Association of Coronary Wall Shear Stress With Atherosclerotic Plaque Burden, Composition, and Distribution in Patients With Coronary Artery Disease

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Journal Title: Journal of the American Heart Association
Volume: Volume 2012, Number 1
Publisher: American Heart Association | 2012-07-12, Pages 1-9
Type of Work: Article | Final Publisher PDF
Publisher DOI: 10.1161/JAHA.112.002543
Permanent URL: http://pid.emory.edu/ark:/25593/dzgqp

Final published version: http://jaha.ahajournals.org/content/1/4/e002543

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Accessed October 22, 2018 6:17 PM EDT
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J Am Heart Assoc. 2012;1:e002543; originally published July 12, 2012;
doi: 10.1161/JAHA.112.002543
The Journal of the American Heart Association is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231
Online ISSN: 2047-9980

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http://jaha.ahajournals.org/content/1/4/e002543

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**Background**—Extremes of wall shear stress (WSS) have been associated with plaque progression and transformation, which has raised interest in the clinical assessment of WSS. We hypothesized that calculated coronary WSS is predicted only partially by luminal geometry and that WSS is related to plaque composition.

**Methods and Results**—Twenty-seven patients with coronary artery disease underwent virtual histology intravascular ultrasound and Doppler velocity measurement for computational fluid dynamics modeling for WSS calculation in each virtual histology intravascular ultrasound segment (N=3581 segments). We assessed the association of WSS with plaque burden and distribution and with plaque composition. WSS remained relatively constant across the lower 3 quartiles of plaque burden (P=0.08) but increased in the highest quartile of plaque burden (P<0.001). Segments distal to lesions or within bifurcations were more likely to have low WSS (P<0.001). However, the majority of segments distal to lesions (80%) and within bifurcations (89%) did not exhibit low WSS. After adjustment for plaque burden, there was a negative association between WSS and percent necrotic core and calcium. For every 10 dynes/cm² increase in WSS, percent necrotic core decreased by 17% (P=0.001). There was no significant association between WSS and percent of fibrous or fibrofatty plaque components (P=NS).

**Conclusions**—In patients with coronary artery disease: (1) Luminal geometry predicts calculated WSS only partially, which suggests that detailed computational techniques must be used to calculate WSS. (2) Low WSS is associated with plaque necrotic core and calcium, independent of plaque burden, which suggests a link between WSS and coronary plaque phenotype. (J Am Heart Assoc. 2012;1:e002543 doi: 10.1161/JAHA.112.002543.)

**Key Words:** atherosclerosis • coronary arteries • computational fluid dynamics • ultrasonography, intravascular • histology, virtual • wall shear stress

Atherosclerosis initiates with fatty streak formation in an atherogenic milieu that is created by the interplay of systemic cardiovascular risk factors, vascular biology, and local hemodynamic forces, including wall shear stress (WSS). WSS is the frictional force of blood exerted tangential to the vessel wall and is dependent on vascular geometry, flow rate, blood properties, and near wall velocity values. Vascular geometric characteristics, such as inner curvatures or outer hips of bifurcations, predispose them to early plaque development that, in turn, changes luminal dimensions, further altering WSS. Experimental studies have linked low WSS to a variety of atherosclerotic mechanisms and have demonstrated that segments with low WSS develop atherosclerotic lesions with high lipid necrotic core. We have demonstrated a longitudinal relationship between WSS and plaque progression and transformation in patients with coronary artery disease (CAD), establishing an important role for baseline WSS in prediction of atherosclerosis evolution over time.

Because WSS is affected by luminal dimensions (ie, to a first approximation WSS ≈ 1/r³, where r is the lumen radius), some have argued that the local WSS can be estimated from purely anatomic measures such as angiography or intravascular ultrasound (IVUS). However, in addition to luminal...
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DOI: 10.1161/JAHA.112.002543

Journal of the American Heart Association

Intravascular pressure and Doppler flow velocity–monitoring guidewire serve (FFR) and Doppler velocity were measured with a 0.014-French coronary guide catheter was introduced into the ostium of the coronary artery. Fifty U/kg of unfractionated heparin was administered intravenously as a bolus. Fractional flow reserve (FFR) and Doppler flow velocity were measured with a 0.014-μg/kg intracoronary nitroglycerin with a phased-array 20 MHz Eagle Eye Gold Catheter and s5 Imaging System (Volcano Corporation, Rancho Cordova, CA). The IVUS catheter was located as distal as possible by using a fiduciary side branch as the starting point, and an angiographic image was recorded to note the IVUS catheter start position and its relationship to adjacent anatomic landmarks, such as diagonal or septal branches. Automated motorized pullback (0.5 mm/s) was performed, and IVUS images were acquired continuously up to the guide catheter in the aorta to sample 60 mm of the proximal vessel. The ECG-gated grayscale IVUS images and radiofrequency signals were acquired (peak of the R wave) and stored for offline analysis. Offline volumetric reconstruction and analysis of the entire imaged segment were performed using Volcano Image Analysis Software (VIAS) V3.0 (Volcano Corporation, Rancho Cordova, CA) by a single experienced investigator who was blinded to the patients’ clinical and WSS data according to criteria of the American College of Cardiology Clinical Consensus document on IVUS. IVUS measurements of the external elastic membrane (EEM), lumen, and plaque (plaque and media: EEM – lumen) cross-sectional areas were performed for every recorded virtual histology IVUS (VH-IVUS) frame (0.5-mm thickness, herein defined as an IVUS segment). Plaque burden was calculated as plaque area divided by EEM area. To assess plaque composition, absolute and relative (percentage) area of...
Figure 1. An example of WSS profile of the left anterior descending coronary artery from a study patient, showing areas of variable WSS. Time-averaged WSS values were circumferentially averaged for each IVUS segment to provide quantitative hemodynamic data to correlate with plaque data. The colors represent different values of WSS as depicted in the scale on the right side. The outer mesh represents the EEM, and the area between EEM and lumen (colored) is considered to be plaque. Each cross-sectional line in the mesh represents 1 VH-IVUS frame.

VH-IVUS parameters (fibrofatty tissue, fibrous tissue, necrotic core, and dense calcium) were measured for each IVUS segment. Intraobserver analysis was performed by the experienced analyst in random samples of 10 patients (n=886 frames) at least 2 weeks apart and demonstrated good reproducibility for plaque area (concordance correlation coefficient = 0.968 [95% CI 0.965–0.971]) and necrotic core area (concordance correlation coefficient = 0.978 [95% CI 0.976–0.980]).

Atherosclerotic lesion segments were defined by IVUS segments with plaque burden ≥40% over ≥3 consecutive frames, as defined by the document for standard acquisition, analysis, and reporting of radiofrequency data analysis for IVUS studies. Distal and proximal segments were defined as segments within 5 mm distal or 5 mm proximal to a lesion.

Coronary Artery Reconstruction, CFD, and WSS Analysis

Coronary artery reconstruction, CFD modeling, and WSS analysis were performed as previously described. Briefly, the 3D spatial location of the IVUS catheter during pullback was determined by using the corresponding biplane angiographic projections acquired before pullback. The biplane images were coregistered with a specially designed platform beneath the subject, which contained spatial markers that enabled definition of precise geometric locations in 3D space, independent of any eccentricity of the catheter within the vessel lumen. The 3D-reconstructed catheter core served as the stem on which to rebuild the geometry. The position of each ECG-gated IVUS frame was determined from the reconstructed trajectory and speed of catheter pullback. After image adjustment because of rotation, each frame was aligned perpendicular to the catheter core. Subsequent to reconstruction of the main artery of interest, arterial branches were added as cylinders perpendicular to the main artery center line on the basis of information from angiography and IVUS images. This approximation was necessitated by the fact that IVUS visualization of side branches is impractical in the clinical environment. The boundary points of each frame were connected by spline curves to rebuild the luminal geometry. The reconstructed 3D surface was meshed in CFD-GEOM (CFD Research Corp, Huntsville, AL), and the mesh was imported into the commercial solver CFD-ACE (CFD Research Corp, Huntsville, AL).

Inlet and outlet boundary conditions were specified as a series of velocity profiles with values from the acquired Doppler data. Our assumption for the inlet velocity was a plug profile with velocity equal to 80% of the peak velocity registered in the Doppler ultrasound sample volume (corresponding to peak values in the Doppler spectrum). This assumption was imposed to account for the fact that the measured Doppler spectra were not sampled over the entire vessel cross section. The profile was imposed at each time step in the pulsatile cycle, as determined from the measurements recorded from a stable set of cycles. The inlet section was extended proximally to provide an entrance length of 1.5 times the vessel diameter to allow for a smooth transition into the computational domain. The fluid (blood) was assumed to be an incompressible Newtonian fluid with a viscosity coefficient of 3.5 centipoise. It is known
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Figure 2. An example of WSS profile of another patient demonstrating heterogeneity of distribution of WSS, which would be difficult to ascertain from geometry alone. The colors represent different values of WSS as depicted in the scale on the right side. Black dots are superimposed VH-IVUS–derived necrotic core and dense calcium data. A cross-sectional view of study segment representing 1 VH-IVUS segment with 0.5-mm thickness is also shown.

that the Newtonian assumption for blood is valid under the pulsatile, moderate Reynolds number flow conditions in coronary arteries. After computing the pulsatile flow field, WSS was determined as a function of time in the cardiac cycle and spatial location around the lumen. Subsequently, the time average of the magnitude of WSS over the pulsatile cycle at each point was computed. Finally, at each IVUS cross section, time-averaged WSS values were averaged around the circumference. The result is a spatially and temporally averaged value of WSS magnitude for each IVUS cross section. Although WSS is a continuous and dynamic variable, for the purpose of comparison, we used the quartiles of WSS in all 3581 coronary segments. Also, on the basis of previous experimental and human data, low WSS was defined as <10 dynes/cm² and high WSS as ≥25 dynes/cm². Two examples of WSS profiles derived from CFD calculation are shown in Figures 1 and 2.

Statistical Analysis

Continuous variables are described as mean and 95% CI (or median and interquartile range [Q1–Q3], as appropriate), and categorical variables as counts and proportions. Logarithmic transformations were performed whenever the distribution of an outcome appeared to be not normal. Repeated-measures analysis with mixed-effects linear models was conducted to account for the correlation between multiple observations from the same subject. The model-based means are unbiased with unbalanced and missing data, so long as the missing at random can be assumed. Valid standard errors were calculated, and efficient statistical tests were performed. A compound symmetric variance-covariance form among the repeated measurements was used after consideration of other error covariance structures. Concordance correlation coefficient was used to evaluate the reproducibility of the IVUS and velocity measurements. SAS version 9.2 (SAS Institute Inc, Cary, NC) was used in the data analysis. Statistical tests were 2 sided; the significance level was set at 0.05.

Results

Twenty-seven patients were enrolled, yielding 28 left coronary arteries (27 left anterior descending and 1 left circumflex artery) for invasive evaluation. Baseline clinical and demographic characteristics of the study population are summarized in Table 1. All 3581 acquired IVUS segments from the 28 arteries were analyzed, with a median of 128 (Q1–Q3: 100–174) IVUS segments per artery. The median value of WSS in total segments was 23.3 (Q1–Q3: 16.5–33.5) dynes/cm².

Table 1. Clinical and Demographic Characteristics of Study Population (N=27 Patients)

<table>
<thead>
<tr>
<th>Variable</th>
<th>Median (Q1–Q3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y</td>
<td>50 (44–66)</td>
</tr>
<tr>
<td>Male, n (%)</td>
<td>16 (60)</td>
</tr>
<tr>
<td>White race, n (%)</td>
<td>18 (67)</td>
</tr>
<tr>
<td>Body mass index, kg/m²</td>
<td>29.8 (26.2–36.2)</td>
</tr>
<tr>
<td>Hypertension, n (%)</td>
<td>16 (60)</td>
</tr>
<tr>
<td>Systolic blood pressure, mm Hg</td>
<td>128 (116–143)</td>
</tr>
<tr>
<td>Diastolic blood pressure, mm Hg</td>
<td>73 (69–85)</td>
</tr>
<tr>
<td>Heart rate, bpm</td>
<td>66 (56–80)</td>
</tr>
<tr>
<td>Current smoking, n (%)</td>
<td>6 (22)</td>
</tr>
<tr>
<td>Diabetes mellitus, n (%)</td>
<td>7 (26)</td>
</tr>
<tr>
<td>Hypercholesterolemia, n (%)</td>
<td>7 (26)</td>
</tr>
<tr>
<td>Statin use, n (%)</td>
<td>4 (15)</td>
</tr>
<tr>
<td>β-Blocker use, n (%)</td>
<td>11 (41)</td>
</tr>
<tr>
<td>Calcium channel blocker use, n (%)</td>
<td>2 (7)</td>
</tr>
<tr>
<td>ACE inhibitor or ARB use, n (%)</td>
<td>11 (41)</td>
</tr>
<tr>
<td>Family history of CAD, n (%)</td>
<td>12 (44)</td>
</tr>
<tr>
<td>Previous myocardial infarction, n (%)</td>
<td>2 (8)</td>
</tr>
<tr>
<td>Total cholesterol, mg/dL</td>
<td>181.5 (160.0–204.3)</td>
</tr>
<tr>
<td>Triglycerides, mg/dL</td>
<td>114.0 (70.5–152.8)</td>
</tr>
<tr>
<td>FFR*</td>
<td>0.93 (0.81–0.96)</td>
</tr>
</tbody>
</table>

Data are number (%) or median (Q1–Q3). ACE indicates angiotensin-converting enzyme; ARB, angiotensin receptor blocker. Hypercholesterolemia was defined as total cholesterol ≥200 mg/dL.

*Measured in 28 vessels as described in the Methods.
Table 2. Grayscale IVUS Findings of Study Population Stratified for WSS Quartiles

<table>
<thead>
<tr>
<th>WSS 1st Q</th>
<th>WSS 2nd Q</th>
<th>WSS 3rd Q</th>
<th>WSS 4th Q</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>EEM area, mm²</td>
<td>16.3 (14.7–18.0)</td>
<td>15.3 (13.8–16.9)</td>
<td>14.8 (13.4–16.4)</td>
<td>14.7 (13.3–16.2)</td>
</tr>
<tr>
<td>Lumen area, mm²</td>
<td>10.7 (9.6–12.0)</td>
<td>9.8 (8.8–11.0)</td>
<td>8.9 (8.0–9.9)</td>
<td>7.5 (6.7–8.4)</td>
</tr>
<tr>
<td>Plaque area, mm²</td>
<td>5.1 (4.2–6.2)</td>
<td>4.8 (4.0–5.8)</td>
<td>5.2 (4.3–6.3)</td>
<td>5.8 (4.8–7.0)</td>
</tr>
<tr>
<td>Plaque burden, %</td>
<td>31.1 (27.0–35.8)</td>
<td>31.4 (27.3–36.1)</td>
<td>34.8 (30.3–40.0)</td>
<td>39.4 (34.3–45.3)</td>
</tr>
</tbody>
</table>

Q indicates quartile. Model-based means for each category are reported as mean (95% CI). *Mixed-effects models were used to account for repeated measurements.

WSS, Plaque Characteristics, and Luminal Geometry

The grayscale IVUS findings stratified by WSS quartiles are presented in Table 2, which demonstrates that higher WSS was associated with smaller EEM and lumen areas and with greater plaque area and plaque burden (P<0.0001 for all comparisons). When WSS and plaque burden were treated as continuous variables and were assumed to have a linear relationship, we observed a positive trend (P<0.001). However, the observed positive linear relationship was driven mainly by significantly higher WSS in segments with plaque burden ≥46% (the highest quartile of plaque burden) (Figure 3). In fact, when the analysis was confined to segments with plaque burden <46% (the lower 3 quartiles of plaque burden), no statistically significant association between WSS and plaque burden was observed (P=0.08).

We then investigated the relationship between WSS and lesion location and bifurcations. Of the total 3581 IVUS segments, 1390 resided within a lesion, 226 were proximal to a lesion, 252 were distal to a lesion, and 1713 were neither within lesions nor proximal or distal to lesions. Mean WSS was significantly higher within lesions (26.6 [95% CI 21.1–33.6]) dynes/cm²) than proximal (24.3 [95% CI 19.2–30.8] dynes/cm²) or distal to lesions (18.9 [95% CI 14.9–28.9] dynes/cm²; P<0.0001). Interestingly, WSS was lower in distal segments than in the proximal segments (P=0.02), despite the similar amount of plaque burden (31.4 [95% CI 30.6–32.3] versus 30.5 [95% CI 29.7–31.4]; P=0.79) and smaller lumen (9.1 [95% CI 8.7–9.5] versus 11.8 [95% CI 11.2–12.5] mm²; P<0.0001). In addition, segments distal to lesions were more likely to have low WSS (<10 dynes/cm²) than were segments within lesions (20% versus 4%; P=0.04) or proximal to lesions (20% versus 9%; P=0.03) (Figure 4A). Conversely, segments within lesions were more likely to have high WSS (≥25 dynes/cm²) than were segments residing proximal or distal to lesions (62% versus 43% and 32%; P<0.001) (Figure 4B). The corollary to the relationship between WSS and lesion location is that 80% of segments distal to lesions did not have low WSS and 38% of segments within lesions did not have high WSS.

The distribution of segments with low and high WSS in relation to bifurcations is shown in Figure 5. Although the percentage of segments with low WSS decreased from within the bifurcation to distal to the bifurcation, with the highest percentage within the bifurcation and the lowest percentage within 10 to 15 mm distal to bifurcations (from bifurcation to distal: 11.3% versus 9.3% versus 9.1% versus 7.7%; P=0.04), the majority of segments within bifurcations (88.7%) did not exhibit low WSS.

WSS and Plaque Composition

To account for the expected relationship between plaque burden and plaque components, we assessed the relationship between WSS and 4 plaque components after adjusting for plaque burden. We observed a negative association between WSS and percent necrotic core and percent dense calcium. For each 10-dynes/cm² decrease in WSS, percent necrotic core increased by 17% (P=0.01), and percent dense calcium increased by 17% (P<0.001). There was no significant association between WSS and percent fibrous (P=0.12) and fibrofatty tissue (P=0.58).
Discussion

When data for all segments measured in study patients were analyzed, we found that: (1) WSS remained relatively constant across the lower 3 quartiles of plaque burden (0% to 46%) and increased in segments within the highest quartile of plaque burden (≥46%). (2) Although segments immediately distal to lesions and within bifurcations were more likely to have low WSS than those not in these locations, the majority of segments distal to lesions (80%) and within bifurcations (89%) did not exhibit low WSS. (3) An inverse relationship exists between WSS and percent necrotic core and dense calcium after adjustment for plaque burden. These data demonstrate that luminal geometry predicts calculated local WSS only partially and imply that use of geometry alone for classifying WSS is unreliable. Furthermore, our data suggest that WSS is related to plaque composition independently of plaque burden, providing evidence for a link between WSS and atherosclerotic plaque phenotype in human coronary atherosclerosis.

Extensive experimental investigations in cell culture, animal models, and human subjects support the concept of an important role for WSS in the initiation and progression of atherosclerosis. Several human studies have now demonstrated a longitudinal relationship between low coronary WSS and plaque progression. In addition, we have observed previously that in patients with CAD, coronary segments with high WSS exhibit plaque transformation over time despite high-dose statin therapy. Taken together, these data provide a rationale for the potential evaluation of WSS in patients with nonobstructive CAD.

Given the complexity of coronary artery geometry and flow conditions, it is not surprising that reliance on simplified fluid mechanics principles (eg, Poiseuille flow) might not accurately estimate WSS values. Lumen area variations, vessel curvature, flow splits among branches, and the state of distal vessels, including the microcirculation, all contribute to the flow field in a region of interest. Fundamentally, WSS is a highly localized quantity that varies over 3 spatial dimensions and time, and hence determination of WSS solely from visual interpretation of angiographic images can be misleading. In our study population, we found that although segments immediately distal to lesions were more likely to have low WSS, only 20% of these segments actually displayed low WSS, with 80% of segments distal to lesions not demonstrating low WSS. In addition, only 64% of segments within lesions demonstrated high WSS, and we found no statistically significant relationship between WSS and plaque burden until the plaque burden reached 46%. These findings undoubtedly result from the local flow and geometric conditions, because plaque burden does not alter WSS directly until it causes lumen encroachment. Furthermore, if a lesion causes localized encroachment (stenosis, with elevated WSS), the distal distance required to recover WSS values that are comparable to those found proximally is extended.

Similarly, although the proportion of segments with low WSS was greater within bifurcations than distal to bifurcations, the majority of segments within bifurcations did not exhibit low WSS, as one would anticipate from lower WSS values expected at the hips of bifurcations. One potential explanation for
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Figure 5. Percentage of segments with low WSS (<10 dynes/cm²) within the bifurcations, and 0 to 5 mm, 5 to 10 mm, and 10 to 15 mm distal to bifurcations.

this observation could be underestimation of WSS because of circumferential averaging of high as well as low WSS in a given cross section. Taken together, these data suggest that low WSS segments cannot be determined solely by anatomic evaluation and require state-of-the-art computational modeling techniques, such as those deployed in the present study.

Another important finding of this study is that there appears to be an association between WSS and pathobiology. Indeed, after adjustment for plaque burden, segments with lower WSS were associated with higher percent necrotic core and dense calcium, in keeping with experimental data and suggesting an association of low WSS with a more vulnerable plaque phenotype.8–10,32,33 To the best of our knowledge, this is the first description of a relationship between WSS and plaque thickness in human coronary arteries. The first was a study from a single human right coronary artery, where WSS calculations were based on 3 predefined velocity values and no velocity measurements were performed.44 The second study demonstrated a positive relationship between circumferentially averaged WSS and wall thickness in 12 angiographically normal coronary arteries (area stenosis <10% by IVUS). Despite its small size, minimal CAD, and relatively low average WSS (14.1±3.2 dynes/cm²), the findings of this study support our results.45

The present study is unique for several reasons. First, it is the largest in vivo evaluation of the cross-sectional relationship between local WSS, plaque burden, and VH-IVUS–derived plaque composition in patients with CAD. As such, it establishes a basis for relationships of the spectrum of WSS with plaque burden, composition, and distribution with regard to lesion location and bifurcations in human coronary arteries. Second, the study population represents a significant spectrum of plaque burden (range: 1% to 64%), which enables us to demonstrate the relationship of WSS with different levels of atherosclerotic plaque. Of note, although patients had functionally nonobstructive CAD (median FFR 0.93 [Q1–Q3 0.81–0.96]), in some cases their coronary arteries had sufficient plaque encroachment to result in blood flow acceleration, creating high values of WSS. Third, our observation that WSS is lower distal to lesions offers, for the first time, some insights into a potential role of lower WSS in distal coronary plaque progression. Fourth, we present a comprehensive methodology for in vivo assessment of WSS from 3D-reconstructed geometries that include bifurcations and pulsatile inlet boundary conditions derived from Doppler velocity measurements. Finally, by superimposing local plaque composition from VH-IVUS with

DOI: 10.1161/JAHA.112.002543

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Limitations

Several limitations should be considered when the results of the present study are interpreted. Although the number of patients in this study is limited, it is the largest study of WSS calculation in humans with CAD, and appropriate statistical methods were used to adjust for correlated error introduced by the clustering of numerous arterial segments within patients. Second, plaque composition data are derived from VH-IVUS. Although it is the “gold standard” for assessment of plaque composition, true histology, VH-IVUS cannot be performed in coronary arteries in vivo. Nevertheless, several studies have evaluated the accuracy of VH-IVUS with predictive accuracies of 87% to 97%, and a recent large clinical trial has linked findings of VH-IVUS in conjunction with grayscale IVUS to adverse clinical outcomes. Third, although the study population represents a spectrum of atherosclerosis, from mild to moderate disease, flow-limiting lesions were excluded in the present study. Therefore, our observations are confined to lesions that are not flow limiting. Fourth, WSS magnitudes were averaged temporally and spatially (around the vessel circumference) for each IVUS cross section and do not allow for a highly localized examination of the relationship between WSS and plaque composition. Finally, the present study does not demonstrate any cause–effect relationship between altered WSS patterns, plaque development, and vulnerability process. Prospective studies in patients with CAD are under way to further elucidate the cause–effect relationships of WSS and atherosclerosis.

Conclusions

In patients with CAD: (1) Luminal geometry predicts calculated WSS only partially, which suggests that detailed computational algorithms must be used to calculate WSS. (2) Low WSS is associated with plaque necrotic core and calcium independent of plaque burden, which suggests a link between WSS and coronary plaque phenotype.

Acknowledgments

We acknowledge the Emory Interventional Cardiology fellows, Catheterization Laboratory staff, and Andrew R. King for participation in the study performance.

Sources of Funding

This study was funded by The Wallace H Coulter Translational/Clinical Research Seed Grant Program of Georgia Institute of Technology and Emory University, Atlanta, GA, USA, and Volcano Corp, and supported in part by PHS Grant UL1 RR025008 from the Clinical and Translational Science Award program, National Institutes of Health, National Center for Research Resources.

Disclosures

None.

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tal coronary atherosclerosis and vascular remodeling in relation to endothe-


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