mucosal environment such that transmucosal infection is favored over protection (31, 36, 37). Multiple vaccine studies in NHPs and humans during a similar time frame as was analyzed in refs. 54 and 55. Indeed, the efficiency of horizontal and vertical SIV transmission in NHP hosts in the absence of vaccination is linked to the availability of susceptible target cells in the mucosa (41–43). Furthermore, there is strong evidence that genital inflammation reduces the stringency of HIV-1 transmission (25, 44, 45) and increased target cell availability increases the risk of HIV-1 superinfection (46). Additional caveats may also contribute to the marked discrepancy between in vitro neutralization and in vivo protection that we observed here. It is possible that vaccine-elicited antibodies capable of potent neutralization in the TZM-bl assay lack certain biological properties that are essential for preventing acquisition in vivo (14, 47). Cell-to-cell transmission could play a more prominent role in intrarectal SIV infection than is currently recognized (48–51), and higher concentrations of antibodies with certain specificities could then be necessary to prevent establishment of infection (52, 53). It is similarly possible that the atypically high neutralization sensitivity of SIVsmE660 Env variants involves antibody specificities that are greatly enhanced in the TZM-bl assay and have limited relevance to those that neutralize HIV-1 (27). Finally, we were not able to directly assess the neutralizing activity in rectal secretions or in serum on the day of challenge. However, Env-specific serum IgG titers induced by DNA/MVA immunization were stable in RMs and humans during a similar time frame as was analyzed in refs. 54 and 55. Despite these caveats, our results collectively demonstrate that the DNA/MVA vaccination regimens administered in the M11

Fig. 3. Neutralization sensitivity of breakthrough T/F Envs. T/F Env pseudoviruses from vaccinated breakthrough and unvaccinated control infections were assessed for neutralization sensitivity using the TZM-bl assay, with luciferase activity as the readout. (A) Neutralization of the control (n = 4), DDMM (n = 4), DgDgMM (n = 2), DgDgDgMM (n = 4), and MMM (n = 4) T/F Envs by a pool of serum samples collected from unvaccinated RMs at 24 wk postinfection with SIVsmE660 is shown. The data for T/F Env pseudoviruses within each vaccine or control group (percent infectivity at each serum dilution) were combined into five corresponding datasets in Prism to generate each neutralization curve (color-coded as described in the key). Pseudoviruses expressing the neutralization-sensitive Env SIVsmE660.11 and neutralization-resistant Env SIVsmE660.300–16 are included as references. (B) Neutralization of the T/F Envs by each autologous prechallenge serum sample is shown in the individual panels. The autologous breakthrough T/F Env is represented by a red (DDDM), green (MMM), purple (DgDgDgMM), or blue (DgDgMM) line in each graph; infectivity data for the four control T/F Envs were combined into a single dataset and are shown as an orange line, E660.11 as a gray line, and E660.300–16 as a black line. RLi10 (third panel, second row) is an example of a very potent autologous neutralization of the breakthrough Env; FP36 (fourth panel, third row) lacks autologous neutralization activity against the breakthrough Env. For both A and B, the reciprocal of the serum dilution from each monkey is plotted on the x axis on a log10 scale, and the percent of viral infectivity is plotted along the y axis relative to the virus only control at 100%. Each serum–Env combination was run in duplicate, and each assay was repeated independently at least twice. The variation for each point in the infectivity curve within each group is indicated by the error bars, which represent the SEM. The number of challenges at which each vaccinated monkey became infected is indicated in the bottom left corner of the graphs.
and M12 trials consistently elicited prechallenge antibodies that potently neutralized the autologous breakthrough T/F variants in vitro, but these antibodies were not protective in vivo. The lack of overt selection for more neutralization-resistant breakthrough variants supports the concept that the serum in vitro nAb tier was not the defining feature of vaccine-mediated protection. The findings are consistent with the idea that desirable vaccine-elicted immune responses such as nAbs can be neglected by a concurrent increase in the availability and/or susceptibility of CD4+ T cells and suggest that the interplay between the two parameters needs to be better elucidated. These data further suggest that the relationship between serum nAb titers and protection from mucosal SIV challenge in the setting of active immunization is more complex than previously recognized and that additional studies of this interaction are warranted to better inform the design and evaluation of Env-based vaccinations.

Materials and Methods

Ethics Statement. The Emory University Institutional Animal Care and Use Committee (AWA-A3180-10) approved these studies of NHPs under protocols 215–2007Y and 139–2008Y. This study was conducted in strict accordance with US Department of Agriculture regulations and the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. SIV-infected Indian RMs (Macaca mulatta) were housed in standard NHP primate cages and received standard primate feed, as well as fresh fruit and enrichment daily, and had continuous access to water. Animals have continued access to enrichment resources, including objects for perching and other manipulanda. Animal welfare was monitored daily. Appropriate procedures were performed to ensure that potential distress, pain, or discomfort was alleviated. The sedatives Ketamine (10 mg/kg) and Telazol (4 mg/kg) were used for blood draws. Euthanasia using Pentobarbital (100 mg/kg) under anesthesia was performed only when deemed clinically necessary by veterinary medical staff and according to Institutional Animal Care and Use Committee endpoint guidelines.

SGA and Sequencing of SIVsmE660 env Genes. Plasma collected at 7 or 14 d postinfection was used for 96-well SGA of SIVsmE660 env genes. Plasma viral loads in these samples ranged from 6.74 × 10^7 to 3.62 × 10^9 copies per mL. Viral RNA was extracted from plasma using the QIAamp viral RNA kit according to the manufacturer’s instructions (Qiagen). Reverse transcription of viral RNA into cDNA was performed using the SuperScript III kit and reverse primer SM-ER1 CTATCAGCTGTTAATGACCCCTCTCTCAGTCC, following the manufacturer’s instructions (Invitrogen). For SGA, the cDNA template that resulted in one-fourth to one-third of the PCR reactions being positive was used, as described previously in refs. 25, S. The first round of PCR was performed in a 15 μL volume using the Phusion Hotstart II High Fidelity DNA Polymerase (Thermo Scientific) and the following primers: forward SIVsmEnvF1, CCTCTCCCTGGGAGGCAAATATACATTTT; R3N, TTGCAATTCATACATATTCTTT- TCTTGCTG; and R4, TCATCTTCATCCACCATGACCATG. Sequencher v5.2 was used to determine nucleotide sequences, create alignments, calculate pairwise diversity, and generate a Neighbor-joining phylogenetic tree. Highlighter plots were generated to identify the consensus sequence for the T/F Env variant (www.hiv.lanl.gov/content/sequence/HIGHLIGHT/highlighter_top.html). GenBank accession numbers are as follows: FJ59014–FJ59055 for the VH2000 challenge stock sequences and KP348487–KP735110 for the SIVmsE660 SGA and cloned env sequences.

Neutralization Assay. An SGA-derived env gene amplicon that was representative of the T/F consensus sequence for each monkey was purified using the Qiagen PCR Purification Kit (Qiagen) and cloned into the pCDNA3.1dV5His TOPO-PA (Invitrogen) using approaches described previously (57–59). An env gene clone whose sequence was identical to the matching SGA amplicon was used to generate a pseudovirus by transfecting the Env-expressing plasmid DNA alongside the HIV-1 SG3Env proviral backbone. Using the plasmid expressing the Fugene HD reagent as recommended (Promega), Pseudovirus stocks were collected from the 293T cell supernatants at 48–72 h after transfection, centrifuged by centrifugation, divided into small volumes, and frozen at −80 °C. Fivefold serial dilutions of heat-inactivated serum samples were assayed for their inhibitory potential against the Env pseudoviruses using the TZM-bl indicator cell line, with luciferase as the readout, as described previously (57, 59–63). TZM-bl cells were plated and cultured overnight in flat-bottomed 96-well plates. A pseudovirus (2,000 IU per well) in DMEM with −3.5% (v/v) FBS (HyClone) and 40 μg/mL DEAE-dextran was mixed with serial dilutions of plasma or serum and subsequently added to the plated TZM-bl cells. At 48 h postinfection, the cells were lysed and luciferase activity was measured using a BioTek Synergy HT multimode microplate reader with Gen 5, v2.0 software. The average background luminescence from a series of uninfected wells was subtracted from each experimental well, and infectivity curves were generated using Graphpad Prism v6.0d, where values from experimental wells were compared against a well containing a virus without a test reagent (100% infectivity). Neutralization IC_{50} titer values were calculated in viro using Prism v6.0d using the five-parameter logistic function with variable slope, log-transformed x values, and normalized y values.

Acknowledgments. We gratefully acknowledge Dr. Brandon Keele for providing SGA sequences from the SIVsmE660 VH2000 challenge stock; Samuel R. DeVictor, Geovonni P. Bell, Lena A. Sheorey, Andrew D. Chang, and Mariam Dualibvili for technical assistance; Drs. George Shaw and Katharine Bar for providing the SIVsmE660 reference Env plasmids 660.11 and 660.300-16 and their sequences; and the National Institute of Allergy and Infectious Diseases/National Institutes of Health for Grants R01-AI075067 (to C.A.D.) U19AI096187 (to E.H. and R.A.A.), and PS1-ROI01132 (to the Yerkes National Primate Research Center).


