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Thomas Clasen, Emory University
Gloria Garcia Parra, Oxfam GB
Sophie Boisson, International Health Research Associates, Ltd.
Simon Collin, London School of Hygiene and Tropical Medicine

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HOUSEHOLD-BASED CERAMIC WATER FILTERS FOR THE PREVENTION OF DIARRHEA: A RANDOMIZED, CONTROLLED TRIAL OF A PILOT PROGRAM IN COLOMBIA

THOMAS CLASEN,* GLORIA GARCIA PARRA, SOPHIE BOISSON, AND SIMON COLLIN

Department of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, London, United Kingdom; Oxfam GB, Oxford, United Kingdom; International Health Research Associates, London, United Kingdom

Abstract. Household water treatment is increasingly recognized as an effective means of reducing the burden of diarrheal disease among low-income populations without access to safe water. Oxfam GB undertook a pilot project to explore the use of household-based ceramic water filters in three remote communities in Colombia. In a randomized, controlled trial over a period of six months, the filters were associated with a 75.3% reduction in arithmetic mean thermotolerant coliforms (TTCs) ($P < 0.0001$). A total of 47.7% and 24.2% of the samples from the intervention group had no detectible TTCs/100 mL or conformed to World Health Organization limits for low risk (1–10 TTCs/100 mL), respectively, compared with 0.9% and 7.3% for control group samples. Overall, prevalence of diarrhea was 60% less among households using filters than among control households (odds ratio $= 0.40$, 95% confidence interval $= 0.25, 0.63$, $P < 0.0001$). However, the microbiologic performance and protective effect of the filters was not uniform throughout the study communities, suggesting the need to consider the circumstances of the particular setting before implementing this intervention.

INTRODUCTION

Unsafe drinking water is a leading cause of preventable disease, particularly among low-income children in developing countries. Water-borne pathogens, including a variety of viral, bacterial, and protozoan agents, account for much of the estimated 4 billion cases and 2.5 million deaths from endemic diarrheal disease each year.1 Among children less than five 5 years of age in developing countries, diarrheal disease accounts for 21% of all deaths.2 With an estimated prevalence of 13.9% among children less than five years of age, diarrheal disease is a leading cause of morbidity and mortality in Colombia.3

More than one billion people currently lack access to any form of improved water supply within 1 km of their homes.4 Many more lack access to safe water. Efforts to deliver safe and reliable water services necessary to create a healthy living environment and other benefits for people in developing countries are an essential long-term goal. However, recent research has shown that the treatment and safe storage of water in the home can achieve much of the health gains associated with improved water, in many cases more effectively than conventional piped-in water.5,6 Research on the economics of such interventions also suggests that household-based water treatment is among the most cost-effective approaches in preventing diarrheal disease.7

Oxfam GB has been working in Colombia for more than 20 years. Among other things, Oxfam supports vulnerable communities affected by the armed conflict with housing, food security, and public health initiatives, including water and sanitation. Based on successes in other Andean trials, Oxfam elected to pilot the use of ceramic water filters in three remote communities due mainly to their potential for providing safe drinking water to the affected region over longer periods of time with minimal support.8 It asked the investigators to conduct this assessment of the microbiologic performance and health impact of the filters.

MATERIALS AND METHODS

Study sites. Three separate communities representing different circumstances and challenges were selected by Oxfam for the pilot program. Vigía de Curvaradó, the most remote of the sites, is a fishing village with approximately 350 inhabitants along the Atrato River in the Department of Chocó. Inhabitants have a poor and unbalanced diet, and there is considerable illness, especially among infants and children. Houses are built on stilts over the water and inhabitants spend much of the day on or around the river. The river is the source of all water needs, including washing water, human and other waste disposal, and, except for periodic rain harvesting, drinking water. When thirsty, many inhabitants, and especially children, consume water directly from the river.

Dabeiba is a town with approximately 30,000 inhabitants located in a mountainous region of the Department of Antioquia. Most inhabitants raise crops and livestock. Water used for drinking and all other purposes is supplied by a gravity-fed aqueduct. An antiquated and poorly maintained pipe distribution system supplies water to yard taps, but because of poor service, inhabitants collect and store water in open vessels used for humans and livestock. The municipality has a water treatment facility but uses only alum as a flocculant and does not disinfect the water.

Cartagena is a coffee-growing community of approximately 105 families in the Department of Norte de Santander who have recently returned after having been displaced due to the armed conflict in Colombia. Water is supplied from a mountain stream through a series channels and storage ponds and is sent into a piped distribution network to taps at most household plots. The water is not treated, either by sedimentation, disinfection, or other means.

All three sites are in areas affected by the armed conflict in Colombia. Security problems make it impossible for Oxfam to establish and maintain consistent programmatic activities regarding water, sanitation, and hygiene. Due to both the conflict and their remoteness, Vigía de Curvaradó and Dabeiba receive less regular follow-up and programmatic support than Cartagena. Only in Cartagena has Oxfam been able to establish a network of health promoters who reside...
locally and therefore visit the area regularly, monitoring health and providing support.

After meeting with community representatives and performing an initial analysis of water samples, 140 households were recruited to participate in the study, 49 from Vigía de Curvaradó, 51 from Dabeiba, and 40 from Cartagenita. Participating families were selected by lottery from among those who attended an initial meeting to learn about the filters and the study. Field workers then collected baseline information from the head of each participating household by means of a standard questionnaire, and obtained a sample of the pre-intervention drinking water for baseline data purposes. Thereafter, a lottery was conducted at each study site to randomly allocate households to an intervention group.

**Intervention.** Intervention group households received a ceramic water filter system designed for use at the household level. The system is shown in Figure 1. It consisted of two locally produced 20-liter covered plastic buckets, two Katadyn® 12-cm porous ceramic filter elements (Katadyn Produkte AG, Zurich, Switzerland) and a metal tap fitted into a polyvinyl chloride flange for dispensing the product water. The Katadyn Ceradyn™ filter elements (candles) have a nominal pore size of 0.2 μm and are impregnated with silver for bacteriostasis. According to the manufacturer, each candle can treat up to 20,000 liters of water depending on frequency of cleaning, and each candle can produce up to 1.5 liters/hour of filtered water depending on turbidity and head pressure.

Members of the intervention group attended a meeting during which they assembled their filter systems and received instructions on filling, using, and cleaning the system. They were encouraged to place the filter on a flat, stable surface that was accessible even to small children; to fill the unit as frequently as necessary using the same water that they previously used for drinking; to have all household members use the filter for drinking, cooking, and cleaning eating utensils; and to clean the candles with a coarse sponge (also provided) whenever they noticed that the flow rate was reduced. They were also instructed to refrain from opening the lower vessel for any reason, and to access the filtered water solely from a cup or other utensil filled from the tap. Apart from answering questions upon distribution of the system or in subsequent visits for sampling and diarrhea surveillance, no hygiene or other instructions, further training, or other explanations were provided as part of the intervention. Control households continued to use their customary practices for collecting, storing, and drawing drinking water.

**Surveillance and sampling.** Following the distribution of the filter systems, investigators went to each of the study sites four times at approximately six-week intervals to record diarrhea prevalence during the seven days prior to the site visit and to obtain a sample of drinking water for analysis. It was necessary to collect and use such period prevalence data rather than incidence due to the inability to ensure constant access to the study areas for security reasons. Diarrhea was defined as three or more loose stools within a period of 24 hours. For the intervention group, water was sampled directly from the filter taps without flaming the tap so that the sample constituted, University of Surrey, Gilford, United Kingdom). Yellow colonies appearing on the membrane grid were counted and recorded as individual colony-forming units (CFU) of thermotolerant coliforms (TTCs). When a volume of 100 mL produced a number of CFUs that were too numerous to count (TNTC), the count was recorded as TNTC and assigned a value for purposes of statistical analysis of 300 colonies of TTC per 100 mL.

4°C and 10°C and analyzed within four hours using the membrane filter technique. Sample water was passed through a 0.45-μm membrane filter (Millipore Corporation, Bedford, MA) and incubated on membrane lauryl sulfate media (Oxoid Ltd., Basingstoke, United Kingdom) at 44 ± 0.5°C for 18 hours in an Oxfam Delagua portable incubator (Robens Institute, University of Surrey, Gillford, United Kingdom).
Data collection and analysis. Data was recorded on spreadsheets and analyzed using Stata version 8.1 (Stata Corporation, College Station, TX). Data from control and intervention groups were compared by a two-sample t-test and Fisher’s exact test. Generalized estimating equations were used for the analysis of repeated observations of diarrhea in individuals over time and episodes of diarrhea in families controlling for clustering within households. 

Ethics. The assessment of the pilot program was initiated by Oxfam GB and was within the scope of its governmental authority and reporting obligations for operating in Colombia. Written informed consent was obtained from the head of household at the beginning of the program. The expectations and obligations by both the participants and investigators were explained and any questions were answered. It is not believed that the participants were subjected to any additional risks as a result of the project. At the conclusion of the trial, all control group households were offered their filters without charge, and all accepted. Oxfam is continuing to work in each of the communities and thus able to assist with replacement ceramic elements and other components as well as additional filters.

RESULTS

Baseline. Baseline demographic and other characteristics for each study site and for the aggregate control and intervention groups are shown in Table 1. Baseline data did not show statistically significant differences between intervention and control households in any area measured, including demographics, hygiene practices, sanitation facilities, or water handling practices. However, between study sites, there were potentially important differences. Study participants in Cartagenita were younger (mean age = 16.6 years, 95% confidence interval [CI] = 14.5, 18.6) than in Curbaradó (21.6, 95% CI = 19.0, 24.2). The average household size in Dabeiba (4.1 persons/household) was larger than the other two communities. In Curbaradó, homes were more likely to be constructed of wood than those in Dabeiba and Cartagenita, a difference that reflects their typical construction on stilts over the river. The mean number of rooms for homes in Dabeiba (1.8, 95% CI = 1.5, 2.2) was less than that in Curbaradó (2.5, 95% CI = 2.2, 2.9) or in Cartagenita (3.1, 95% CI = 2.5, 3.6). In terms of hygiene practices, fewer study participants used soap in Dabeiba compared with Curbaradó (P = 0.002) and

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Curvarado</th>
<th>Dabeiba</th>
<th>Cartagenita</th>
<th>Total</th>
<th>Intervention</th>
<th>Control</th>
<th>Difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total persons</td>
<td>172 (25.3%)</td>
<td>309 (45.4%)</td>
<td>199 (29.3%)</td>
<td>680 (100%)</td>
<td>415 (61.0%)</td>
<td>265 (39.0%)</td>
<td>–</td>
</tr>
<tr>
<td>&lt; 5 years old</td>
<td>28 (16.3%)</td>
<td>64 (20.7%)</td>
<td>50 (25.1%)</td>
<td>142 (20.9%)</td>
<td>88 (21.2%)</td>
<td>54 (20.4%)</td>
<td>NS</td>
</tr>
<tr>
<td>&gt; 15 years old</td>
<td>94 (54.7%)</td>
<td>132 (42.7%)</td>
<td>81 (40.7%)</td>
<td>307 (45.1%)</td>
<td>181 (43.6%)</td>
<td>126 (47.5%)</td>
<td>NS</td>
</tr>
<tr>
<td>Total households</td>
<td>49 (35.0%)</td>
<td>51 (36.4%)</td>
<td>40 (28.6%)</td>
<td>140 (100%)</td>
<td>76 (54.3%)</td>
<td>64 (45.7%)</td>
<td>NS</td>
</tr>
<tr>
<td>Wood construction</td>
<td>42 (91.3%)</td>
<td>24 (49.0%)</td>
<td>3 (8.3%)</td>
<td>69 (52.7%)</td>
<td>41 (56.2%)</td>
<td>28 (43.3%)</td>
<td>NS</td>
</tr>
<tr>
<td>Brick construction</td>
<td>4 (8.7%)</td>
<td>25 (51.0%)</td>
<td>33 (91.7%)</td>
<td>62 (47.3%)</td>
<td>32 (48.3%)</td>
<td>30 (51.7%)</td>
<td>NS</td>
</tr>
<tr>
<td>Mean number of rooms/household</td>
<td>2.5</td>
<td>1.8</td>
<td>3.1</td>
<td>2.4</td>
<td>2.6</td>
<td>2.2</td>
<td>NS</td>
</tr>
<tr>
<td>Mean occupants/household</td>
<td>4.1</td>
<td>6.2</td>
<td>4.9</td>
<td>5.1</td>
<td>5.5</td>
<td>4.6</td>
<td>NS</td>
</tr>
<tr>
<td>Mean occupants/room</td>
<td>1.9</td>
<td>4.1</td>
<td>2.0</td>
<td>2.7</td>
<td>2.9</td>
<td>2.5</td>
<td>NS</td>
</tr>
</tbody>
</table>

Hygiene and sanitation

* Demographic data are by individual, remaining data are by household. NS = not significant.
Cartagenita ($P < 0.001$). Only 29.5% of the participants from Dabeiba reported having received hygiene education in the six months prior to the study compared with 76.2% in Curvarado and 63.7% in Cartagenita. Unlike Dabeiba and Cartagenita, the population in Curvarado did not have connected toilets. Finally, only 45.7% of study participants in Cartagenita reported treating water compared with 77.3% in Curvarado ($P = 0.004$) and 83.7% in Dabeiba ($P < 0.001$).

Water quality. Table 2 shows the arithmetic mean TTC levels for control and intervention households by study community and overall. Differences in baseline TTC levels were not statistically significant between intervention and control groups overall or for any of the study sites. In four rounds of sampling following the introduction of the filters, product water from intervention households was associated with a significant reduction in TTCs. In Cartagenita, samples from the filters were completely free of TTCs compared with an arithmetic mean TTC count of 72.2 (95% CI = 61.0, 83.5) in the control group. The reduction in the arithmetic mean TTC count was 64.4% in Curvarado and 79.1% in Dabeiba. Overall, all the arithmetic mean TTC count among intervention group households was 37.3 (95% CI = 26.3, 48.3) compared with 150.6 (95% CI = 134.8, 166.4) for the control households, a reduction of 75.2% ($P < 0.0001$).

The microbiologic performance of the filters was also demonstrated by their capacity to reduce the portion of water samples presenting higher levels of fecal contamination. Table 3 shows the percentage of samples taken that fall into the various World Health Organization (WHO) risk categories for fecal contamination: 0 TTCs/100 mL (in compliance), 1–10 TTCs/100 mL (low risk), 11–100 TTCs/100 mL (intermediate risk), and 101–1,000 TTCs/100 mL (high risk). At each study community, the filters were associated with a statistically significant improvement in the percentage of samples meeting lower risk categories. Overall, 47.7% of samples from the intervention households met WHO guidelines for 0 TTCs/100 mL compared with just 0.9% for control households ($P < 0.001$). Conversely, 54.5% of samples from control households had 101–1,000 TTCs/100 mL compared with 10.6% of samples from intervention households ($P < 0.001$). Although 71.9% of intervention group samples were in compliance or presented low risk, 91.9% of samples from control group households presented intermediate or high risk.

Diarrhea prevalence. Data on diarrhea were collected from households with children younger than five years of age by study site and overall. The odds ratios of diarrhea prevalence by study site and overall, adjusted for age and visit, were computed against log 10 TTC counts, controlling for clustering by household, age, and visit. The odds of diarrhea increased with log 10 TTC counts both for all ages (OR = 0.21, 95% CI = 0.08, 0.41, $P < 0.001$) and for children less than five years of age (OR = 0.19, 95% CI = 0.06, 0.58, $P = 0.004$). In Dabeiba, the filters showed some evidence of protection against diarrhea, but the difference did not achieve conventional levels of statistical significance. In Curvarado, the prevalence of diarrhea reported by members of the intervention group was not statistically different from that of the control group.

Association between water quality and diarrhea prevalence. To explore the apparent association between fecal contamination of drinking water and diarrhea prevalence, ORs were computed against log 10 TTC counts, controlling for household clustering, age, and visit. The odds of diarrhea increased with log 10 TTC counts both for all ages (OR = 1.47, 95% CI = 1.01, 2.15, $P = 0.046$) and for children less than five years of age (OR = 1.63, 95% CI = 1.12, 2.39, $P = 0.005$) for children less than five years of age. However, among individual study sites, results were statistically significant only in Cartagenita, where the filter was protective for all ages (OR = 0.21, 95% CI = 0.12, 0.39, $P = 0.006$) and for children less than five years of age (OR = 0.19, 95% CI = 0.06, 0.58, $P = 0.004$). The increased odds of diarrhea with increased TTC levels suggest a dose-response relationship between fecal contamination of water and diarrheal disease.

DISCUSSION

This study was conducted to assess a pilot intervention by Oxfam GB using household-based ceramic filters to prevent diarrhea and to investigate the relationship between fecal contamination of drinking water and diarrhea. The results indicated that the filters were effective in reducing fecal contamination and diarrhea prevalence, especially in Cartagenita. The filters were associated with a significant reduction in TTC levels, and the odds of diarrhea increased with log 10 TTC counts. The associations were stronger for children less than five years of age compared to all ages.

**Table 3**

<table>
<thead>
<tr>
<th>Site</th>
<th>Control 0 TTC/100 mL</th>
<th>1–10 TTC/100 mL</th>
<th>11–100 TTC/100 mL</th>
<th>101–1,000 TTC/100 mL</th>
<th>OR (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Curvarado</td>
<td>97.7%</td>
<td>2.2%</td>
<td>0.1%</td>
<td>0.1%</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>Dabeida</td>
<td>99.9%</td>
<td>0.1%</td>
<td>0.1%</td>
<td>0.1%</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>Cartagenita</td>
<td>99.0%</td>
<td>1.0%</td>
<td>0.0%</td>
<td>0.0%</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>Overall</td>
<td>99.4%</td>
<td>0.6%</td>
<td>0.1%</td>
<td>0.1%</td>
<td>&lt; 0.001</td>
</tr>
</tbody>
</table>

**Table 4**

<table>
<thead>
<tr>
<th>Site</th>
<th>OR (95% CI)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Curvarado</td>
<td>0.87 (0.31, 2.39)</td>
<td>0.781</td>
</tr>
<tr>
<td>Dabeida</td>
<td>0.49 (0.21, 1.13)</td>
<td>0.095</td>
</tr>
<tr>
<td>Cartagenita</td>
<td>0.21 (0.10, 0.41)</td>
<td>&lt; 0.001</td>
</tr>
<tr>
<td>Overall</td>
<td>0.40 (0.25, 0.63)</td>
<td>&lt; 0.001</td>
</tr>
</tbody>
</table>

* OR = odds ratio; CI = confidence interval.
diarrheal disease in Colombia. Overall, the filters demonstrated a capacity to reduce fecal bacteria in source water, and thus improve drinking water quality. The filters were also associated with a significant reduction in the prevalence of diarrhea. These findings are consistent with the microbiologic performance and health impact of the only other intervention trials involving ceramic water filters used at the household level.\textsuperscript{8,12,13}

It is noteworthy, however, that although the filters were protective overall, there was a substantial range in the results among the three settings comprising the overall study. Although the filters completely eliminated TTCs from water samples in Cartagenita, the mean reduction was only 79\% in Dabeiba and 75\% in Curvaradó. The reduction in all age diarrhea prevalence in Cartagenita was correspondingly greater than in Dabeiba and Curvaradó.

Although the overall performance of the filters is promising, it is important to explore whether the differences in results in the three study settings can be explained and thus provide future guidance in the use of this intervention. The description of the study settings and certain baseline data did show some potentially important differences in the communities. Curvaradó, where the intervention was least effective, was the most remote of the study settings. Homes in Curvaradó are constructed directly over the river into which most people defecate; thus, inhabitants are arguably the most regularly exposed to fecal pathogens via pathways other than drinking water. Cartagenita, which benefited most from the intervention, had a younger but less dense population, were generally living in larger and brick-constructed homes in an agricultural area, and were less likely to be treating the water in their homes. The presence of local health promoters in Cartagenita could have also played an important role in the success of the intervention even though they were not directly involved in its deployment, maintenance, or support. Dabeiba, where there was some evidence that the intervention was effective, but less so than in Cartagenita, was a more peri-urban setting with the smallest, most crowded homes, and had statistically lower levels of hygiene instruction and soap for hand washing.

These differences may suggest possible reasons for the range of microbiologic performance and health impact of the filters among the three study communities. However, given the heterogeneity of results in household-based interventions generally, further research is necessary to guide decisions about the appropriateness of the intervention in particular settings, or perhaps the need to supplement the hardware in certain cases with additional programmatic support.\textsuperscript{14} This research should include the exploration of behavioral and sociocultural factors that we did not measure or investigate.

Our results showed an association between the microbiologic performance of the filters and their health impact. This was demonstrated by the increased odds of diarrhea with log 10 increases in TTC counts in sampled drinking water from the home. Although such a relationship is the basis for the recently revised drinking water quality guidelines,\textsuperscript{15} a recent systematic review found that in the case of general diarrhea (as opposed to cholera), studies do not demonstrate a clear relationship between disease and microbial water quality at the point of use.\textsuperscript{16} At the same time, both that review and others\textsuperscript{1,5,6} have shown that household interventions to improve water quality are protective against diarrhea. The dose-response relationship that we observed between TTC counts and disease suggests the underlying biologic basis for this consistent epidemiologic evidence and is consistent with the hazard-based approach under the water quality guidelines.

Finally, it should be noted that due to in part its having been undertaken in the context of a pilot intervention in remote settings, the design of this study had certain shortcomings. First, this study was not blinded, either at the level of the intervention or the assessor. Certain studies of household-based water treatment interventions that have used a placebo-controlled, double-blind design found no statistically significant difference between intervention and control groups, although other single-blinded studies have found the intervention to be effective, much like the great majority of studies of household-based water treatment.\textsuperscript{17–21} Second, this study assessed diarrhea using seven-day recall. Research has suggested that recall periods in excess of 48 hours tend to understate the actual frequency of the disease.\textsuperscript{22} Third, as a result of the remoteness of the study sites and the lack of on-site investigators, there was no rigorous means of assessing compliance with the intervention. Finally, although the intervention was randomly allocated within each study setting following a method that ensured an appropriate generation of the allocation sequence and concealment of such sequence, the selection of the study communities was not random but done by Oxfam in an attempt to obtain a representation of the types of settings in which it operates in Colombia.

Notwithstanding these shortcomings, this assessment does provide additional evidence of the potential value of household water treatment in the prevention of diarrheal disease among a vulnerable population. At the same time, it demonstrates the range of effectiveness of such interventions and thus the need to consider carefully the circumstances prevailing in a target community when choosing among possible options.

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Authors’ addresses: Thomas Clasen and Simon Collin, Department of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, Keppel Street, London WC1E 7HT, United Kingdom. E-mails: thomas.clasen@lshmt.ac.uk and simon.collin@lshmt.ac.uk. Gloria Garcia Parra, Oxfam GB, 274 Banbury Road, London W1H 7DD, United Kingdom.
Oxford OX2 7DZ, United Kingdom, E-mail: GGarcia@oxfam.org.uk. Sophie Boisson, International Health Research Associates, Ltd., 22 Clyro Court, Tollington Park, London N4 3AQ, United Kingdom, E-mail: s_boisson@hotmail.com.

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