Functional MRI in Awake Unrestrained Dogs

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Abstract

Because of dogs’ prolonged evolution with humans, many of the canine cognitive skills are thought to represent a selection of traits that make dogs particularly sensitive to human cues. But how does the dog mind actually work? To develop a methodology to answer this question, we trained two dogs to remain motionless for the duration required to collect quality fMRI images by using positive reinforcement without sedation or physical restraints. The task was designed to determine which brain circuits differentially respond to human hand signals denoting the presence or absence of a food reward. Head motion within trials was less than 1 mm. Consistent with prior reinforcement learning literature, we observed caudate activation in both dogs in response to the hand signal denoting reward versus no-reward.

Introduction

As the oldest domesticated species, with estimates ranging from 9,000–30,000 years BCE, the minds of dogs inevitably have been shaped by millennia of contact with humans [1,2]. As a result of this physical and social evolution, dogs, more than any other species, have acquired the ability to understand and communicate with humans. A resurgence of research in canine cognition has revealed the range (and variability) of skills such as following pointing and gaze cues [3,4,5], fast mapping of novel words [6], and the conjecture that dogs have emotions [7]. Although the growing list of canine cognitive skills is impressive, how does the dog mind actually work? We are left to infer canine brain function from behavior and ultimately guess at the inner workings of the dog brain. However, the widespread use of functional magnetic resonance imaging (fMRI) to study brain function in both humans and non-human primates has paved the way for potentially understanding how the dog brain works. Here, we report the development of behavioral and technical methods to acquire fMRI data in fully awake, unrestrained dogs.

The main challenge of fMRI in dogs comes from subject motion. Historically, the usual approach has been to either anesthetize the animal [8,9] or, as in rats and monkeys, immobilize them [10,11,12,13,14,15]. Clearly, if we wish to understand canine cognition, anesthesia is not an option. Immobilization is technically possible, although ethically objectionable for a dog, and, as we show, unnecessary to acquire useful fMRI data. Instead, because dogs so readily follow human commands, they can be trained to go into an MRI scanner and hold their head still enough for fMRI studies without any restraint. Moreover, they will do this happily with nothing more than positive reinforcement.

Because of their prolonged evolution with humans, many of the canine cognitive skills are thought to represent a selection of traits that make dogs particularly sensitive to human cues [16]. For this reason, we selected a simple discrimination task with two human hand signals for initial study with canine fMRI. Although there is growing evidence that dogs do not need to be conditioned to learn human hand signals, for this first experiment we chose to associate the hand signals with primary rewards to provide a linkage with comparable imaging experiments in both humans and monkeys and to maximize the chance of observing a significant brain response. Importantly, the reward-prediction error hypothesis of the dopamine system provides a concrete prediction of activity in the ventral caudate of the dog. The task was designed to determine which brain circuits differentially respond to hand signals denoting the presence or absence of a food reward. Based on the reinforcement learning literature, we hypothesized that the transfer of reward association to a hand signal would manifest in the ventral striatum [17,18,19,20,21].

Results

Subjects were two spayed, female, domesticated dogs. Callie was a 2-year-old feist of indeterminate pedigree, who had been adopted from a local shelter at the age of 9 months and weighed 12 kg. Apart from basic obedience, she had no specialized training. McKenzie was a 3-year-old border collie and was already well-trained in agility competition and weighed 16 kg. Training and handling for the following procedures were performed by each dog’s owner under the supervision of a professional trainer. This study was performed in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. All procedures were approved by the Institutional Animal Care and Use Committee of Emory University (Protocol Number: DAR-2001274-120814).

Three fMRI scanning sessions were performed over a period of 6 weeks. Callie participated in all sessions, while McKenzie participated in the last two. The goal of the first session was to familiarize the Callie with the scanner environment and determine the feasibility of acquiring both structural and fMRI data. The goal of
the second session was to optimize the scan parameters and to obtain enough fMRI data to evaluate its quality for movement-related artifacts. It was observed that the onset of each imaging sequence tended to startle the dogs, causing them to move or exit the scanner. This was effectively mitigated in the final session by having the dogs get settled into the chin rest. The preceding protocol shaped the desired behavior of placing the head in the rest and not moving through positive reinforcement only. Dogs were free to exit the apparatus at any time. We used a simple instrumental conditioning task in which the required behavior was to place the head on the chin rest and not move. After a variable interval of approximately 5 s, a hand signal was given that indicated whether a reward would be delivered. The dog had to continue holding still during this period to get the reward. The left hand up indicated a hot dog reward, while both hands pointing toward each other horizontally indicated no-reward. The hand signals were maintained for approximately 10 s. Reward-trials ended by the handler reaching in with the food to the dog. Person in the photograph has given written informed consent for publication.

Data were processed with AFNI. Because the dogs exited the scanner between runs, head positioning was slightly different. Using fiducial markers on the brain, we roughly aligned the second run to the first. Next, we used a two-pass motion correction to complete the alignment and generate measurements of movement within each run (Fig. 2A). Because many trials ended with a food reward, the dog moved her head while consuming the treat, but once consumed, she placed her head back in the chin rest. Movement and loss-of-shim artifacts were expected during this period. A large field of view guaranteed that the entire brain was captured regardless of the exact trial-to-trial position. Activation time series were examined and censored for artifacts through a multistep process [22]. Volumes with obvious movement were excluded and the remaining volumes used to calculate percent signal change on a voxelwise basis. We then excluded any volume in which the signal, averaged over the whole brain, changed by more than 1% from the previous scan. Finally, the sequence of scans was examined in a movie loop and any remaining scans that exhibited sudden movements were excluded. This resulted in the retention of 236 out 380 volumes for Callie (62%) and 222 volumes for McKenzie (58%). Although the inter-trial movements were large compared to humans, once set in the chin rest, the dogs were comparable, if not better, than humans. The average total translation within each trial was less than 1 mm (Fig. 2B). The results of motion correction were checked by scrolling through time in AFNI to confirm that the brain remained in the same position throughout the retained scans (see Movies S2 and S3). Despite the fact that the dogs went in and out of the field, after motion correction the brain was observed to stay in the same position within a voxel. To account for any remaining variance due to misalignment and to improve the signal to noise ratio, scans were then smoothed with a 6 mm gaussian kernel.

Key events of each trial were marked by an observer with button presses and logged to a computer capturing scanner pulses. These events were used to formulate a GLM for analysis of the fMRI data: 1) reward hand signal; 2) no-reward hand signal; 3) and reward. The hand signals were specified as variable duration events, while the reward was specified as an impulse. All events were convolved with a standard hemodynamic response function. The design matrix also included constants and linear drifts for
Discussion

Based on the vast reinforcement learning literature, the observation of caudate activation to a hand signal associated with reward is not surprising. In fact, had this not been observed, one could rightfully question the feasibility of canine fMRI. The reward prediction error hypothesis of dopamine function suggests that dopamine is released in response to unexpected events that signal future reward [17,18,26,27]. Although not directly measuring dopamine, many fMRI studies have found that the BOLD signal in the ventral striatum also follows this pattern of activation [19,20,28,29,30,31,32]. Thus, it is likely that the caudate signal we observed represents a positive reward prediction to the dog. We assume that this is because of the trained association to a food reward; however, it is also possible that some component of social reward contributes to the response. Future studies could separate these potential components by implementing cues from humans and inanimate sources (e.g. lights), for example. Moreover, the stronger response observed in McKenzie may reflect the extensive agility training she had undergone with her handler, in effect, making her more attuned to hand signals than Callie. Future studies may reveal the sources of such heterogeneity including training, temperament, and reward modality.

We associated the hand signals with primary reward in order to maximize the chance of detecting activation in the dog’s reward system. With only two dogs, the odds of detecting such activation were quite low, but the observation of ventral caudate activation in both dogs clearly shows that canine fMRI is not only possible, but paves the way for studying canine social cognition. Because there was no associated behavior for the hand signals, we can’t say how many trials it took for them to learn the association, but it was over a period of weeks with daily 10 minute sessions. Future studies can now determine, for example, whether the hand signals were intrinsically rewarding because they came from the dogs’ owners (e.g. a social reward), or whether they were rewarding only because of the association with food.

The possibility of future canine fMRI must be tempered with the acknowledgement that dogs will do almost anything humans ask of them, and this makes them particularly vulnerable to exploitation. In the design and implementation of this study, we adopted a set of principles that places the dogs’ welfare above all
else, and which we hope will provide ethical guidelines for future work in this area [7]. First, no harm must occur to the dogs. With MRI, the main concern is for the dogs’ hearing, which is more sensitive than humans’. Considerable effort was spent fitting and training the dogs to wear ear muffs and head wraps that mitigated the effects of the scanner noise. Second, the dogs should not be restrained. Although it is technically possible to implement a wide range of restraints, from harnesses to implanted fixation devices, we believe this violates a basic principle of self-determination that is normally reserved for humans, but in this case should be extended to dogs: they should be free to exit the scanner at all times. Similarly, this means that purpose-bred laboratory dogs should not be used as they have no choice. Third, positive reinforcement should be used whenever possible. Although we can imagine experiments in which one would like to know the differential effects of positive reinforcement versus punishment, we favor positive reinforcement for ethical reasons. The use of punishment should be carefully weighed against the alternatives, especially since the animal training literature does not indicate that punishment leads to more effective learning than positive methods.

To our knowledge, this is the first demonstration of either MRI or fMRI in completely awake, unrestrained dogs. The quality of the structural images alone, especially of Callie, demonstrate that dogs can hold as still as humans for periods up to 24 s — long enough for a wide variety of functional studies and veterinary applications. Future technical advancements, including the use of parallel imaging and sensors for movement, should allow for even higher quality data by shortening the scan times and more easily identifying movement artifacts. Although we chose a simple instrumental conditioning task to demonstrate the feasibility of canine fMRI, a wide variety of future studies is now possible. Dogs have had a prolonged evolution with humans, and they are uniquely attuned to our behaviors. For example, one might reasonably ask to what extent the dog mentalizes the minds of...
humans. Dogs are intensely visual and pay attention to our facial expressions and where we look and point. How do they represent these actions? How do dogs distinguish humans, and is it by vision or smell? Is human language processed as arbitrary sounds, or do dogs have neural structures that respond in a deeper manner to language? What is the difference between how dogs represent humans and other dogs or animals? The questions are endless. And while the study of the canine mind is fascinating for its own sake, it also provides a unique mirror into the human mind. Because humans, in effect, created dogs through domestication, the canine mind reflects back to us how we see ourselves through the eyes, ears, and noses of another species.

**Materials and Methods**

**Training Procedure**

Although this research does not require the use of lab animals (e.g., purpose-bred research dogs), not all dogs are appropriate for this type of research. We recruited dogs who were already well-socialized with humans, specifically pet dogs and their owners. Prior to scanning, the dogs were evaluated for appropriate temperament. Ideal characteristics included calmness, evidence of curiosity, not fearful of strangers or other dogs, calmness when transitioning to novel environments, not afraid of loud noises, not afraid of heights, the ability to remain relaxed in an enclosed environment, and most importantly, evidence of motivational drive during training. This last characteristic was important given the sedentary nature of the task on which they were to be trained. The dogs underwent extensive behavioral training to acclimate them to the MRI environment. To do this, we constructed two MRI simulators, which consisted of exact replicas of the head coil, a tube of approximately the same dimensions of the inner bore of the MRI, a patient table within the tube, all of which was placed on a collapsible table at the approximate height of the scanner table. Recordings of the scanner sequences were played through a P.A. system aimed at the simulator. Sound pressure levels were verified with a handheld decibel meter and confirmed at 95–96 dB. The simulators were located at the owners’ homes or the training facility to allow for daily training and to let the dogs become comfortable with the apparatus in a familiar environment.

Only positive reinforcement, in combination with behavioral shaping, conditioning and chaining, were used in the training process, which took place over a period of 2 months. First, dogs were trained to place their head and paws in the head coil. Next, they were trained to place their chin on a foam bar placed horizontally across the head coil and hold this position until a release signal. The length of the hold was gradually increased up to 30 s. The chin rest was subsequently modified to a custom fit based on the chin shape. When the dogs were able to do this consistently with no discernable head motion, they were next trained to do this wearing canine ear muffs, which were initially introduced to the animals apart from the coil simulator. Concurrent with the initial sequences of the training, recordings of the scanner noise were introduced at low volume. Once the animal became conditioned at a low volume, the volume was gradually increased. After each dog reached a hold time of 30 s within the coil simulator, recordings of the scanner noise were introduced at low volume while the dog remained stationary in the coil. Once the dog demonstrated relaxed behavior, the volume was gradually increased. When the dogs were comfortable wearing the ear muffs in the head coil with the scanner noise of approximately 90 dB, they were then trained to go into the MRI tube which had been placed on the floor. This was not difficult, and subsequently, the simulated head coil was placed inside the tube. Finally, after the dog was consistently holding its head still in this configuration, the entire apparatus was raised on a table to the height of the actual scanner patient table. At this point, the dog was trained to walk up a set of steps into the tube and assume the correct position (see Movie S1).

**Data Acquisition and Analysis**

**Key event recording.** Trial events were recorded by an observer via a four-button MRI-compatible button-box. A laptop running Matlab (MathWorks) and Cogent (FIL, University College London) was connected via serial port to the button box, and recorded both the button-box responses by the observer to the dogs, as well as scanner sequence pulses.

**Functional data pre-processing.** All functional data processing was completed using AFNI and its associated functions. DICOM images of the EPI runs were first converted to the AFNI BRIK format using the td3d command. Because the brain was in a slightly different location for each run, the second run was coarsely aligned to the first run based on five fiducial tags, which were easily identifiable in both runs: tip of the olfactory bulb, mid corpus callosum, the left and right edge of the brain (in the same slice as corpus callosum), center of the dorsal hindbrain, and the anterior temporal lobe. To do this, the 3dtagalign function was used.

After fiducial marker-based alignment, both runs were concatenated into a single BRIK file with 3dTcat. Motion correction was run on the concatenated volume via 3dvolreg, and implemented with a two-pass iterated linearized weighted least squares approach, where each volume was aligned to the first good volume of run 1 (volume 32 for Callie and volume 1 for McKenzie). The first pass used linear interpolation weighted by a mask of the brain, generated from the first run, to generate a crude alignment. The second pass used Fourier interpolation for finer alignment. Volumes which contained gross motion artifact, such as when the dog moved its head out of the RF coil, as well as volumes where the signal averaged across the brain changed by more than 1% from the previous scan were excluded from analyses using AFNI’s built-in censor function. Remaining volumes were then smoothed with a 6 mm full-width-half-maximum Gaussian kernel to account for any remaining small misalignments with 3dmerge. Using 3dcalc, all voxels were converted to percent signal change using AFNI’s built-in censor function. Remaining volumes were then concatenated into a single BRIK file with 3dTcat, for inclusion in the GLM and separate modeling of the runs.

Using 3dDeconvolve, the following events were used to formulate a GLM for analysis of the fMRI data: 1) reward hand signal; 2) no-reward hand signal; 3) and reward. The hand signals were specified as variable duration events, while the reward was specified as an impulse. The average duration of reward and no-reward events were within one second of each other (9.9 s & 8.9 s and 15.8 s & 16.4 s for reward & no-reward in Callie & McKenzie respectively). All events were convolved with a standard hemodynamic response function. The design matrix also included constants and linear drifts for each run, and the six motion parameters. A censor file specified the volumes to be excluded from the regression. The contrast of interest was between reward and no-reward hand signals. Because of the prior hypothesis regarding the caudate, we considered activations in this region significant at p<0.01 (although p<0.05 was used to visualize the full extent of activation). No inferences outside this region were made. The mean time series of activation was extracted from the region of the right caudate. To yield approximately the same size cluster in both dogs, a threshold of p<0.05 was used for Callie (9
voxels) and p<0.01 for McKenzie (18 voxels). Using Matlab, two adjusted time series were created for reward hand signal and no-reward hand signal by controlling for all of the effects other than the one of interest: constant, linear drift, 6 motion parameters, reward receipt, and the other hand signal. The average response for the two trial types was then calculated for the 6 volumes following the onset of the hand signal.

To create a combined activation map of the two dogs, McKenzie’s mean motion-corrected functional image was aligned to Callie’s mean motion-corrected functional image using fiducial tagging. This yielded a transformation matrix which was then applied to each of McKenzie’s normalized, smoothed, and motion-corrected functional run images. Because of the small sample size (n = 3), a fixed-effects GLM model was run, in which a constant and linear drift term was included for each run and each subject. The same regressors for the subject-wise GLM described above were also included in the group model. The resultant statistical maps were co-registered to Callie’s anatomical image for visualization and verification of the caudate activity.

Given the prior hypothesis about caudate activation, statistical inferences for the combined model were based on a masked region of the brain around the caudate. To do this, we created a mask (152 voxels) encompassing all regions inferior to the corpus callosum and anterior to the internal capsule and used AlphaSim to calculate a mask FDR<0.05 (10,000 iterations). Smoothness estimates of the model residuals were calculated using 3dFwhmX. With a voxel-level threshold of p<0.01, AlphaSim yielded a cluster threshold of 6 voxels, such that the FDR within the masked region would be less than 0.05.

**Supporting Information**

**Figure S1** Siemens 3 T Trio console screenshot, showing field-of-view (FOV) in Callie for both functional and structural scans. The FOV was determined based on a localizer acquired prior to functional scan acquisition. Slices for the functional run were oriented dorsally to the dog’s brain (similar to axial in humans). This was approximately coronal to the magnet because the dog was positioned 90° from the usual human orientation. The generous FOV, with extra slices dorsally and ventrally, allowed for different head positioning between trials.

**Figure S2** Labeled montage of Callie’s T2-weighted structural image. A T2-weighted structural image was acquired after the functional runs. The image was acquired using a turbo spin-echo sequence (30 3 mm slices, TR = 3710, TE = 8.3, 26 echo trains), which was optimized to yield contrast between gray and white matter in the fastest possible time. The red outline corresponds to the slice shown in Fig. 3, where the caudate shows greater activation to the reward hand signal versus no-reward hand signal.

**Figure S3** Labeled montage of Callie’s mean motion-corrected EPI image. EPI images were acquired using single-shot echo-planar imaging (28 3 mm slices, 10% gap, TE = 28 ms, TR = 1610 ms, flip angle = 70°, FOV = 192 mm). The mean image across runs was calculated by taking the average of all motion-corrected EPI volumes that did not exhibit significant motion artifact. These included obvious motion artifact from head withdrawal from the coil, and those volumes in which the average signal changed more than 1%. The red outline corresponds to the slice shown in Fig. 3, where the caudate shows greater activation to the reward hand signal versus no-reward hand signal.

**Figure S4** Labeled montage of McKenzie’s T2-weighted structural image. A T2-weighted structural image was acquired after the functional runs. The image was acquired using a turbo spin-echo sequence (30 3 mm slices, TR = 3710, TE = 8.3, 26 echo trains), which was optimized to yield contrast between gray and white matter in the fastest possible time. The red outline corresponds to the slice shown in Fig. 3, where the caudate shows greater activation to the reward hand signal versus no-reward hand signal. Primary and adjacent slices are labeled with easily distinguishable landmarks: the olfactory peduncle (OLF), internal capsule (IC), and genu of the corpus callosum (CC).

**Figure S5** Labeled montage of McKenzie’s mean motion-corrected EPI image. EPI images were acquired using single-shot echo-planar imaging (28 3 mm slices, 10% gap, TE = 28 ms, TR = 1610 ms, flip angle = 70°, FOV = 192 mm). A mean image across runs was calculated by taking the average of all motion-corrected EPI volumes that did not exhibit significant motion artifact. These included obvious motion artifact from head withdrawal from the coil, and those volumes in which the average signal changed more than 1%. The red outline corresponds to the slice shown in Fig. 3, where the caudate shows greater activation to the reward hand signal versus no-reward hand signal. Primary and adjacent slices are labeled with easily distinguishable landmarks: the olfactory peduncle (OLF), internal capsule (IC), and genu of the corpus callosum (CC).

**Movie S1** Training video. This video shows how the dogs were trained to remain stationary in the MRI while wearing ear muffs. The video shows initial exposure to final behavior, which took place over a period of 2 months.

**Movie S2** Video of raw fMRI scans for Callie after motion correction. Rapidly scrolling through the sequence of fMRI volumes shows that the brain is stationary within one voxel after motion correction has been performed and volumes with artifacts are excluded.

**Movie S3** Video of raw fMRI scans for McKenzie after motion correction. Rapidly scrolling through the sequence of fMRI volumes shows that the brain is stationary within one voxel after motion correction has been performed and volumes with artifacts are excluded.

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**Author Contributions**

Conceived and designed the experiments: GSB AMB MS. Performed the experiments: GSB AMB MS. Analyzed the data: GSB AMB. Wrote the paper: GSB AMB MS.
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