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Potassium Channel Kv1.3 Is Highly Expressed by Microglia in Human Alzheimer’s Disease

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Abstract. Recent genetic studies suggest a central role for innate immunity in Alzheimer’s disease (AD) pathogenesis, wherein microglia orchestrate neuroinflammation. Kv1.3, a voltage-gated potassium channel of therapeutic relevance in autoimmunity, is upregulated by activated microglia and mediates amyloid-mediated microglial priming and reactive oxygen species production in vitro. We hypothesized that Kv1.3 channel expression is increased in human AD brain tissue. In a blinded postmortem immunohistochemical semi-quantitative analysis performed on ten AD patients and ten non-disease controls, we observed a significantly higher Kv1.3 staining intensity (p = 0.03) and Kv1.3-positive cell density (p = 0.03) in the frontal cortex of AD brains, compared to controls. This paralleled an increased number of Iba1-positive microglia in AD brains. Kv1.3-positive cells had microglial morphology and were associated with amyloid-β plaques. In immunofluorescence studies, Kv1.3 channels co-localized primarily with Iba1 but not with astrocyte marker GFAP, confirming that elevated Kv1.3 expression is limited to microglia. Higher Kv1.3 expression in AD brains was also confirmed by western blot analysis. Our findings support that Kv1.3 channels are biologically relevant and microglia-specific targets in human AD.

Keywords: Alzheimer’s disease, Kv1.3, microglia, neuroinflammation, potassium channel

INTRODUCTION

Microglia represent the innate immunity of the central nervous system (CNS) and like macrophages, perform pro-inflammatory as well as regulatory functions [1]. Microglia induced by T cell cytokines tumor necrosis factor α and interferon γ are pro-inflammatory while some microglia have regulatory and anti-inflammatory functions [2]. In Alzheimer’s disease (AD), microglia surround amyloid plaques and contribute to amyloid turnover. It is suggested that early microglial activation in AD has a neuroprotective effect by promoting clearance of amyloid-β (Aβ), however, in the later stages pro-inflammatory cytokines produced by activated microglia have detrimental effects that promote neurodegeneration [3]. The central role of microglia in AD pathogenesis is further supported by genetic studies that identified an AD susceptibility locus in CD33, and an increased risk of AD associated with allelic variants in Triggering Receptor Expressed on Myeloid cells-2 (TREM2), both microglial proteins [4–6].

Microglia are the key inflammatory cells in AD that mediate neuroinflammation, and voltage-gated Kv1.3 potassium channels are key regulators of microglial function. Resting microglia express Kv1.5 channels and upon activation and proliferation, upregulate Kv1.3 and down-regulate Kv1.5 channels. Kv1.3 channels also migrate to the cell surface while Kv1.5 channels are internalized making Kv1.3 channels not only functionally relevant but also most susceptible.
to blockade by highly selective channel blockers [7, 8]. Peptide blockers of Kv1.3 channels (margatoxin and the highly-specific sea anemone toxin analogs ShK-186, ShK-170, ShK-192) and non-peptide blockers (PAP-1) have been developed as experimental therapeutics in multiple sclerosis and other autoimmune disorders, where they act primarily by blocking Kv1.3 channels on autoimmune effector-memory T cells [9]. A better understanding of microglial potassium channel regulation and expression patterns in neurodegenerative states could also yield targets for drug development using potassium channel blockers.

In animal models of AD, microglial priming by Aβ depends on Kv1.3 channels [7, 8]. Therapeutic targeting of Kv1.3 channels in AD with selective blockers could be justified if Kv1.3 channel expression is increased in a cell-specific manner in human tissue. In this study, we have investigated Kv1.3 channel expression in human AD brain tissue, as compared to non-AD controls. Furthermore, we characterized patterns of Kv1.3 channel expression and determined the cellular localization of Kv1.3 channels in frontal cortex of brains from AD patients. Our results provide evidence that supports the possibility that Kv1.3 channels represent therapeutic targets in AD.

**MATERIALS AND METHODS**

**Brain tissue**

Ten AD brains and 10 age-matched non-disease control cryopreserved brain tissues were randomly selected from a neuropathological repository from the Emory Alzheimer Disease Research Center Neuropathology Core, Atlanta. 12 µm sections from paraffin-embedded frontal cortical brain tissue were prepared. Mean age was 71.5 ± 3.6 years and 71.5 ± 3.8 years in cases and controls respectively. Other baseline characteristics are described in the supplementary material (Supplementary Table 1). The samples were coded for blinded immunohistochemical analysis.

**Antibodies**

Rabbit anti-human Kv1.3 polyclonal IgG (#APC101) was obtained from Alomone Laboratories, Jerusalem. Optimization for immunohistochemistry (IHC) on paraffin embedded tissue was performed on control brain tissue as well as mouse spleen tissue (positive control) and dilution of 1:100 was selected. Goat anti-Iba1 polyclonal Ab (Abcam #ab68576) was used at 1:200 dilution. Appropriate goat anti-rabbit (Sigma-Aldrich, 1:1000) and horse anti-goat IgG (Sigma, 1:1000) were used to label astrocytes and Anti-Aβ monoclonal 4G8 (Aβ 17–24) antibody (Covance SIG 39245) was used to identify amyloid plaques.

**Immunohistochemistry on paraffin sections**

Paraffin-embedded sections were deparaffinized through an alcohol gradient, heated in a microwave in 10 mM citric acid (pH 6.0) for 15 min, blocked with 0.3% hydrogen peroxide for 30 min and then blocked with 4% normal goat serum/0.4% Triton X-100/Tris-buffered saline (TBS) for 1 h, followed by overnight incubation with primary anti-Kv1.3 antibody or anti-Iba1 antibody. Sections were rinsed with TBS and incubated with appropriate biotin-conjugated secondary antibody followed by Vectastain Elite ABC and diaminobenzidine (DAB) as per manufacturer’s recommendations. To exclude nonspecific staining unrelated to polyclonal and monoclonal antibodies, immunostaining was performed with omission of the antibodies but with all other procedures unchanged in some experiments. Slides were lastly counterstained with hematoxylin. Specificity of anti-Kv1.3 antibody was determined in two AD samples by pre-adsorption with Kv1.3 peptide (8 µg/ml, Alomone laboratories) followed by further immunostaining with primary and secondary antibodies as described above.

For double antigen immunohistochemistry for Kv1.3 and Aβ, slides were first immunostained with anti-Kv1.3 Ab, secondary Ab and developed with Vectastain Elite ABC and diaminobenzidine (DAB) chromogen per manufacturer’s protocol. Light microscopy was performed with an Olympus light microscope (Olympus, Center Valley, PA).

**Pathologic grading**

All AD and control specimens that were immunostained for Kv1.3 and Iba1 were independently evaluated by two investigators (SR and AL). Staining intensity of positive cells at 20X magnification, based on intensity of DAB labelling, was graded as follows: 0 – no staining, 1 – mild, 2 – moderate, 3 – strong.

Examples of each grade were provided to each observer
compared using Student’s t-test (*p* ≤ 0.05 was considered significant for a two-tailed analysis).

**Immunofluorescence microscopy and co-localization analysis**

Slides from 3 AD cases and 3 controls were incubated with rabbit anti-Kv1.3 (1:100) and goat anti-Iba1 (1:200) or rabbit anti-Kv1.3 and mouse anti-GFAP mAb (1:500) antibodies. Phycoerythrin-conjugated Donkey anti-rabbit IgG (1:1000), Fluorescein Isothiocyanate (FITC)-conjugated donkey anti-goat, and FITC-conjugated goat anti-mouse IgG (1:1000) were used as secondary antibodies. Three AD cases were also immunostained with anti-neuron-specific β-tubulin III (TuJ1, Promega G712A, 1:100) and anti-Kv1.3 antibodies. Pre-incubation of tissue with 10 mM cupric sulfate for 30 min was performed to reduce autofluorescence due to lipofuscin. Single antibody controls for each channel were used to optimize settings for image acquisition. Non-specific staining was evaluated by omission of primary antibodies but with all other steps. Images were acquired at 20x and 40x magnification on an Olympus microscope (Microscope: Olympus BX51 and camera: Olympus DP70) using FITC, PE, and DAPI filters and images were processed using ZEISS LSM 5 Series Image Browser.

**Tissue preparation and western blot analysis**

Membrane-enriched protein extracts were prepared as previously described [12] from frozen frontal cortical tissue of 4 AD and 5 control brains that had been included in immunohistochemistry studies. Briefly, approximately 200 mg of brain tissue was homogenized in lysis buffer (10 mM EDTA, pH 7.4) with 1X protease inhibitor cocktail (StressGen, Victoria, BC) while on ice followed by agitation with metallic beads and sonication (4 × 5-s pulses with 15 s breaks to avoid overheating). Suspensions were then centrifuged at 22,000 g for 15 min and the pellet was solubilized in SM Urea on ice for 1 h followed by centrifugation. The supernatant was collected and protein estimation (Pierce™ BCA Protein Assay Kit, #23227) was performed. Sodium dodecyl sulfate (SDS) sample buffer with [beta]-mercaptoethanol (MP Biomedicals, Solon, OH) was added to 50 μg of protein from each sample and loaded onto 10% SDS denaturing gels. Western blots were performed per standard protocols. After overnight protein transfer, PVDF membrane was blocked with 5% fat-free milk for 1 h followed by incubation with primary antibodies. The total protein samples were incubated with anti-Kv1.3 rabbit polyclonal antibody (APC 101, Alomone labs, 1:1000) and anti-α-tubulin (Cell Signaling, #837, 1:1000) for 24 h. Membrane fraction samples were probed with anti-Kv1.3 and anti-Na/K ATPase α1 subunit mouse monoclonal antibody (Millipore #05-369, 1:1000) for 2 h. After 3 washes, fluorescent secondary antibodies (anti-mouse IgG IRDye® 800 conjugate, Rockland, 1:20,000 and anti-rabbit IgG Alexa Fluor® 680 conjugate, Invitrogen) were added for 1 h. An Odyssey for reference. Staining density, defined as number of positive cells per 20x power field, was graded as follows: 0 = no positive cells/field, 1 = 1–10/field, 2 = 11–50/field, 3 = 50+/field. Each observer rated 3 cortical fields and 3 white matter fields per slide for staining intensity and density and the mean score was used as a final grade. Inter-observer agreement for staining intensity as well as staining density was calculated. Mean staining intensity and staining density was compared between AD cases and controls using Student’s t-test (*p* ≤ 0.05 was considered significant for a two-tailed analysis).
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Fig. 1. Iba1 and Kv1.3 expression is increased in AD brains as compared to control brains. **Left:** Representative 20x images demonstrating low Iba1 and minimal Kv1.3 staining in a control brain (top panel) compared to strong Iba1 positivity and robust Kv1.3 positivity in an AD brain (bottom panel). **Right:** Statistical comparison of Iba1 (top panel) and Kv1.3 (bottom panel) staining intensity and staining density in cortical regions. *P*-values for significant differences between cases and controls are indicated.

Scanner (LI-COR, Lincoln, NE) was used to visualize proteins labeled and densitometric analysis of gel bands was performed using ImageJ as previously described [12]. Kv1.3 protein expression was normalized to Na/K ATPase β1 subunit (for membrane fractions) and both absolute and mean densitometric units were compared across both groups after three replicative experiments (unpaired two-tailed Student’s *T*-test).

RESULTS

**Iba1 and Kv1.3 channel expression is increased in frontal cortex of human AD brains**

Frontal lobe paraffin-embedded tissue from 10 AD cases and 10 control cases were stained individually for Kv1.3 and microglial marker Iba1 and graded in a blinded manner as described above. There was good agreement between the two raters (intra-class coefficient 0.68 for staining density and 0.73 for staining intensity). In a combined analysis from both raters, cortical regions of AD brains showed higher intensity (*p* = 0.002) and a trend toward higher density (*p* = 0.06) of Iba1 positive microglia (Fig. 1). In a similar manner, cortical regions of AD brains had higher Kv1.3 staining intensity (*p* = 0.03) and higher density (*p* = 0.03) of Kv1.3 positive cells (Fig. 1). No significant differences in staining intensity or staining density were observed in white matter regions (Supplementary Fig. 2) except for higher Iba1 staining density in AD cases as compared to controls (*p* = 0.04). In 4 AD and 5 control frontal cortical samples, Kv1.3 protein expression as estimated by quantitative immunoblotting was higher in AD cases as compared to controls in the membrane-enriched fractions (Fig. 2). These results suggest that Kv1.3 and Iba1 expression is significantly higher in the cortex of AD patients as compared to controls.

![Graph showing Kv1.3 expression in AD and non-AD controls](image)

Fig. 2. Kv1.3 expression in frontal cortex of AD and non-AD controls as measured by immunoblotting. Kv1.3 expression was higher in the membrane fraction of AD brains (*n* = 4) as compared to non-AD controls (*n* = 5).
Fig. 3. Patterns of Kv1.3 channel expression in AD brains. Two staining patterns were observed. A) Kv1.3 staining in AD brain: “glial pattern” (20x). B) Secondary antibody control (20x). C) Kv1.3 staining in AD brain: “plaque-like” morphology (10x). (Inset: Higher magnification). D) 40x magnification of a Kv1.3 plaque-like lesion without hematoxylin counterstain.

Fig. 4. Specificity of Kv1.3 antibody. Pre-adsorption with Kv1.3 immunizing peptide (8μg/ml) abolishes glial (A) as well as plaque-patterns (B) of Kv1.3 staining in frontal cortical regions of AD brain tissue.
Fig. 5. Kv1.3 channels in AD brains are predominantly expressed by Iba1+ microglia. A) Kv1.3 (red) co-localizes robustly with Iba1 (green) [top panel]. B) Low levels of co-localization were observed between Kv1.3 (red) and GFAP (green) [bottom panel]. C) Co-localization analysis also confirms that a higher degree of co-localization between Kv1.3 and Iba1 compared to Kv1.3 and GFAP (p-value = 0.015, two-tailed Student's T test, n=3 for each group).
Patterns of Kv1.3 channel expression in AD brain tissue

In an un-blinded qualitative analysis of the 10 AD cases, we observed two predominant forms of Kv1.3 staining in the cortex: 1) "Glial-pattern" where Kv1.3\textsuperscript{positive} cells appeared to have glial morphology with thin cytoplasmic processes and smaller nuclei (Fig. 3A), morphologically similar to microglia; and 2) "Plaque-like" morphology where Kv1.3\textsuperscript{staining} was seen in the form of aggregates (Fig. 3C, D). Such a plaque-like pattern could imply Kv1.3\textsuperscript{channel aggregation} at the site of amyloid plaques or non-specific binding of the antibody to protein aggregates as well as to microglia through FcR binding. Kv1.3\textsuperscript{positive} plaque-like regions were also Thioflavin-S\textsuperscript{negative}, suggesting that the anti-Kv1.3 antibody was not binding in a non-specific manner to A\beta plaques and also that Kv1.3 plaque-like regions are distinct from A\beta plaques (Fig. 6E, F).

To confirm the specificity of the anti-Kv1.3 antibody used in this study, we pre-adsorbed the anti-Kv1.3 antibody with saturating amounts of the immunogenic peptide before performing IHC. Peptide pre-adsorption abolished both the glial-type (Fig. 4A) and plaque-like patterns (Fig. 4B) of Kv1.3 staining in...
AD brains confirming the specificity of this antibody. Consistent with observations by others [13], the anti-Kv1.3 antibody was also able to detect Kv1.3 channel expression in the marginal zone in the spleen without significant staining in other regions (data not shown), also confirming the specificity of this antibody for Kv1.3 channels.

Kv1.3 channels are predominantly expressed by microglia in AD brains.

In order to confirm the cellular localization of Kv1.3 in AD brain tissue, we performed immunofluorescence microscopy using antibodies directed against microglia (Iba1, anti-goat polyclonal IgG, 1:200), astrocytes (GFAP, mouse monoclonal IgG, 1:500), neurons (TuJ1, anti-rabbit polyclonal, 1:100), and Kv1.3 channels (goat anti-rabbit polyclonal, 1:100). Strong co-localization between Kv1.3 and GFAP was observed (Fig. 4, p = 0.015). Details of this analysis have been illustrated (Supplementary Fig. 1). In 3 AD cortical samples, we also found low levels of Kv1.3 expression in cell bodies but not axons of TuJ1-positive neurons (Supplementary Fig. 3) although this analysis was limited by autofluorescence in a significant proportion of cortical neurons (data not shown). These results suggest that Kv1.3 is primarily expressed by microglia in human AD brain tissue.

Iba1 and Kv1.3 channel expression is related to Aβ deposits.

Aβ plaques are one of the hallmarks of AD and activated microglia invade and surround Aβ plaques [14]. We also found aggregation of Iba1positive microglia in the vicinity of Aβ plaques identified by Thioflavin-S fluorescent staining (Fig. 6A, B). In dual-chromogen IHC experiments simultaneously probing for Kv1.3 and Aβ, we observed numerous Kv1.3positive glia in the vicinity of amyloid deposits (Fig. 6C, D; n = 3 AD cases). Kv1.3 expression in our analysis is predominantly limited to microglia. Therefore, the aggregation of Iba1positive cells and Kv1.3 expression in the vicinity of Aβ deposits suggests a role for Kv1.3positive microglia in the pathogenesis of AD.

DISCUSSION

A central role for microglia in AD pathogenesis is supported by results from human genetic studies that confirmed an association between risk of AD and variations/polymorphisms in genes that encode microglial proteins. A mutation in TREM2, a microglial anti-inflammatory protein that represses microglial cytokine production and neuronal damage [15] confers an increased risk for late-onset AD [4]. Increased expression of microglial protein CD33 is also associated with increased amyloid burden and single nucleotide polymorphisms in CD33 confer an increased risk for AD [5, 16]. These results are congruent with data from animal models and support targeting of microglia in AD as a therapeutic strategy [17]. However, non-selective genetic depletion of microglia does not alter neuropathological features in a mouse model of AD suggesting that anti-microglial strategies should target neurotoxic microglia, while sparing neuroprotective subsets [18]. In addition, an understanding of cellular markers and pathways that define microglial populations is critical in identifying novel therapeutic targets in AD [17, 19, 20]. In immune cells such as lymphocytes, potassium channels (Kv1.3, KCa3.1) have been found to regulate cellular functions limited to distinct cell subsets that mediate autoimmunity, a finding of therapeutic relevance in human disease [9, 21]. Potassium channels (Kv1.3, Kv1.5 and KCa3.1) and the transient receptor potential cation channel subfamily V member 1 (TRPV1) channel are also expressed by microglia and macrophages [22-25]. Voltage-gated potassium channels are key regulators of excitability in neurons and cardiac muscles; and of membrane potential in non-excitable tissues such as lymphocytes, platelets, macrophages, and microglia. Following membrane depolarization, efflux of potassium ions through open potassium channels in lymphocytes restores the electrophysiological gradient necessary to maintain calcium influx. Down-stream events following calcium entry drive cell migration, proliferation, activation, and cytokine production. Human T cells primarily express Kv1.3 and KCa3.1 channels which regulate calcium entry [21]. Upon activation, the majority of T cells up-regulate KCa3.1 channels while auto-reactive effector memory T cells (TEMs) preferentially up-regulate Kv1.3 channels, making them susceptible to Kv1.3-specific blockers [26]. Kv1.3 channel-specific peptide toxins (ShK and its analogs [ShK-186, ShK-170, ShK-192] and PAP-1) as well as less selective Kv1 channel blockers (BgK and margatoxin) inhibit T cell proliferation, cytokine release and migration and can effectively ameliorate disease in animal models of autoimmunity (experimental allergic encephalomyelitis, pristane-induced arthritis and delayed-type hypersensitivity) without the side effects of non-specific immunosuppression [26].
Microglial potassium channels also demonstrate a pattern of regulation that mirrors the pattern seen in human T lymphocytes [23, 24]. Resting microglia express Kv1.5 channels, and upon activation by lipopolysaccharide, GM-CSF or interferon-γ, upregulate Kv1.3 expression [22]. Kv1.3 channels also control microglial proliferation, oxidative burst and neuronal killing [7, 27, 28]. Small conductance calcium-activated potassium channel KCa3.1 is also expressed in microglia and blockade of this channel abrogates Aβ-induced neuronal killing [7, 27, 28]. Rapid activation and inactivation of Kv1.3 channels could alter calcium fluxes in neurons and microglia but the relevance of this potential Kv1.3-Aβ interaction has not been evaluated. This staining pattern could represent aggregates of microglia, astrocytes, or Kv1.3-expressing neurons and the cellular localization of this Kv1.3 plaque-like pattern needs to be elucidated.

Selective CNS-penetrating Kv1.3 channel blockers could target activated microglia while sparing other glial subtypes that do not express Kv1.3 channels at significant levels. Neurons express a wide array of outward-rectifying potassium channels that predominantly include Kv1.2, 1.4, 1.6, 2.1 and small-conductance potassium (SK) channels, none of which are affected by the highly selective Kv1.3 channel blockers (ShK-186, Shk-170, Shk-192 and PAP-1) [35, 36]. Kv1.3 channels are expressed in the olfactory bulb and a “super-smeller” phenotype in Kv1.3−/− mice has been observed, but their expression in other cortical brain regions in low [37, 38]. We, like others, also observed low levels of neuronal Kv1.3 staining in cell bodies of neurons in the frontal cortex in human AD brain tissue [39] although we were unable to clarify whether degenerating neurons in the AD cortex express altered levels of Kv1.3 protein.

An FDA-approved non-specific blocker of Kv channel, 4-aminopyridine, also blocks Kv1.3 channels and has a good safety profile in humans except for seizures at high doses [40, 41] that likely result from lowered seizure-threshold due to neuronal potassium channel blockade. In animal studies, treatment with ShK-186 and a lipid soluble Kv1.3 blocker PAP-1 did not increase their susceptibility to influenza and chlamydial infection [26, 42, 43]. A human Phase 1 study of ShK-186 as a novel treatment for autoimmune diseases is also currently underway [44]. Although the neurologic safety profile of selective Kv1.3 blockers in humans has not been demonstrated, the above observations suggest that selective Kv1.3 blockade may not result in significant neurologic or immunosuppressive adverse effects.

The relevance of microglial Kv1.3 channels in neuroinflammation is also likely to extend beyond AD. For example, activated microglia after status epilepticus upregulate a potassium channel electrophysiologically identical to Kv1.3 [45]. HIV-Tat also

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induces Kv1.3 channel expression in microglia and blockade with 4-aminopyridine seems to abrogate neurotoxicity in vitro [46]. Altered Kv1.3 channel regulation in microglia and blood-derived dendritic cells has also been observed in neuroinflammatory disease states such as radiation-induced brain injury and multiple sclerosis [24, 47]. Our study is limited by the relatively small numbers of cases and controls used. Although the method used for grading of staining was semi-quantitative, inter-observer agreement was acceptable. Our study was restricted to the frontal cortex and whether similar findings are observed in other regions of AD brain will need to be evaluated in future studies. Iba1 was used as a sole marker for microglia in our experiments. Since blood-derived mononuclear phagocytes recruited to the brain in AD may also upregulate some Kv1.3positive Iba1positive cells were round/oval with poorly visualized processes, both atypical for microglial morphology. This could be explained by the detection of Iba1positive non-microglial cells or by Aβ-induced morphological changes observed in microglia in AD brains. Suboptimal staining of microglial processes is also possible as a result of methodological limitations with paraffin-embedded post-mortem brain tissue and by the use of cupric sulfate to reduce autofluorescence by lipofuscin.

In summary, we have demonstrated that Kv1.3 expression is increased in the fronto-corpus of AD brains with AD as compared to non-AD controls. Kv1.3 channels are expressed in the vicinity of Aβ plaques and are primarily expressed by microglia as demonstrated by co-localization between Kv1.3 and Iba1. In context of the growing body of literature suggesting a role for microglia in neurodegeneration, and for potassium channels in microglial physiology, our results provide further evidence that microglial Kv1.3 channels represent therapeutic targets in human neuroinflammatory and neurodegenerative disorders such as AD.

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SUPPLEMENTARY MATERIAL

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