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Delivery of subunit influenza vaccine to skin with microneedles improves immunogenicity and long-lived protection

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Influenza virus is one of the most common pathogens responsible for serious respiratory illness. Typically, the virus causes seasonal epidemics of influenza with outbreaks in the U.S. ranging from October through April. During a typical season, the CDC estimates that more than 200,000 hospitalizations, and 40,000 deaths are associated with influenza infection and related complications1, with up to 1.5 million deaths worldwide2. Seasonal influenza infection affects all age groups with the greatest disease severity occurring in the elderly3–5, persons with underlying chronic diseases6–7, infants and young children who have not been previously exposed to the virus8, pregnant women9,10, and healthcare workers11.

There are three distinct serotypes of influenza viruses, designated A, B, and C, with types A12 and B13,14 playing the major role in human infection. It is well established that the best way of prophylaxis and control of influenza infection is vaccination, which reduces morbidity and mortality of the disease15. Currently there are several types of licensed trivalent influenza vaccines containing 15 μg of hemagglutinin of each H1N1 and H3N2 influenza A subtypes and of type B influenza virus: formalin inactivated whole virus (WV), ether-treated hemagglutinin (HA) and neuraminidase (NA)-enriched split virus (SV) and subunit virus (SU) vaccine which are the most widely utilized vaccines and are usually administered intramuscularly16,17.

The immunogenicity of different influenza vaccine formulations was studied in the 1970s; despite the fact that whole virus was found to be more immunogenic compared to other formulations, its use was discontinued in most countries and it was replaced with the less reactogenic split or subunit influenza vaccine18–21. Although the latter have been proven to be safe, several studies have demonstrated decreased magnitude and longevity of the immune responses after intramuscular administration especially in high risk groups such as the elderly, immunocompromised individuals and children22–24. These facts strongly suggest the necessity for new vaccine formulations or new delivery methods that could improve the immune responses, thus reducing morbidity and mortality from influenza infection worldwide.

The current target for influenza vaccination is the deltoid muscle. However, the muscle tissue contains low numbers of antigen presenting cells (APCs) such as dendritic cells and macrophages, and lacks MHC class II
expressing cells leading to poor antigen-dependent T cell activation and reduced humoral and cellular immune responses25. Other tissue targets for delivery of influenza vaccine have been proposed in recent years including the skin, which represents an ideal target for vaccine delivery. It contains a large network of immunologically active cells and APCs that take up the antigen and migrate to the proximal lymph nodes where naïve T and B cells will be activated to initiate the adaptive immune responses26. We and others have previously reported that delivery of whole inactivated influenza virus via the skin using antigen-coated metal microneedle patches elicits strong humoral and cellular immune responses, serological memory and improved long term protection compared to the conventional routes of vaccine delivery25,27,28.

In this study we investigate for the first time the efficacy of a single-dose skin vaccination with a licensed influenza subunit vaccine using antigen-coated metal microneedles and the longevity of immune responses induced upon skin delivery.

**Results**

**Humoral immune responses after microneedle or IM delivery of influenza subunit vaccine.** To compare the efficacy of immunization via the skin or intramuscularly, we first measured the levels of functional antibody titers against the hemagglutinin antigen (HA) of influenza virus induced after immunization. The Centers for Disease Control and Prevention (CDC) state that a titer of ≥1:40 is indicative of protection in humans. In our experience titers of ≥1:40 are also indicative or protection in mice. As shown in Fig. 1a, microneedle delivery of the subunit vaccine elicited levels of functional antibody titers indicative of protection (HAI>40) as early as week 4. By week 8 the IM immunized group exhibited the peak of protective HAI titers whereas the microneedle group reached the highest levels by week 12. Thirty six weeks post-immunization, we observed that 62% of the IM vaccinated animals exhibited protective levels (HAI>40) while 100% of the microneedle group maintained protective levels of functional antibody titers and two-fold higher titers when compared to the IM immunized group (p<0.0262). These results demonstrate that delivery of subunit influenza vaccine through the skin using microneedles elicits and sustains higher functional antibody titers, indicative of better duration of protection when compared to IM immunization.

We additionally determined the levels of influenza-specific circulating IgG antibodies in sera of vaccinated mice. Up to 8 weeks post-immunization the IM group demonstrated significantly higher antibody titers than the microneedle group (p<0.0018). From week 12 until week 36 there were no significant differences between the immunized groups (Fig. 1b). In our previous studies we reported that the isotype profile of the immune responses is affected by the route of immunization25-27. Mice that received the subunit vaccine through the IM route of delivery exhibited approximately two-fold greater levels of IgG1 circulating antibodies when compared to microneedle immunized mice (p<0.0001) (Fig. 1c). In contrast, microneedle immunization induced a more potent IgG2a response with a two-fold greater difference when compared to IM injection (p<0.0001) (Fig. 1d). We found a more balanced IgG1/IgG2a ratio in the microneedle group, which skewed towards IgG2a responses at later time points (Fig. 1e). In contrast the IM immunized group demonstrated a predominant IgG1 response. These results indicate that microneedle-based delivery of influenza subunit vaccine induces stronger, long-lasting IgG2a titers indicative of a Th1 response when compared to IM immunization.

Delivery of subunit influenza vaccine through the skin using microneedle or through IM injection induced high levels of neutralizing antibody titers in both vaccinated groups. These responses in the microneedle group were significantly higher than the IM group at 24 (p=0.0426) and 36 weeks post-vaccination (p<0.0002) (Fig. 1f). These results further demonstrate the stronger immune responses induced after microneedle delivery of influenza subunit vaccine in the skin.

**Evaluation of systemic recall and mucosal immune responses and assessment of viral replication after challenge.** Twelve weeks after delivery of a single dose of subunit influenza vaccine, mice from all groups were challenged with 5LD50 of mouse-adapted A/Brisbane/59/2007 virus and 4 days later sera were collected for the evaluation of recall responses. We observed that the HAI titers were two-fold higher in the microneedle immunized group than the IM group (p<0.0195) (Fig. 2a), although there were no significant differences in the levels of IgG and IgG1 influenza-specific antibody titers between the vaccinated groups (Fig. 2b, 2c). In contrast, the levels of influenza-specific IgG2a antibody titers were significantly higher in the microneedle group when compared to the IM group (p<0.0223) (Fig. 2d) further indicating a stronger Th1 response induced after skin vaccination. Evaluation of mucosal immune responses (IgA, IgG1, IgG2a and IgG) in the lungs of microneedle and IM immunized animals 12 weeks post-vaccination and 4 days post-challenge did not reveal significant differences between the two immunized groups (Fig. 3a-d).

In order to evaluate the protective capacity of the immune response induced after microneedle or IM delivery of influenza subunit vaccine, we determined the lung virus titers after challenge at three months post-vaccination. We were able to detect a higher replication rate of the virus in the IM immunized group with a 1.5-fold decrease when compared to unimmunized infected animals (Fig. 3e). In contrast, mice that received the subunit vaccine through the microneedle route of delivery exhibited 40-fold lower lung virus titers when compared to the IM group. These results demonstrate the induction of more effective immune responses after microneedle delivery of subunit influenza vaccine in the skin resulting in greater inhibition of virus replication and more rapid clearance from the lungs of infected animals when compared to IM delivery.

**Cellular immune responses in the lungs of immunized animals after lethal challenge.** The levels of TNF-α, IL-4, IFN-γ, IL-5, IL-6 and IL-10 were measured as indicators of cellular immune responses in the lung suspensions of mice challenged 12 weeks after delivery of subunit influenza vaccine via microneedle administration or IM injection. We observed higher TNF-α levels in the unimmunized infected mice (Fig. 4a) when compared to either group of vaccinated animals, representative of the inflammatory process and cell death in their lungs25. We measured similar levels of IL-4 in both microneedle and IM vaccinated groups (Fig. 4b), but these were elevated when compared to the levels detected in the unimmunized infected mice. Interleukin-4 inhibits the production of different pro-inflammatory cytokines including TNF-α. Evaluation of IFN-γ, IL-5 and IL-6 levels (Fig. 4c, 4d, 4e) did not reveal any significant differences between the microneedle and IM vaccinated groups. The most notable difference in cytokine production was observed in the levels of IL-10 in the microneedle vaccinated group (Fig. 4f). Interleukin-10 is an important anti-inflammatory molecule recognized for blocking pulmonary inflammation and lung injury29. We observed a 2-fold higher level in IL-10 production levels in microneedle immunized animals when compared to the IM group (p<0.0026). These results demonstrate that delivery of subunit influenza vaccine through the skin using microneedles induces robust cellular immune responses and increased production of IL-10, correlating with reduced lung inflammation after lethal challenge.

**Evaluation of long-lived bone marrow plasma cells.** In order to further investigate the basis for high serum functional antibody titers and improved long-lived protective immune responses after microneedle delivery of influenza subunit vaccine when compared to
IM immunization, we determined the numbers of influenza virus-specific IgG long-lived plasma cells in the bone marrow 12 weeks after a single immunization, a sufficient period for the establishment of memory. We detected elevated numbers of influenza virus-specific long-lived plasma cells in the bone marrow of both immunized groups when compared to the naive group, and a significant increase in the numbers of long-lived plasma cells in the microneedle group when compared to IM vaccinated animals.

Figure 1 | Evaluation of humoral immune responses and neutralizing antibody titers. Serum samples from mice were collected 2, 4, 8, 12, 24, and 36 weeks post immunization and analyzed for the levels of functional antibody titers against A/Brisbane/59/2007 by HAI (a), total serum IgG titers (b), and the IgG isotypes, IgG1 (c) and IgG2a (d) by quantitative ELISA, isotype profile ratio (e) and neutralizing antibody titers by microneutralization assay (f). MN: microneedle immunized group, IM: intramuscularly immunized group. ELISA antibody data represent the mean ± SEM. HAI data represent the geometric mean ± 95% CI. *: p<0.05, **: p<0.005, ***: p<0.001. Statistics for the MN and IM group were performed with two-tailed unpaired Student’s t-test.
No significant difference was detected in the number of anti-influenza specific IgA antibody secreting cells in the bone marrow of microneedle or IM vaccinated groups (Fig. 5b). The higher numbers of influenza virus-specific long-lived plasma cells in the bone marrow of microneedle vaccinated animals may be responsible for the maintenance of high levels of influenza-specific functional antibody titers in the serum and improved protective humoral responses when compared to IM delivery of the vaccine.

To compare the cellular immune responses induced after microneedle or IM delivery of subunit influenza vaccine, we determined the numbers of IFN-γ and IL-4 secreting cells in the spleens of mice 12 weeks after immunization. We detected significantly higher numbers of IFN-γ secreting cells in the microneedle immunized group when compared to IM vaccinated animals \( p < 0.0105 \) (Fig. 6a). However we did not find any differences between the two immunized groups in the numbers of IL-4 secreting cells (Fig. 6b). These results indicate improved cellular immune responses after microneedle delivery of subunit influenza vaccine compared to IM delivery.

Duration of protective immunity. To evaluate the longevity and efficacy of subunit influenza vaccine in conferring protection after a single immunization through the skin or the muscle, cohorts of vaccinated mice were challenged with 5xLD\(_{50}\) of mouse-adapted virus at 4, 12 and 36 weeks post-vaccination. Body weight changes and survival rates were registered daily as previously described\(^2\). Four weeks post-immunization both vaccinated groups were fully protected against lethal challenge with an average body weight loss of 10–12% (Fig. 7a–a1). Twelve weeks post-immunization both groups again exhibited full protection against lethal challenge and an average body weight loss of 5–6% (Fig. 7b–b1). At week 36 post-immunization, the microneedle group was still fully protected against the virus challenge, exhibiting an average body weight loss of 5% and 100% survival. In contrast, the IM group was only partially protected with a mortality rate of 40% and an average body weight loss of 15% for the mice that survived the challenge (Fig. 7c–c1). These findings demonstrate that skin immunization with microneedles coated with an influenza subunit vaccine induces long-lived immunity capable of conferring full protection and survival against lethal challenge with the homologous virus at least up to thirty six weeks after a single immunization.

Discussion
In the present study we report for the first time that microneedle delivery of a single dose of a licensed influenza subunit vaccine to
BALB/c mice confers complete protection 36 weeks post-vaccination whereas only partial protection was induced after the conventional IM route of delivery. At the same period microneedle immunization also induces higher functional antibody titers and higher influenza specific IgG2a antibodies than the IM immunized group, indicative of stronger cellular immune responses. In our experimental mouse model, both microneedle and IM immunized groups exhibited similar levels of hemagglutination inhibition titers (HAI) at early time points after immunization. Thirty six weeks later, though, we found that 38% of the IM immunized animals demonstrated a significant drop of HAI titers below protective levels (HAI<40), while the microneedle vaccinated group in its entity retained HAI titers above protective levels (HAI>40). The maintenance of these high levels of functional antibody titers throughout a period of nine months can be attributed to the higher number of influenza-specific antibody secreting cells (long-lived bone marrow plasma cells) detected in the microneedle immunized group when compared to the ASC numbers found in the IM cohort.

We have previously reported that skin immunization with whole inactivated virus-coated metal microneedles was equal or superior to systemic vaccination. In those studies we found a high anti-influenza virus IgG1/IgG2a antibody ratio in the microneedle group that received 10 μg of vaccine indicating a skewed Th2 response. This response resulted in a two-fold increase of IL-4 production when compared to the intramuscularly delivered vaccine whereas the IFN-γ production was higher in the IM group than the microneedle.
cohort. The long-lived antibody secreting cells and the memory B cells were at similar levels in the bone marrow of both immunized cohorts. Those data could not clearly favor either route of vaccination because whole inactivated virus vaccine displays stronger immunogenicity which can activate both arms of immune responses, humoral and cellular. In the present study we tested a licensed influenza subunit vaccine as an antigen by metal microneedle delivery through the skin and compared it to IM delivery. Several studies have previously demonstrated that IM delivery of subunit influenza vaccines induces poor cellular immune responses in humans. Here we show that microneedle delivery of a subunit influenza vaccine elicits higher numbers of IFN-γ secreting cells in the spleen of immunized animals when compared to IM immunization. IFN-γ has been reported to play a major role in the control of viral infections leading to faster viral clearance and apoptosis of infected cells. Furthermore, while microneedle delivery of whole inactivated virus demonstrated a robust IgG1 response, and similar numbers of ASC in the bone marrow, delivery of the subunit vaccine induced a balanced IgG1 and IgG2a response (ratio = 1) and higher numbers of ASC in the bone marrow when compared to IM delivery. These data are

Figure 4 | Cellular immune responses in lung suspensions after virus challenge. The levels of TNF-α (a), IL-4 (b), IFN-γ (c), IL-5 (d), IL-6 (e) and IL-10 (f) cytokines were measured in lung suspensions of MN and IM immunized animals 12 weeks post vaccination and 4 days post-challenge with 5xLD₅₀ of live virus. INF: infected mice, N: naive mice. Data represent the mean ± SEM. **: p<0.005. Statistics for the MN and IM group were performed with two-tailed unpaired Student’s t-test.
similar to these obtained with polymer microneedle delivery of whole inactivated virus27.

Activation of both arms of the adaptive immune response contributes to enhanced protection and rapid virus clearance from the lungs after infection, as demonstrated by the 40-fold lower virus titers in the lungs of microneedle immunized mice after lethal challenge, compared to the titers measured in the IM immunized mice. This finding can also be significant for influenza transmission. Influenza vaccines in 90% of vaccinees are delivered by the intramuscular route. Although vaccinated individuals are protected from the disease, they are still able to transmit the virus for a 24–48 hour window31–33. The greater inhibition of viral replication and more rapid clearance of the virus observed in the microneedle immunized group could further reduce the infectious potential of vaccinated individuals minimizing transmission form direct contact.

Influenza represents a significant burden to public health34–37. The World Health Organization estimates that there are more than 1.2 billion people at high risk for severe influenza outcomes worldwide: 385 million elderly, 140 million infants and 700 million adults and children with underlying health conditions including pregnant women38. Additionally the WHO estimates that there are approximately 24 million health care workers at high risk for influenza infection who pose a risk for transmission of influenza virus to high risk populations39. Besides increased health care costs, influenza infection poses a major socio-economic burden leading to high levels of worker absenteeism, disruption in work and productivity losses. It is estimated that in the United States, annual influenza epidemics result in an average of 3.1 million hospitalization days and 31.4 million outpatient visits with an average direct medical cost of 10.4 billion dollars annually40. Projected lost earnings due to illness and loss of life averages to 16.3 billion dollars annually, while the total direct and indirect economic burden of annual influenza epidemics amount to 87.1 billion dollars in the US alone40.

Furthermore, the CDC reports that in randomized control trials among different age groups and individuals with medical conditions, the inactivated influenza vaccine effectiveness was estimated to be between 48%–70% during seasons when the vaccine was well matched with the circulating influenza strains41. These data indicate that better influenza vaccines and more effective vaccination methods are needed that will increase vaccine coverage and reduce morbidity and mortality rates.

The skin represents an attractive target for vaccine delivery. Skin-based vaccination has received more attention recently due to encouraging results demonstrating improved immune responses
after antigen delivery. Additionally there is evidence for dose sparing using transdermal immunization. Several studies have demonstrated improved immune responses after intradermal delivery of influenza vaccines. We and others have previously demonstrated that administration of whole inactivated influenza virus through the skin using solid metal microneedles was capable of inducing improved immune responses, serological memory and long-term protection when compared to the conventional routes of influenza immunization. However the effectiveness of this route for immunization using a subunit vaccine has not been investigated previously and the longevity of the immune responses has received only limited attention.

Here, we used microneedles to simply and reliably deliver influenza subunit vaccine targeted to the skin and we demonstrated enhanced immune responses when compared to the conventional systemic route of delivery. Microneedles, therefore, have the potential to make skin-based vaccination a clinically viable alternative which, besides the immunologic advantages demonstrated in this study, offers several logistical advantages including inexpensive manufacturing, small size for easy storage and distribution, a simple
administration process that might enable self-vaccination to increase patient coverage, and overall better acceptance of this route of vaccination by the general public (Kim Y.C. et al. Advanced Drug Delivery Reviews, 2012 in press). Overall, our study demonstrates that microneedle-based delivery has the potential to be used with currently approved vaccines and formulations, and for improving results when compared to the current conventional routes, especially to generate long-lived protective immunity.

Methods

Microneedle fabrication and coating. As previously described25, metal microneedles were fabricated by etching stainless steel sheets (McMaster-Carr, Atlanta, GA). Each microneedle measured 700 μm tall, with a cross sectional area of 170 μm by 55 μm at the base and tapering to a sharp tip, with five microneedles per row. Microneedles were dip-coated using a coating solution formulated with 1% (w/v) carboxymethylcellulose (Carbo-Mer, San Diego, CA), 0.5% (w/v) Lutrol F-68NF (BASF, Mt. Olive, NJ), 15% (w/v) D(+)-trehalose dihydrate (Sigma, St. Louis, MO) and HIN1/A/Brussels/59/2007 subunit vaccine solution. The vaccine was kindly provided by Novartis Vaccines and Diagnostics (Cambridge, MA).

Cells and viruses. Madin–Darby canine kidney (MDCK) cells (ATCC CCL 34, American Type Culture Collection, Manassas, VA) were maintained in Dulbecco’s Modified Eagle’s Medium (DMEM) (Mediatech, Herndon, VA) containing 10% fetal bovine serum (HyClone, ThermoFisher Scientific, Rockford, IL). Influenza virus stocks (A/Brussels/59/2007) were prepared, purified and inactivated as previously described25. Hemagglutination (HA) activity was determined using turkey red blood cells (LAMPIRE Biological Laboratories, Pipersville, PA) as previously described. For inactivation, the purified virus was treated with formalin at a final concentration of 0.1% and then dialyzed against PBS buffer. Virus titers were confirmed by plaque assay in MDCK cells25. The mouse-adapted virus was prepared by serial passage 8 times in lungs of BALB/c mice. The LD50 was calculated by the method of Reed–Muench28, and viral titers were determined by plaque assay.

Immunizations, lethal challenge and sample collection. Female BALB/c mice (Charles River Laboratory, Wilmington, MA) (50 mice per group, 6–8 weeks old) received one dose (3 μg) of the subunit A/Brussels/59/2007 vaccine through the skin using microneedles arrays coated with the antigen or by IM injection. For microneedle delivery the mice were vaccinated by manual insertion of the microneedles into the skin only for 5 min while for IM mice received an equal dose of the vaccine in both legs25. Unimmunized mice were used as an additional negative control. Animals were bled retro-orbitally 2, 4, 8, 12, 24 and 36 weeks post-immunization under systemic anesthesia. Four, 12 and 36 weeks following immunization, mice (n=3) were challenged with 5xLD50 dose of live mouse-adapted H1N1 A/Brussels/59/2007 virus and were monitored for 14 days for signs of morbidity (body weight changes, fever and hunched posture) and mortality. A weight loss exceeding 25% was used as the experimental end point, at which mice were euthanized according to IACUC guidelines. All animal studies had approval of Emory University’s Institutional Animal Care and Use Committee. Twelve weeks post-immunization and four days post-challenge of an independent cohort of microneedle and IM vaccinated groups, blood was collected by retro-orbital bleeding with non-heparinized microcapillary tubes (Fisher Scientific, Pittsburgh, PA). Lungs were collected in DMEM at 12 weeks, 4 days post-lethal challenge and processed as previously published25. All serum samples and lung homogenates were individually processed to determine humoral immune responses29. Lung supernatants were mixed with the protease inhibitor phenylmethylsulfonyl fluoride (1 mM) (Sigma, St. Louis, MO) and stored at −80 °C until assayed for viral titers or cytokine levels with cytokine ELISAs. Spleens were collected for the evaluation of cellular immune responses and processed into single cell suspensions in complete RPMI 1640 for cytokine determination25. Bone marrow was collected and processed in single cell suspension for the determination of influenza-specific IgG and IgA antibody secreting cells (ASC) as previously described25. For cell preparation, the spleens were minced into small pieces and passed through a 70 μm cell strainer. The cells were incubated at 37 °C for 1 h to allow red blood cell lysis. After washing, cells were resuspended in complete RPMI 1640 and incubated at 37 °C for 4 h to allow degranulation. Cytokines were then measured using a commercial ELISA (eBioscience, San Diego, CA).

Evaluation of cellular immune responses. Cellular immune responses were estimated using ELISPOT assays in the spleens and cytokine ELISAs in the lungs. Freshly isolated splenocytes (1.0x107/200 μl CRPMI) were cultured for 48 h to determine the presence of 4 μg/ml whole inactivated influenza virus in CRPMI 1640 medium. IL-4 and IFN-γ ELISPOT reagents were purchased from BD-PharMingen (San Jose, CA). Colored spots were visualized and counted using an ELISPOT reader and counter (Cellular Technologies, USA). Lung supernatants in DMEM prepared from immunized mice on day 4 post-challenge were assayed for TNF-α, IFN-γ, IL-4, IL-5, IL-6 and IL-10 according to the manufacturer’s instructions (eBioscience, San Diego, CA).

Quantification of anti-Brisbane/59/07 antibody secreting cells. Virus-specific antibody-secreting cells (ASC) in the bone marrow were determined by a modification of the ELISPOT assay29,30. Briefly, 96-well plates were coated overnight at 4 °C with purified inactivated A/Brussels/59/07 at a final concentration 4 μg/ml. The plates were washed three times with RPMI and blocked for 2 h with 10% fetal calf serum prior to sample addition. Bone marrow single cell suspensions collected at 4 days after challenge (1x105/well) in CRPMI were plated directly on coated blocked plates and were incubated at 37 °C for 18 h. Samples were enumerated in an ELISPOT reader (Cellular Technology, Shaker Heights, OH) and the results shown as the number of ASC per 106 cells. Plasma cell numbers of vaccinated mice were considered positive if the numbers of spots were higher than the sum of twice the infected group spots + 3xSDc.


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Author contributions

DGK and IS conceived and designed the project. DGK, and IS prepared the figures and did the statistics. DGK executed the majority of the experiments. EVV, AS, VGZ, ESE, MT and IS assisted in the execution of the experiments. DGK and IS wrote the manuscript. BWC and MRP edited the manuscript. All authors reviewed the final version of manuscript.

Additional information

Competing financial interests: Mark R. Prausnitz serves as a consultant to companies, is a founding share-holder of companies and is an inventor on patents licensed to companies developing microneedle-based products. This possible conflict of interest has been disclosed and is being managed by Georgia Institute of Technology and Emory University.

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